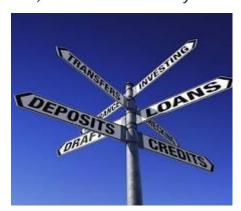
Bankers association

of New York State, Inc.

Community Bankers. Serving the Community, Serving You!



Community Bank Regulatory Compliance Conference

Wednesday, March 22, 2017—Rochester Thursday, March 23, 2017—Castleton (Albany)

Where:

Rochester Airport Marriott 1890 W. Ridge Road Rochester, NY 14615 (585) 225-6880

Castleton Comfort Inn & Suites 99 Miller Road Castleton, NY 12033 (518) 479-3217

EARN UP TO 6.0 CPE HOURS

IBANYS has been authorized by the NYS Department of Education to award continuing professional education (CPE) credits.

Who should attend:

- ⇒ CEOs
- ⇒ Compliance Officers
- ⇒ BSA Officers
- ⇒ Sr. Lending Officers
- ⇒ Inside/Outside Counsel
- ⇒ Employees with lending responsibilities
- ⇒ Employees with risk management responsibilities

Registration Fees:

Members: \$275

Non-members: \$325

(see page 3 to register)

Community Bank Regulatory Compliance Conference

OVERVIEW

Compliance issues pose a serious and common challenge to New York community banks. IBANYS' Compliance Peer Group, comprised of the compliance officers of member banks, has helped design this program examining the "hot button" issues we face, including risk management, cyber security, CRA/fair lending/community development loans, flood insurance, HMDA, BSA/AML and complaint management -- all discussed through a combination of presentations by federal and state regulators, outside consultants and panel discussion with regulators.

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8:30—9:00 a.m. Registration/Continental Breakfast

9:00—9:50 a.m. Current Issues Facing Financial Institutions

The presentation focuses on current issues facing financial institutions today, including (i) Bank Secrecy Act/Anti-Money Laundering, (ii) Consumer Compliance, (iii) Data Security, (iv) Enterprise Risk Management, (v) Vendor Management, (vi) Corporate Governance

and (vii) Emerging Supervisory Issues.

Speakers: Jeffrey Cardone, Esq., Partner & Ben Azoff, Esq. Partner — Luse Gorman, P.C.

9:50—10:40 a.m. Update on 2017 Federal Regulatory Perspective

This session will provide updates on "hot button" examination and regulatory issues, including HMDA, Private flood insurance and

AML/BSA, from the federal perspective.

Speaker: Ronald Castrichini - National Bank Examiner, Office of the Comptroller of the Currency (OCC) - (Rochester)

Speaker: TBA - OCC - (Albany)

10:40-10:50 a.m. Refreshment Break

10:50-11:40 p.m. Continuation: Update on 2017 Federal Regulatory Perspective

Rochester Speaker: John Vogel, New York Regional Director, Federal Deposit Insurance Corporation (FDIC)

Albany Speaker: Speaker: Scott Strockoz, New York Regional Deputy Director, Federal Deposit Insurance Corporation (FDIC)

11:50— 12:45 p.m. Networking Lunch

12:45–1:35 p.m. Update on 2017 New York State Regulatory Perspective

This session will provide updates on "hot button" examination and regulatory issues, including risk management oversight, HMDA,

and Private flood insurance from the New York State perspective.

Speakers: Ruth Adams, Deputy Superintendent; Yolanda Ford, Deputy Superintendent; Mitchell Kent, Director & Counsel of Consumer

Compliance, Regulations and Policy, NYS Department of Financial Services

1:35– 2:25 p.m. ADA Website Compliance

Review ADA standards and the options and benefits of being ADA compliant.

Speaker: Charlie Graham, Founder & Creator, Volum8

2:25—2:35 p.m. Refreshment Break

2:35–3:25 p.m. Incentive Compensation Practices

Speaker: Peter Rossi, Manager, Internal Audit Assurance Group — Wolf & Company, P.C.

3:25-4:00 p.m. Panel Discussion with Regulators

DFS, OCC, FDIC regulators will respond to questions provided to regulators prior to the meeting.

Moderator: Stephen R. King, JD, AMLP, Member of the Firm — Wolf & Company, P.C. (IBANYS Preferred Partner)

Community Bank Regulatory Compliance Conference

Registration Form:

Complete the information below and mail,

fax or email to: Please check date & location



Community Bankers. Serving the Community, Serving You!

Mail: IBANYS F

19 Dove Street, Suite 101

Albany, NY 12210

Fax: (518) 436-4648

OR

E-mail: lindag@ibanys.net

Date: Wednesday, March 22, 2017 Thursday, March 23, 2017

Location: Rochester Airport Marriott

1890 W. Ridge Road Rochester, NY 14615 (585) 225-6880 Castleton Comfort Inn & Suites

99 Miller Road Castleton, NY 12033 P: (518).479-3217

Bank/Organization:				
Address:				
City/State/Zip:				
Phone:	Fax:			
Attendee Name:	Title:			
Email:	_			
Attendee Name:	Title:			
Email:				
Attendee Name:	Title:			
Email:				
March 22, 2017—Rochester	Member: \$275 per person			
March 23, 2017—Castleton (Albany)	Non -Member: \$325 per person			
Enclosed is a check for \$, OR charge my credit card \$ TOTAL Attendees				
Visa/Mastercard/AMEX:				
Cardholder Name:	Expiration Date:			
Address of credit card (if different then above)				
CVV (last 3 numbers located on back of card)	AMEX (4 digits on front of card)			

Questions:

Contact: Linda Gregware: lindag@ibanys.net or (518) 436-4646

Registration deadline:

Wednesay, March 23, 2016

Form may be copied for additional registrants.

^{**}No refunds will be given after Wednesday, March 23, 2016.