



# Community Bank Regulatory Compliance Conference

**Wednesday, March 22, 2017—Rochester**  
**Thursday, March 23, 2017—Castleton (Albany)**

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## Where:

Rochester Airport Marriott  
1890 W. Ridge Road  
Rochester, NY 14615  
(585) 225-6880

Castleton Comfort Inn & Suites  
99 Miller Road  
Castleton, NY 12033  
(518) 479-3217

## Who should attend:

- ⇒ CEOs
- ⇒ Compliance Officers
- ⇒ BSA Officers
- ⇒ Sr. Lending Officers
- ⇒ Inside/Outside Counsel
- ⇒ Employees with lending responsibilities
- ⇒ Employees with risk management responsibilities

## EARN UP TO 6.0 CPE HOURS

IBANYS has been authorized by the NYS Department of Education to award continuing professional education (CPE) credits.

## Registration Fees:

Members: \$275

Non-members: \$325

(see page 3 to register)

# Community Bank Regulatory Compliance Conference

## OVERVIEW

Compliance issues pose a serious and common challenge to New York community banks. IBANYS' Compliance Peer Group, comprised of the compliance officers of member banks, has helped design this program examining the "hot button" issues we face, including risk management, cyber security, CRA/fair lending/community development loans, flood insurance, HMDA, BSA/AML and complaint management -- all discussed through a combination of presentations by federal and state regulators, outside consultants and panel discussion with regulators.

## Agenda:

- 8:30—9:00 a.m.      **Registration/Continental Breakfast**
- 9:00—9:50 a.m.      **Current Issues Facing Financial Institutions**  
The presentation focuses on current issues facing financial institutions today, including (i) Bank Secrecy Act/Anti-Money Laundering, (ii) Consumer Compliance, (iii) Data Security, (iv) Enterprise Risk Management, (v) Vendor Management, (vi) Corporate Governance and (vii) Emerging Supervisory Issues.  
**Speakers:** Jeffrey Cardone, Esq., Partner & Ben Azoff, Esq. Partner — Luse Gorman, P.C.
- 9:50—10:40 a.m.      **Update on 2017 Federal Regulatory Perspective**  
This session will provide updates on “hot button” examination and regulatory issues, including HMDA, Private flood insurance and AML/BSA, *from the federal perspective*.  
**Speaker:** Ronald Castrichini - National Bank Examiner, Office of the Comptroller of the Currency (OCC) - (Rochester)  
**Speaker:** TBA – OCC – (Albany)
- 10:40—10:50 a.m.      **Refreshment Break**
- 10:50— 11:40 p.m.      **Continuation: Update on 2017 Federal Regulatory Perspective**  
**Rochester Speaker:** John Vogel, New York Regional Director, Federal Deposit Insurance Corporation (FDIC)  
**Albany Speaker:** Speaker: Scott Strockoz, New York Regional Deputy Director, Federal Deposit Insurance Corporation (FDIC)
- 11:50— 12:45 p.m.      **Networking Lunch**
- 12:45— 1:35 p.m.      **Update on 2017 New York State Regulatory Perspective**  
This session will provide updates on “hot button” examination and regulatory issues, including risk management oversight, HMDA, and Private flood insurance *from the New York State perspective*.  
**Speakers:** Ruth Adams, Deputy Superintendent; Yolanda Ford, Deputy Superintendent; Mitchell Kent, Director & Counsel of Consumer Compliance, Regulations and Policy, NYS Department of Financial Services
- 1:35— 2:25 p.m.      **ADA Website Compliance**  
Review ADA standards and the options and benefits of being ADA compliant.  
**Speaker:** Charlie Graham, Founder & Creator, Volum8
- 2:25—2:35 p.m.      **Refreshment Break**
- 2:35— 3:25 p.m.      **Incentive Compensation Practices**  
**Speaker:** Peter Rossi, Manager, Internal Audit Assurance Group — Wolf & Company, P.C.
- 3:25— 4:00 p.m.      **Panel Discussion with Regulators**  
DFS, OCC, FDIC regulators will respond to questions provided to regulators prior to the meeting.  
**Moderator:** Stephen R. King, JD, AMLP, Member of the Firm — Wolf & Company, P.C. (IBANYS Preferred Partner)

# Community Bank Regulatory Compliance Conference

## Registration Form:

Complete the information below and mail,  
fax or email to: **Please check date & location**



**Mail:** IBANYS

19 Dove Street, Suite 101

Albany, NY 12210

**Fax:** (518) 436-4648

**OR**

**E-mail:** lindag@ibanys.net

**Date:** Wednesday, March 22, 2017

**Location:** Rochester Airport Marriott

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**Bank/Organization:** \_\_\_\_\_

**Address:** \_\_\_\_\_

**City/State/Zip:** \_\_\_\_\_

**Phone:** \_\_\_\_\_ **Fax:** \_\_\_\_\_

**Attendee Name:** \_\_\_\_\_ **Title:** \_\_\_\_\_

**Email:** \_\_\_\_\_

**Attendee Name:** \_\_\_\_\_ **Title:** \_\_\_\_\_

**Email:** \_\_\_\_\_

**Attendee Name:** \_\_\_\_\_ **Title:** \_\_\_\_\_

**Email:** \_\_\_\_\_

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☐ **Member: \$275 per person**

☐ **March 23, 2017—Castleton (Albany)**

☐ **Non -Member: \$325 per person**

Enclosed is a check for \$\_\_\_\_\_, OR charge my credit card \$\_\_\_\_\_ **TOTAL Attendees** \_\_\_\_\_

**Visa/Mastercard/AMEX:** \_\_\_\_\_

**Cardholder Name:** \_\_\_\_\_ **Expiration Date:** \_\_\_\_\_

**Address of credit card (if different then above)** \_\_\_\_\_

**CVV (last 3 numbers located on back of card)** \_\_\_\_\_ **AMEX (4 digits on front of card)** \_\_\_\_\_

## Questions:

Contact: Linda Gregware:  
lindag@ibanys.net or (518) 436-4646

## Registration deadline:

**Wednesday, March 23, 2016**

Form may be copied for additional registrants.

\*\*No refunds will be given after Wednesday, March 23, 2016.