

 <p><b>NEW YORK STATE</b> <b>Corrections and Community Supervision</b></p> <p><b>DIRECTIVE</b></p>	TITLE		NO. 9301
	<b>UBER/SIST/High Risk/GPS Supervision</b>		DATE 03/07/2017
SUPERSEDES	DISTRIBUTION A B	PAGES PAGE 1 OF 3	DATE LAST REVISED
REFERENCES (Include but are not limited to) Directive #9206, 9030	APPROVING AUTHORITY 		

- I. PURPOSE:** To enhance public safety within New York State, this project will focus on the most high risk parolees returning from prison to the community. Interventions will include implementation of risk reduction tools such as Global Positioning System (GPS) monitoring and behavior change strategies such as focused “call-ins.”
- II. POLICY:**
- A. Parolees identified by the DOCCS Research Unit as the highest risk to re-offend will be supervised at a 20:1 caseload ratio (in Monroe County only) and be identified as UBER cases.
  - B. Parolees identified by the Bureau Chief, releasing to any area in NYS, that are identified as high risk cases (due to extreme violence, diagnosis of serious mental illness, COMPAS score or other aggravating factors) can be supervised at 25:1 caseload ratio and placed on active GPS.
  - C. Strict and Intensive Supervision and Treatment (SIST) cases assigned to any bureau in NYS will be placed on active GPS and supervised on a 10:1 caseload ratio.
- III. PROCEDURE:**
- A. Upon release, GPS conditions will be reviewed with the parolees and GPS devices will be attached to parolees at their arrival report. The Community Supervision Operation Center (CSOC) referral [Form #9301](#), “Electronic Monitoring Program Enrollment Form,” will be completed and transmitted to the Command Center requesting “active” GPS status.
  - B. All UBER GPS parolees will be scheduled for a focused “call-in” where the high risk supervision program will be explained thoroughly.
  - C. All UBER/SIST/High Risk supervision cases will be monitored by the CSOC for alerts associated with GPS supervision and the identified alerts will be communicated via cell phone or e-mail as indicated below.
- IV. GPS ALERT DEFINITIONS:**
- A. Cut Strap/Master Tamper: Strap has been cut or a significant tamper with device has occurred.
  - B. Jamming/Shielding: Intentionally shielding device or jamming signal to prevent signal transmission.
  - C. Critical Battery/Dead Battery: Failure of a parolee to maintain a charged GPS unit.

- V. COMMUNITY SUPERVISION OPERATION CENTER (CSOC) MONITORING:** Three specific alerts will be targeted for 24 hour monitoring by the NYC Community Supervision Operation Center, cut strap/master tamper, jamming/shielding and critical/dead battery. These alerts are significant and are deemed to require response not later than the following morning. These alerts indicate a willful disregard for compliance and indicate an aggravating act on the part of the parolee. Below are the protocols to be established and implemented by the CSOC:
- A. Upon receipt of a Cut Strap/Master Tamper, Jamming/Shielding or Critical/Dead Battery alert, between the hours of 4:30 pm and 8:30 am Mon-Fri and 4:30 pm Friday – 8:30 am Monday, CSOC staff will take the following actions:
1. Review Case Specific CSOC Monitoring GPS Enrollment Form for any case specific instruction.
  2. Check Veritracks system for last coordinates.
  3. Make all efforts to locate parolee including, but not limited to, cell phone, home phone, family and paramours. If parolee can't be located and/or contacted go to step (5). If parolee is contacted proceed to step (4).
  4. Attempt to resolve issue with parolee. If successful, direct parolee to report to the Supervision Bureau at 8:30 AM next business day and proceed to step (8). If additional vetting is necessary direct the parolee to remain in the residence until he or she is contacted by a local PO.
  5. Conference case with the CSOC SPO. If warrant is authorized proceed to step (6), if no warrant authorized proceed to step (8).
  6. Issue warrant and complete electronic 4030 (internal DOCCS document) using SPO of Record as the issuing authority.
  7. Post Want for Subject as Fugitive in NCIC.
  8. E-mail PO, SPO, CSOC PO, BC, and RD regarding activity on this alert and if warrant was issued include Alerts Bureau indicating warrant number and Fugitive posting on NCIC.
  9. Document ALL of the above activity in CMS.
- B. All-Points Bulletin (APB) Protocol: This protocol would be followed only after consultation and at the direction of the CSOC SPO or Bureau Chief of the Week and a warrant has been issued:
1. Create an APB in the CJIMS with the following wording: Male/Female, Height, Weight, Eye Color, Tattoos.... Is a wanted person by the Department of Corrections and Community Supervision under NCIC wanted #( ) and is believed to have tampered with or removed an electronic monitoring bracelet, last known to be at or near (address) and could possibly be traveling in a (vehicle info). If apprehended or information is developed that would lead to the whereabouts of this individual contact the DOCCS Community Supervision Operation Center (CSOC) at 212-239-6159.
  2. E-mail PO, SPO, CSOC PO, BC, and RD indicating that an APB was sent to the NYSIC.
  3. Document Activity in CMS.

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**VI. SUPERVISION REVIEW PROCEDURES:**

- A. After a period of 12 months and upon completion of the COMPAS Supervision Review Tool, each Uber/High Risk supervision case will be assessed giving consideration to the parolee's adjustment to supervision and in review of a set of stability factors that research has shown to be influential in a parolee's success under supervision.
- B. Among the factors that should be considered would be:
  - 1. Time under supervision;
  - 2. GPS adjustment;
  - 3. Compliance with the conditions of release;
  - 4. Employment status;
  - 5. Residential stability;
  - 6. Familial support;
  - 7. Program completion or stability;
  - 8. Drug free status;
  - 9. Age of the offender; and
  - 10. Other factors deemed appropriate.
- C. Should the COMPAS review tool indicate relative stability and not suggest an increase in the level of supervision, and further review of the case history does not indicate areas of concern, the parolee **may** be considered for removal from GPS to be supervised at COMPAS level 1 or 2 giving consideration to their current level of stability.