

---

# AGENDA

---

**2021 Virtual Fall Annual Meeting and Education Conference**



**"THE ROARING 2020s"**

Unmasking A New Era

**ACIC**  
AMERICAN COLLEGE OF  
INVESTMENT COUNSEL

October 13 – 14, 2021

Wednesday, October 13th &  
Thursday, October 14th

# Wednesday October 13th

1:00 PM – 5:30 PM EST

---

1:00 PM – 1:15 PM WELCOME / INTRODUCTIONS / ANNOUNCEMENTS

---

## Session 1

**1:15 PM - 2:45 PM**

**The New (Ab)Normal - Market Update**

Panelists will provide the health results of the marketplace, recent trends and forward outlook of the market highlighting debt and equity markets. Panelists will also provide a brief foundation for the topics that will be discussed in more detail during the conference, including investment in alternative asset classes, PE funds, ESG investing and the aftermath of COVID-19.

**Moderator - Jason Feintuch, Houlihan Lokey**

**Panelists - Randy Brown, Sun Life Financial**

**Anthony R. Malloy, New York Life Insurance Company**

**Richard E. Buckley, Prudential Financial**

**Neha Shah, Houlihan Lokey**

**BREAK: 2:45 PM – 3:00 PM**

## Session 2

**3:00 PM - 4:15 PM**

**PE Funds: Recent Terms & More**

The panelists will discuss recent market trends of key terms in fund agreements, including what is driving such trends, their short- and long-term business and legal implications and whether such trends are expected to continue. The panelists will also discuss general investment considerations, from both the LP and GP perspective, with respect to all stages of the life cycle of a fund when investing, directly or indirectly, in general partners, and how investors in a private fund are treated when such fund suffers a material credit default or files for bankruptcy.

**Moderator - F. Jamal Fulton, Cleary Gottlieb Steen & Hamilton LLP**

**Panelists - Barbara Niederkofler, Akin Gump Strauss Hauer & Feld LLP**

**Chiraag Kumar, MetLife**

**Eric Mansell, Adam Street Partners, LLC**

**BREAK: 4:15 PM – 4:30 PM**

## Session 3

**4:30 PM - 5:30 PM**

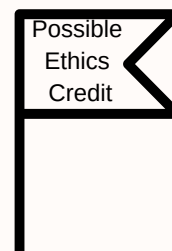
**Ethical Traps for the Investment Practitioner**

Panelists will discuss scenarios of actual cases that address various attorney ethics rules, provide methods on how to avoid ethical pitfalls and discuss the "do's and don'ts" while working remotely.

**Moderator - Christine L. Rittberg, Northwestern Mutual**

**Panelists - John B. Harris, Frankfurt Kurnit Klein & Selz**

**Trisha M. Rich, Holland & Knight LLP**



---

# Networking

---

Virtual Cheese Tasting!

ONLY  
\$50.00

October 13, 2021  
6:00 PM EST

Includes:

6 oz Toma  
6 oz TomaRashi  
6 oz TomaProvence  
6 oz TomaTruffle  
6 oz Bay Blue  
6 oz Original Blue  
Cheese Knife

Come network with  
your Fellows!



Tickets must be purchased by 9/29/2021 due to shipping of  
cheese. Domestic shipping only.

Wednesday, October 13th  
6:00 PM EST

# Thursday October 14th

10:00 AM – 2:30 PM EST

---

10:00 AM – 10:30 AM     ANNUAL MEETING

---

## Session 4

**10:30 AM - 11:30 AM**

**ESG: The New Wave of Investing**

This panel will discuss the benefits of, things to watch out for, and best practices of, Environmental, Social and Governance (ESG) investing, from both the investor and sponsor perspectives, including disclosure obligations, metric determinations, ESG ratings and reports, expected growth trends and other regulatory matters.

