

FORMER CHIEF EXECUTIVE OFFICER, ASSET MANAGEMENT

Visionary thought leader capable of combining complex sciences with financial services to create innovative businesses. Launched two businesses within Cargill and two in Wolf International. Over 30 years experience in direct trading, investment management, and risk management, serving as a subject matter expert. Architect of several billion in total investment and portfolio accounts in multiple currencies. Considerable international experience spanning 91 countries, doing business in 15 jurisdictions. Resident experience in the United States, Europe, and Singapore. Facilitated changes in laws to promote financial services business in New Mexico. Service on more than 40 boards of management companies, funds, and not-for-profit entities. Accomplished public speaker and recognized author of *Sopa Piranha*, a financial market commentary with over 3,000 subscribers internationally.

CORE COMPETENCIES

- International Business Development
- P & L and Risk Management
- Public Policy Influence
- Board Experience
- Corporate Governance
- Investment Management
- Hedge Fund Development
- Product Development
- Pensions, Endowments & Foundations
- Entrepreneurship
- Public Speaking
- Author & Publisher

PROFESSIONAL EXPERIENCE

WOLF VENTURES, LLC

2016 - 2018

Managing Partner

Managed private equity investments building product record

WOLF ASSET MANAGEMENT INTERNATIONAL, LLC, New York, NY

1997 - 2015

Chief Executive Officer

Conducted hedge fund investment and capital-raising for an international privately-owned asset management firm with offices in New York, NY and Santa Fe, NM.

- Directed investment management of client assets including selecting fund investments and direct trading overlay.
 - Developed and launched 10 products, including 2 hedge funds, in distressed securities, arbitrage, commodities, and emerging markets.
 - Developed state-of-the-art risk management and reporting system directly
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contributing to performance.

- Managed money for banking institutions, private banks, insurance companies, pensions, public funds, and corporations.
- Established long-standing relationships with clients in Australia, Japan, United Kingdom, Switzerland, France and other nations in Europe and Asia.

CARGILL ASSET MANAGEMENT, Minneapolis, Minnesota

1990 – 1995

President

Managed and invested balance sheet assets of funds totaling more than \$2B, and supervised banking lines in funds of \$1B. Assets under management accounted for 40% of revenue of financial division. Supervised 38 direct employees.

- Directed trading of commodities, transportation, money market, derivatives, currencies, fixed income derivatives, emerging country markets, distressed credit markets, distressed real estate, mortgage securities and tax advantaged securities.
- Served on Financial Risk Committee, providing guidelines for financial risk management in the proprietary hedge fund with over \$10B of balance sheet assets.
- Developed and launched products for external clients in diversified hedge funds.

CARGILL INCORPORATED, Minneapolis, Minnesota

1977 – 1995

Senior Vice President

Served as Vice President and Assistant Vice President while managing significant operations in financial trading and commodity merchandising.

- Managed US fixed income trading and derivatives for proprietary hedge fund; directly responsible for \$6B balance sheet.
- Directed trading activities in various markets including swaps, options, futures, corporate debt, municipal bonds, perpetual notes, floating rate notes, mortgages, currencies, preferred stock, money markets, FRA's and derivatives of such.
- Founding member of Cargill's first in-house hedge fund, starting with a small 8-person department, scaling to 550 people in the business unit.

EDUCATION

- Master of Business Administration, Business Finance, University of Minnesota, Minneapolis, Minnesota
- Bachelor of Arts, Economics, Iowa State University, Ames, Iowa

ORGANIZATIONS

- Chicago Board of Trade - Board Member 1981 - 1982
- COMEX - Board Member 1989 - 1990
- Santa Fe Institute - Trustee 2008 - 2018
- New Mexico Financial Services Task Force – Founder and Chair, 2006 - 2009

- Instrumental in the development of legislation for a financial services business improvement plan, resulting in the opening of Fidelity Investments in New Mexico, 2008.
- Minnesota Museum of American Art - Trustee 1993 - 1995
 - Twice named Trustee of the Year over a 3 year term
- SITE Santa Fe – Board Member, 2006 - 2009
- University of Minnesota Asset Management and Debt Advisory Committee – Board Member 1994 - 1995
- Minneapolis American Indian Center – Board Member 1990 – 1992
- Included in Financial Times Top 100 Diverse Board Candidates in 2010
- Hangar Technology – Board Member 2017 - 2018
- Texas Employee Retirement System Investment Advisory Committee – 2017 - 2018

RECENT SPEAKING ENGAGEMENTS

- Terrapin Gold Congress, 2010 “Advanced Techniques in Risk Management”
- JetFin Agro Conference, 2010 “Commodities Risk”
- Texas Wall Street Women, 2010 “Commodities”
- Milken Institute Global Conference, 2009 “Commodities Reflation”
- Altegris Conference, 2009 “Investment Opportunities”

PUBLICATIONS PROVIDED UPON REQUEST