

HFA Symposium: Growing Your Hedge Fund AUM from \$100MM to \$1Billion

New York

March 3, 2014 | 4:30pm - 7:30pm



WHEN & WHERE

Monday, March 03, 2014

- 4:30pm-5:00pm Registration
- 5:00pm-5:15pm Keynote
- 5:15pm-5:30pm Regulatory Update
- 5:30pm-6:30pm Panel Discussion
- 6:30pm-7:30pm Cocktails

Chadbourne & Parke LLP
30 Rockefeller Plaza
New York, NY 10112

[Directions](#)

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HFA CONTACTS

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HFA SYMPOSIUM

Superior performance is a critical factor in raising capital and growing AUM, but in today's challenging environment it is not the only driver to success. Sophisticated investors often look for more than excellent performance and conduct diligence on a variety of levels, including the background and character of the team, their superior understanding of macroeconomic trends and market forces, and dedication to and experience in implementing a core investment strategy. In addition to economics, potential investors may require managers to demonstrate their ability to manage operations and technology, implement regulatory and compliance practices and procedures, and handle investor relations and fund marketing.

Our accomplished panel of speakers will seek to offer attendees guidance on these topics and more:

- Factors investors consider in evaluating the quality of a hedge fund.
- How to increase investor perception.
- How a hedge fund manager can differentiate its strategy and articulate alpha-producing opportunities.
- How to enhance your marketing and sales strategy.
- How to increase your ability to target and close on qualified prospects.
- The importance of maintaining effective internal controls, infrastructure, back office and internal operations.
- Navigating today's regulatory environment.

Attendees will enjoy an informative and interactive discussion followed by cocktails and networking. This HFA Symposium is complimentary for all.

Space is limited, please select a link below and RSVP now:

[Register Now](#)

Mitch Ackles
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EVENT SPEAKERS



Keynote: Governor George E. Pataki
Partner,
Chadbourne & Parke, LLP

Governor George Pataki's practice focuses on energy, environmental and corporate matters. Prior to joining Chadbourne & Parke LLP, he served three terms as the 53rd governor of New York State, from 1995 through 2006. First elected in 1994, he won re-election in 1998 and 2002.

Governor Pataki brings to Chadbourne broad experience in law and public service. He was a partner in the New York law firm of Plunkett & Jaffe until 1987. He was elected mayor of Peekskill, New York in 1981, and served in the New York State Legislature as an assemblyman and then a senator from 1985 to 1994, before becoming governor.

During his tenure as New York's chief executive, Governor Pataki advanced award-winning, cutting-edge policies in the renewable energy and environmental fields. His initiatives included the protection of over one million acres of open space, the adoption of the Regional Greenhouse Gas Initiative, the implementation of the nation's first green building tax credit, landmark brownfield legislation and programs to enhance the production and use of alternative energy like biodiesel, ethanol, fuel cells and clean coal.



Regulatory Update & Moderator: Beth R. Kramer
Partner,
Chadbourne & Parke, LLP



HFA TRAVEL PARTNERS



UPCOMING EVENTS

HFA Roundtable:

February 5th - [Sydney](#)
HFSB's Institutional Investors Roundtable

HFA Symposium:

February 6th - [Boston](#)
2014 Key Compliance Priorities

February 24th - [Washington DC](#)
Hedge Fund Launch: Navigating in a New Environment

Beth Kramer focuses her practice on investment management and securities law. She regularly advises investment advisers on the formation and ongoing management of funds and on the regulatory and compliance aspects of their businesses. She counsels investment companies, private funds and separately managed accounts on structuring, organization, distribution, and SEC regulatory and compliance issues, including responses to SEC examinations.

Ms. Kramer's practice includes the creation of new advisory businesses, including registration and formation with appropriate regulatory authorities, creation of disclosure documents for private funds, drafting of investment management products, evaluating fund documents for institutional investors seeking alternative investments, development of compliance policies and procedures, performing compliance reviews, representations of advisers in connection with SEC examinations, counseling clients on compliance with the Dodd-Frank Act, and adviser acquisitions. She advises clients with respect to compliance with, and exemptions from the Investment Advisers Act of 1940, as amended and the Investment Company Act of 1940, as amended.



