

Independent Bankers Association of New York State presents

2021

Regulatory Compliance

Update For Community Banks



Thursday, February 4, 2021

8:30 a.m. - 12:30 p.m.

Live Webinar – Via ZOOM

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4.0
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Overview

Compliance is one of the most crucial and consuming issues in the financial industry today. The Regulatory agencies encourage all banks to have a comprehensive and well managed compliance program. Compliance officers are responsible for developing and maintaining a bank wide compliance program that includes monitoring and training.

This regulatory update will offer insight and instruction from regulatory agencies and subject matter experts on developing and maintaining a solid compliance program.

Agenda

8:30 a.m. - Introduction

8:35– 10:20 a.m. – OCC and FDIC Update

Gretchen A. Blystone, Consumer Compliance/CRA/FL/UDAP Lead Expert, Northeastern District:
Gretchen will discuss important Consumer Compliance topics from 2020, including Reg CC updates, CRA survey and qualifying activities, gaps and enforcement actions discovered from 2020 exams. She will also provide resources regarding Pandemic Compliance Considerations for COVID-19.

Dulce Jerez BSA/AML Lead Expert – Northeastern and Southern Districts OCC

Susan Wolfe BSA/AML

Dulce and Susan will provide a regulatory update on BSA which include interagency statements, FinCEN advisories and the impact of Covid-19. They will also touch on fraud technologies related to pandemic, the OFAC Program and discuss the emerging risks and red flags found in 2020.

Allajah Schraufnagel, Compliance Examiner in the New York City Field Office, FDIC

This session will discuss Community Bank Participation in the PPP/ Qualification of PPP loans for CRA and other COVID-19 initiatives, Garnishments/Part 212 - Similarities and Differences between Federal and New York State Regulations and Regulatory Change 2021

Michael Beshara - RMS Supervisory Examiner in the New York City Field Office, FDIC

Remote Examinations, Current Examination Areas of Focus, Forward Looking Thoughts

10:20–10:30 a.m. – Break

10:30–11:20 a.m. – DFS Update – Examination Process, important topics and what we can expect in 2021 based on Covid – 19 pandemic

Kevin Kaczorowski

Valerie Shaw

Serwat Farooq, Deputy Superintendent, DFS

Discussion on recent Consumer Compliance findings and recent guidance on the CRA , BDD Program

11:25–12:15 p.m.– **Tony Repanich, President and Chief Operating Officer of Shield Compliance**

From risk assessment to program launch - high level considerations for launching a cannabis banking program, plus a NY cannabis market and regulatory update.

12:30 p.m. - **Adjourned**

Who Should Attend

- ⇒ Auditors
- ⇒ Compliance Officers
- ⇒ BSA Officers
- ⇒ Risk/Fraud Officers
- ⇒ Inside/Outside Council
- ⇒ Operations Officers

2021 Regulatory Compliance Registration Form



Complete the form below & mail, email to:

Mail: IBANYS

19 Dove Street, Suite 101
Albany, NY 12210

Email: lindag@ibanys.net

Registration Deadline:

Tuesday, February 2, 2021

Questions:

Contact: Linda Gregware

lindag@ibanys.net or (518) 436-4646

Bank/Organization: _____

Contact Person: _____ Email: _____

Address: _____

City/State/Zip: _____

Phone: _____

Attendee Name

Attendee Email (required)

Payment

- My check (made payable to IBANYS) is enclosed
- Charge

- Member: \$85 per person
- Non-member: \$125 per person

Visa/Mastercard/AMEX number:

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CVV (3 digits back of card/AMEX 4 digits on front of card):