

You Don't Know What You Don't Know

The most important investment you can make is finding the right partner!

Skill. Empowerment. Trust. Culture. Innovation. What do these words mean to you?

It's about feeling like you matter to the organization you partner with. It's about being dignified as a human being and not as a number. It's about having someone truly invest in you. It's about inspiration. Being part of something bigger than yourself.



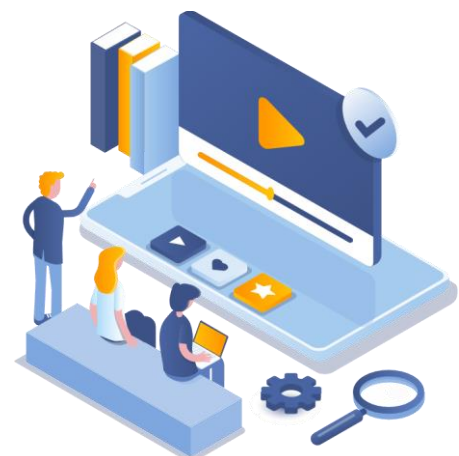
A truly integrated wealth management solution. Current thinking combined with open dialogue.

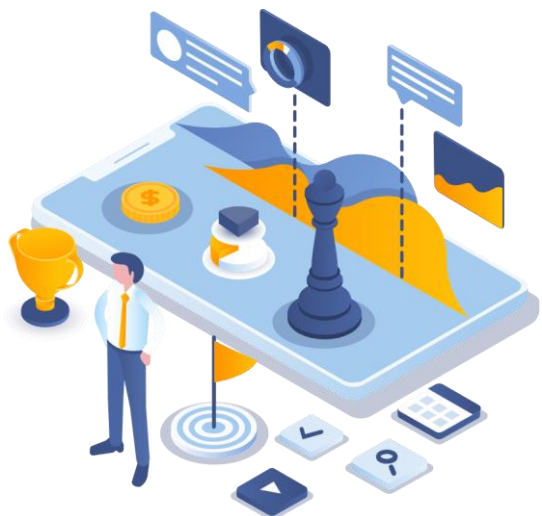
Ashton Thomas is the ecosystem for independent advisors who truly cater to their clients, optimize the efficiency of their business, and fully benefit from ownership in their own practice. Our services and platform are designed help you harness that power.



A culture rich in client service. Freedom from the constraints of the *status quo*.

Partnering with Ashton Thomas means access to not only industry-leading technology, but to a whole team of professionals—advisors, investment analysts, an experienced back-office, leaders in practice management development and much more. These professionals have extensive experience in every facet of your practice and are committed to helping you stay ahead of the competition. Together, we'll identify how to maximize your time and opportunities so you spend more time with the people and projects that expand and enhance your business.



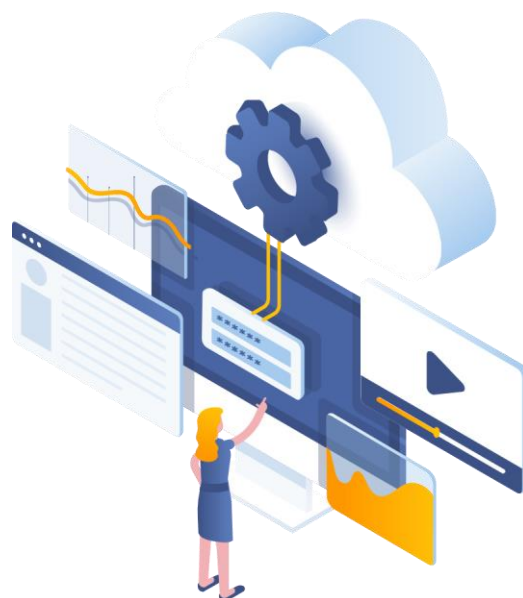


Advisors who lead the way. Collaboration combined with action.

Our vision is to help select advisors build the lives they've dreamed about: applying their professional expertise to serve clients with transparency, outstanding operational and platform support, and a true sense of entrepreneurship. As an advisor at Ashton Thomas, you are the heart, soul and voice of the business. Unlike the large wirehouses, we manage from bottom up—our best ideas come from the team members who define the firm and its direction. At Ashton Thomas, you will find the camaraderie and intellectual stimulation that come from working with other advisors who are at the top of their profession.

Staying at the leading edge. Delivering what you deserve.

Those who operate within Ashton Thomas benefit from new and advanced technology, an open-architecture approach to resource utilization, and economies of scale in sourcing and pricing. As a result, we have the ability to tap industry-leading resources and customize platform solutions that support our service and product philosophies. This means our advisors have access to best-of-breed support through strategic partners who are renowned, experienced industry leaders.



Advisors' Top Priorities

There are a wide array of business model options available to you today. Ashton Thomas can help identify the structure which best fit your needs and goals.

We'll help you consider which models provides the financial freedom, practice growth, and level of autonomy you seek.

Whether your practice is fee-only or you retain a mix of commission and fees business, there is a model which will optimally support your practice.



72%

Differentiation from other firms¹



45%

Increasing client satisfaction¹



69%

Asset growth¹



68%

Profitability¹



46%

Operations & client service growth barriers¹

¹ Source: Independent Advisor Outlook Study – Schwab Advisor Services.

Investment Advisory services provided by Ashton Thomas Private Wealth, LLC, an SEC registered investment adviser. Insurance products offered through Ashton Thomas Insurance Agency, LLC, a licensed insurance agency. Though there are similarities among these services, the investment advisory programs and Insurance services offered by Ashton Thomas' advisors are separate and distinct, differ in material ways and are governed by different laws and separate contracts with you.