



Does Advertising Equal AUM?

On September 23, the Jumpstart Our Business Startups (JOBS) Act officially opened up new avenues for capital raising to hedge funds, private equity funds and other limited partnership entities. But does general solicitation equal increased assets under management (AUM)?

You're invited to join Rothstein Kass for an informative discussion about the new fundraising options available under the JOBS Act. Industry experts will discuss the legal, regulatory, compliance and practical implications of the JOBS Act on your fundraising efforts.

Date:

Tuesday, November 19, 2013

Time:

4:30 p.m. – 7:00 p.m.

Location:

Rothstein Kass
1350 Avenue of the Americas
New York, NY 10019

Agenda:

4:30 p.m. – 6:00 p.m.

Presentation and Discussion

6:00 p.m. – 7:00 p.m.

Networking Cocktail Reception

Topics:

- Rule 506(c)
- Disqualifications from use of Form D
- "Bad Actor" Rules and Compliance Challenges
- Marketing options and considerations
- Investor Perception

Welcome and Overview:

Stacey Schell, CPA, Principal, Rothstein Kass

Presenters:

Brad Caswell, Special Counsel, Schulte Roth & Zabel LLP

Meredith Jones, Director, Rothstein Kass

Evan Katz, Managing Director, Crawford Ventures

Steven Saltzstein, LXE Marketing & Media

CPE Credit: 1.5 Specialized Knowledge and Applications

Fee: No Fee Required

Prerequisites: None

Advanced Preparation: Not Required

Instructional Delivery Method: Group-Live

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