

The Independent Bankers Association of NYS presents the

2020 Community Bank Regulatory Compliance Conference



March 3, 2020
Rochester, NY

March 4, 2020
Poughkeepsie, NY

7.0
CPE Credits*

overview

Compliance is one of the most crucial and consuming issues in the financial industry today. The regulatory agencies are making it clear through their examinations that no bank can afford to be without a comprehensive, well-managed compliance program. Your compliance officer must be able to run the process, including a bank-wide training program, like a well-oiled machine.

This conference will provide you an update and what to look out for from our regulatory agency. It's designed to enhance your skills and provide necessary instruction for the perpetually busy compliance staff trying to keep up. Don't be bogged down by regulatory burden! Attend our 2020 Compliance Conference and get a "leg-up" on the perplexing array of constantly-changing regulations.



March 3, 2020

DoubleTree by Hilton
1111 Jefferson Road
Rochester, NY 14623
(585) 475-1510

March 4, 2020

Poughkeepsie Grand Hotel
40 Civic Center Plaza
Poughkeepsie, NY 12601
(845) 485-5300



who should attend

**AUDITORS
COMPLIANCE OFFICERS
BSA OFFICERS
RISK/FRAUD OFFICERS
INSIDE/OUTSIDE COUNSEL
OPERATIONS OFFICERS**

Earn up to 7.0 CPE credits.

*IBANYS has been authorized by the NYS Department of Education to award continuing profession education (CPE) credits.

STAY CONNECTED



agenda



8:30-9:00 am

REGISTRATION AND CONTINENTAL BREAKFAST

9:00-9:10 am

Opening Remarks & Introduction

9:10-10:00 am

Having an Effective Compliance Culture and How to Get There

Justin Muscolino, Head of North American Compliance Training, GRC Solutions, Pty.

10:00-10:50 am

Virtual Currency – What You Need to Know

Nancy Lake, CAMS-Audit, CAMS-FCI, Director of Compliance, Compliance Anchor

Most people have heard of Bitcoin, but what exactly is virtual currency? Why do some regulators call it “virtual currency” and some call it “virtual assets”? How do you identify and monitor the transactions of your customers who use virtual currency? Should you bank entities that deal in virtual currency, and if you do what are the risks of doing so? This session will cover these and other questions to help you “wrap your hands” around this unique financial vehicle.

10:50-11:00 am

Networking Break

11:00-11:50 am

FDIC Update

Frank Hughes, Regional Director NYC, FDIC

2019 year in review and a look ahead to 2020.

11:50-12:30 pm

LUNCH

12:30-1:20 pm

Cybersecurity

Ryan Spelman, Sr. Manager, CyberClarity 360

1:20-2:10 pm

OCC Update (Invited)

Speaker TBD

2019 year in review and a look ahead to 2020.

2:10-2:20 pm

Networking Break

2:20-3:10 pm

DFS Update

Yolanda Ford, Deputy Superintendent and Brian Montgomery, Deputy Superintendent, Consumer Examinations Unit, New York State Department of Financial Services

2019 year in review and a look ahead to 2020. Innovation and the taskforce/committee.

3:10-4:00 pm

Panel Discussion With Regulators

Moderator: Justin Muscolino, GRC Solutions

#1 Topic: BSA/AML

What should we look to improve internally for BSA/AML in preparation for an examination? **OCC**

What are examiners looking for with similar institutions? **DFS**

What are some issues you’ve found over the past year? **FDIC**

#2 Topic: Fair Lending

What should we look to improve internally for BSA/AML in preparation for an examination? **FDIC**

What are examiners looking for with similar institutions? **OCC**

What are some issues you’ve found over the past year? **DFS**

REGISTRATION FORM

Community Bank Regulatory Compliance Conference

**EMAIL**

lindag@ibanys.net

**FAX**

(518) 436-4648

**MAIL**

IBANYS, 19 Dove St., Suite 101, Albany, NY 12210

☐ **MARCH 3, 2020**DoubleTree by Hilton
1111 Jefferson Road
Rochester, NY 14623
(585) 475-1510**MARCH 4, 2020**Poughkeepsie Grand Hotel
40 Civic Center Plaza
Poughkeepsie, NY 12601
(845) 485-5300**REGISTRATION
DEADLINE:
WEDNESDAY,
FEB. 26, 2020***

CoBank/Organization

Address

City/State/Zip

Phone

Fax

Attendee Name

Title

Email

Attendee Name

Title

Email

Attendee Name

Title

Email

**COST**Member: \$275 per person
Non-Member: \$325 per person**PAYMENT**☐ My check is enclosed \$_____ Make checks payable to IBANYS☐ Charge \$_____ to ☐ MasterCard ☐ Visa ☐ Amex

Credit card #

Exp. date

CVV# (Amex on front of card)

Print card name

Credit card address (if different than above)

Signature

*No refunds given after this date.