



IIA Atlanta Chapter Meeting

April 14, 2023

7:30-8:30AM	Registration, networking, and buffet breakfast
8:30-8:40AM	IIA Welcome and Announcements
8:40-9:30AM	Cyber threat overview and exercise Part 1 - The purpose of this session is to give attendees the experience of making risk-based decisions during a cyber event to better understand areas that they should review as part of their IA role. The session will start with an overview of overall cyber threats and risk, as well as considerations when constructing a cybersecurity IA review program. Scott Peyton – Partner, Advisory Risk John Pearce – Principal, Cyber Risk Advisory Services
9:30-9:40AM	Break
9:40-10:30AM	Cyber threat overview and exercise Part 2 - Following the overview attendees will be broken into teams and will be taken through a scenario where they will make decisions as team prior to, and during a cybersecurity breach. Following the exercise the session will complete by discussing lessons learned during the event. Scott Peyton – Partner, Advisory Risk John Pearce – Principal, Cyber Risk Advisory Services
10:30-10:40AM	Break
10:40 – 11:30AM	Real-time vendor risk oversight through data-driven approaches The purpose of this session is to provide an overview of cutting-edge, data-driven approaches to vendor risk management. These automated processes drive more consistent onboarding, and continuous monitoring over your vendors and other key third party relationships. In this session we'll review the current challenges across the marketplace, a case study to better utilize existing resources, and leading vendor risk

	management tools and technologies. Long gone will be the days of outdated, point-in-time questionnaire-based assessments. Yvette K. Connor – Principal, Strategic Risk Services Leader Mike Pankey – Senior Manager, Risk Advisory
11:30-12:00PM	Break, Networking (CAE's Only)
12:00-1:00PM	Lunch (Invitation Only)
1:00-1:15PM	Break, Networking
1:15-2:30PM	CAE Roundtable (Invitation Only)



Scott Peyton, Partner, Advisory Risk

Scott has over 25 years' experience as a service provider and practitioner. He has held executive level positions in management consulting firms, finance organizations and internal audit departments.

Scott is a Partner in Grant Thornton's Advisory Risk services and is the firm's Internal Audit IT and Cybersecurity practice leader. He is a Subject Matter Specialist in enterprise risk and compliance management, SOX readiness and compliance, SOC examinations, and internal audit process improvement. Scott's experience includes leading and performing IT and cybersecurity audits in highly regulated industries, Sarbanes-Oxley (SOX) audits, Service Organization Control (SOC) attestations and cybersecurity program risk and maturity assessments.

Scott has led organizations as CFO including divisional CFO of a large multi-national entertainment company. He held several internal audit positions and was responsible for implementing and managing the world-wide IT audit practice for a large multi-national company. As a service provider, he has served clients in highly regulated industries including Financial Services, Insurance, Health Care and Utilities as well as clients in the telecommunications/entertainment industry.

Professional qualifications and memberships

- Certified Public Accountant (CPA)
- Certified in the Governance of Enterprise IT (CGEIT)
- Member, AICPA, IIA and ISACA



John Pearce, Principal, Cyber Risk Advisory Services

John is a Principal in the Cyber Risk Advisory Services Group. John has twenty (20) years of professional experience conducting number of large scale engagements for both the public and private sector in the following areas: security program strategy and implementation, security controls assessments, security operations capability maturity and development, advanced intrusion analysis and cyber remediation.

John has led or managed projects across multiple industries including financial services (banking, insurance, and payments), manufacturing, technology, consumer products, and transportation. He has assisted organizations in building information security departments, information risk management functions and overall IT governance functions. John also has been involved in Cybercrime investigations and implementation of a variety of technology security solutions. John presents frequently to Corporate Boards and executive teams on the challenges of Cybersecurity.

John has Security and Risk Domain Experience Knowledge and experience in NIST 800 series, NIST Cyber Security Framework, FFIEC Guidelines, ISO27001/27002, and PCI DSS.

Professional qualifications and memberships

- Certified Information Systems Security Professional (CISSP)



Yvette Connor, Principal, Strategic Risk Services Leader

Yvette serves as Grant Thornton's Strategic Risk Management Leader within Risk Advisory Services. She has over 25 years of domestic and international risk management experience. Yvette provides leading risk insights to global, national, and regional organizations interested in improving risk management governance to drive growth and value. Yvette is an executive leader with experience in Enterprise Risk Management, including designing and implementing programs, risk assessment and control testing, fast tracking regulatory alignment, and selecting successful de-risking solutions that reduce volatility. Yvette focuses on helping organizations achieve results through a variety of approaches focused on results, resiliency, and that help inform strategic planning.

Prior to joining Grant Thornton, Yvette's experience included leadership roles as a Chief Risk Officer at an insurance company, Managing Director and Financial Services Practice Leader for a global consulting company and the Head of Client Engagement and Data Analytics for a global consulting organization. She has a proven record of succeeding in complex environments and addressing emerging, atypical and fast paced risks. She designs and implements risk frameworks and processes that are widely recognized for effectively protecting and optimizing capital while also improving governance.

Earlier in her career, Yvette served as Head of Global Risk Management for Vulcan Inc., a privately held company owned by Paul G. Allen, with a diverse portfolio of more than 200 operating companies including insurance companies. She also held other risk management leadership roles at companies in manufacturing and healthcare.

In 2013, Business Insurance magazine named her as a global "Women to Watch" in Risk Management. In 2009, Treasury and Risk named Yvette to its prestigious "40 under 40 list".

Professional Qualifications and Memberships

- FRM, Financial Risk Management (PRMIA)
- Associate in Risk Management (The Institutes)

Education

- Masters of Science, Risk Management, New York University, New York, Stern School of Business
- Master of Business Administration in Finance, University of California, Davis
- Bachelor of Science in Biology, University of California, Davis



Mike Pankey, Senior Manager, Risk Advisory

Mike is a Senior Manager in Grant Thornton's national Risk Advisory practice. He has demonstrated success in consulting and risk management engagements and focuses within financial services and consumer goods/life sciences.

For over twelve years, he has worked with clients on various strategic and regulatory initiatives across a variety of security standards (ISO 27001/2/3, NIST, ISO 9001, PCI-DSS), regulatory requirements (OCC, FFIEC, SEC, NY-DFS, FRB). Engagements have included building and redesigning TPRM programs, restructuring of first and second line compliance functions, and vendor risk managed services. Over the last few years, Mike has focused on assisting Fortune 500 companies to overcome third party and IT vendor-related challenges by establishing cross-functional, enterprise-wide approaches to managing vendor risk.

Over the last few years, Mike has built out and enhanced multiple procurement and third party risk programs, including those at Fortune 500 insurance and financial services providers. He has also collaborated on enterprise risk data modeling engagements at other Fortune 500 companies to help predict where material risk lies across the various business lines, technology and third party service providers. Mike's other experiences include design of cybersecurity and BC/DR programs, and three other initiatives to integrate disparate IT compliance and audit programs at large banking and pharmaceutical clients.

Professional Qualifications

- Certified Information Systems Auditor (CISA)
- Programs Lead for the North Texas ISACA
- Co-host of the 2022 Center for Financial Professionals, 3rd Party Risk Conference

Education

- Bachelor of Science in Integrated Business, Lehigh University
- Bachelor of Science in Information Systems Engineering, and minor in Economics, Lehigh University