Regulatory Update: Scott Naidech
Partner,
Chadbourne & Parke LLP

Mr. Naidech represents a broad array of private fund sponsors in the structuring, establishment and operation of their funds. He has formed buyout, growth capital, real estate and venture capital funds ranging in size from \$100 million to over \$16 billion of committed capital, including both geography focused and industry focused funds. In addition to private funds, he advises clients on a number of other complex business transactions, including leveraged buyouts, recapitalizations, acquisitions and divestitures, as well as general corporate matters.

Prior to joining Chadbourne in the fall of 2007, Mr. Naidech practiced in the New York offices of Kirkland & Ellis LLP and Linklaters LLP, where he worked extensively with a number of prominent fund sponsors.



Evan H. Katz

Managing Director,
Crawford Ventures, Inc.
HFA Northeast Region Advisory Board

Evan Katz is Managing Director of Crawford Ventures, Inc., an alternative asset investment firm that forms, grows, holds interests in, and raises very substantial investor capital for, compelling hedge funds and private equity funds.

An honors graduate of The Wharton School of Business and Harvard Law School, Evan has worked on Wall Street for more than a decade, and is highly regarded as an expert on alternative asset best practices and fundraising. He has raised very substantial investor capital and commitments for compelling hedge funds, private equity funds, and early stage technology and medical life sciences companies. Evan's vast and longstanding relationships in the global investor community include high-level relationships with more than 1,000 institutional and family office investors worldwide, which collectively have more than \$5 trillion of assets, allocate many billions of dollars to hedge funds, and include very many prominent world-renowned endowments, single-family offices, foundations, funds of funds, insurance companies, multi-family offices, pensions, and sovereign wealth funds.



Meredith Jones

Manager of Research
Rothstein Kass

Meredith Jones is a director at Rothstein Kass responsible for generating research and content on the alternative investment industry by and on behalf of the firm. She also provides business advisory services to the firm's clients. Meredith has more than 15 years of experience in the alternative investment industry, with extensive expertise in

research, writing, consulting, marketing, business development, due diligence, index construction and asset allocation. Her research has been published in a number of books and journals and in the international press.

Prior to joining Rothstein Kass, Meredith was a director in the Barclays Capital Inc. Strategic Consulting Group, where she was responsible for producing thought leadership content on a variety of manager and investor focused topics, as well as leading consulting projects for BarCap clients. She previously served as a managing director at PerTrac Financial Solutions (PFS), a leading provider of investment analytics. At PFS, Meredith was responsible for research, marketing, investment data, and was a fixture on the international hedge fund conference circuit.



Dr. Thomas R. Cardello

Founding Member,
Venice Group

Thomas Cardello is the founding member of the Venice Group of companies. Venice Group and its affiliates develop and operate projects in conventional energy production, electricity co-generation, solar power generation, and energy storage. Venice also uses quantitative investment strategies to manage a portfolio of commodity and financial assets. His active board affiliations include Chalkstream Capital Management, a New York fund of funds, and the Kurt Forrest Foundation of Greenwich, Connecticut.

From 2004 until 2010, Dr. Cardello was a managing member of Sunrise Capital Management, a California institutional fund. Prior to then he was Managing Director with Morgan Stanley responsible for Global Electronic Equity Derivatives Market Making. There he was responsible for this business since its inception in late 1999, and led its growth into a position of recognized national leadership. During this period he was elected a member of the Board of Directors of the International Securities Exchange.

Dr. Cardello holds Ph.D. and M.Phil. degrees in physics from Yale University, and a B. Sci. in mathematics and physics from The Cooper Union.



Shannon Day

Hedge Fund Consulting Group,
Deutsche Bank

Shannon Day is a member of the Hedge Fund Consulting Group within Markets Prime Finance. In this role she provides customary advisory services to meet the operational needs for managing a hedge fund. She also manages and maintains a large network of service provider relationships and vendor resources in all related functions. Shannon has specific experience in operational due diligence, strategic business planning, organizational structure, key service provider selection, talent introduction and real estate.

Shannon joined Deutsche Bank in 2002 after three years at Wand Partners, a venture capital and private equity firm.

Shannon holds a BA in Political Science from Villanova University.

Speakers may change at any time due to unforeseen circumstances.

The Hedge Fund Association is not responsible for attendee travel costs in the case of an event cancellation.

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