**Moderator - Stacey H. Mitchell, Akin Gump Strauss Hauer & Feld LLP**

**Panelists - Kimberly T. Stepancic, CFA, New York Life Insurance Company  
Radhika Shroff, Nuveen  
Martin Muoto, SoLa Impact**

**BREAK: 11:30 AM – 11:45 AM**

## Session 5

**11:45 AM - 1:00 PM**

**Beyond Scratching the Diversity Surface**

Many firm leaders responded to the George Floyd / BLM movement by implementing diversity related changes in their organizations. The panelists will discuss what those changes were, recent success / challenges of such changes, tangible results of such changes and what are the anticipated long-term effects of such changes.

**Moderator - Myra Michail, John Hancock Financial Services**

**Panelists - Marin Lorensen, The Hartford  
Tammy G. Mata, Valley National Bank**

Possible  
Ethics  
Credit

**Networking Break: 1:00 PM – 1:30 PM     Human Rights First and Wills for Heroes**

## Session 6

**1:30 PM - 2:30 PM**

**Going Digital**

Panelists will discuss investing in cryptocurrencies, blockchain-based assets and other digital assets, how the regulatory framework of investing in digital assets has evolved over recent years, how digital assets have impacted the debt market and what enhancements and challenges are expected to come from such evolution.

**Moderator - Natalie S. Lederman, Sullivan & Worcester LLP**

**Panelists - Joy Batra, Syndicate Protocol  
Daniel N. Budofsky, Pillsbury Winthrop Shaw Pittman LLP  
Steve Kurz, Galaxy Digital**

# 2021 Virtual Fall Annual Meeting and Education Conference

---

## CO-CHAIRS

DORA JIMENEZ  
NEW YORK LIFE INSURANCE COMPANY  
NEW YORK, NY

ARINZE ONUGHA  
FRIED, FRANK, HARRIS, SHRIVER &  
JACOBSON LLP  
NEW YORK, NY

---

### Registration

Please visit <https://www.aciclaw.org/events/#!event/2021/10/14/2021-fall-annual-meeting-and-education-conference> to register online or download the PDF form and return it to the ACIC Administrative Office at the address listed below. The registration fee of \$275 for both sessions and \$175 for one session for ACIC members in good standing (2021 dues paid) and \$525 for both sessions and \$425 for one session for non-members can be paid by credit card or check. Checks must be made payable to the American College of Investment Counsel and must accompany the registration form. All fees are to be paid in U.S. Dollars.

### Confirmation

A confirmation and receipt of payment will be sent via e-mail to all registrants. A link and password to the virtual platform will be emailed to participants prior to the event.

### Cancellation

Notification of cancellation for either day of the conference must be received by the ACIC Administrative Office in writing (or e-mail to [office@aciclaw.org](mailto:office@aciclaw.org)) no later than Monday, October 11, 2021. Refunds for cancellations received by Monday, October 11, 2021 will be subject to a \$50 cancellation fee per day of the conference that is cancelled. No refunds will be made for cancellations after October 11th. Substitutions of a colleague within the same firm are allowed if they are submitted in writing (or e-mail to [office@aciclaw.org](mailto:office@aciclaw.org)) to the ACIC Administrative Office no later than Monday, October 11, 2021.

PLEASE NOTE: The presentations will be recorded and available for viewing by conference registrants for a period of three months after the conference. A monitored e-mail with a qualified commentator will be made available for the posted sessions of the conference. Jurisdictions' treatment of such virtual courses varies - most grant credit for virtual courses, some require that it qualify under self-study rules/limits, and some treat live and on-demand virtual courses differently. We strongly encourage you to review your jurisdictions' virtual/digital media course and self-study eligibility rules prior to registration as refunds will not be issued for cancellations after October 11, 2021. Please review your jurisdictions' rules prior to registration.

### CLE Accreditation

The ACIC has been certified as an accredited provider of Continuing Legal Education in the State of Illinois. Attorneys from other jurisdictions may also be eligible for CLE credits. Individuals may obtain a copy of the Financial Hardship Policy and application procedure by contacting the ACIC Administrative Office at 972-233-9107 ext. 216. Any denial or waiver of fee shall not be based on age, gender, race, color, religion, nationality, ethnic origin, or disability. All information pertaining to the request for relief is confidential.

**ACIC Administrative Office**  
**14070 Proton Rd, Suite 100**  
**Dallas, TX 75244**