

# PROFESSIONAL DEVELOPMENT

## INTERNAL AUDIT SCHOOL SEPTEMBER 26-27 LANSING, MI

This two-day course will discuss the establishment and execution of an effective and efficient internal audit program. Attendees will learn the objectives of internal audit, and helpful techniques to reach those objectives. Internal audit should be a value-added function and not just a "check the box" cost center.

This course will teach attendees how to create and maintain a value-added internal audit function.

### RECOMMENDED EXPERIENCE

This school is designed for new to intermediate-level internal auditors. It is also an excellent refresher for experienced personnel to keep them abreast of current techniques and procedures.

### About Crowe LLP

Crowe LLP ([www.crowe.com](http://www.crowe.com)) is a public accounting, consulting and technology firm that uses its deep industry expertise to provide audit services to public and private entities. The firm and its subsidiaries also help clients make smart decisions that lead to lasting value with its tax, advisory and consulting services.

Crowe is recognized by many organizations as one of the best places to work in the U.S. As an independent member of Crowe Global, one of the largest global accounting networks in the world, Crowe serves clients worldwide. The network consists of more than 200 independent accounting and advisory services firms in more than 130 countries around the world.

### AGENDA

#### Auditing Electronic Funds Transfer

- Overview of the Electronic Funds Transfer Function
- Internal audit objectives and procedures related to:
  - o Wire Transfers
  - o Automated Clearing House (ACH)
  - o National Automated Clearing House Association Self-Audit
  - o Real Time Payments

#### Auditing Asset/Liability Management

- Overview of the Auditing Asset/Liability Management Function
- Internal audit objectives and procedures related to:
  - o Interest Rate Risk
  - o Liquidity Management
  - o Cash Management / Borrowings

#### Auditing Mortgage Banking Functions

- Overview of the Mortgage Lending and Servicing Functions
- Internal audit objectives and procedures related to:
  - o Loan Application Sourcing
  - o Loan Origination, Underwriting, and Approval.
  - o Loan Closing and Funding
  - o Loan Boarding
  - o Servicing
  - o Policy and Procedures
  - o System Access

#### Auditing Third-Party Risk Management

- Overview of the Mortgage Lending and Servicing Functions
- Internal audit objectives and procedures related to:
  - o Initial Due Diligence and Third-Party Selection
  - o Ongoing Due Diligence
  - o Vendor Termination
  - o Governance and Oversight

#### Auditing Current Expected Credit Loss (CECL)

- Overview of the Current Expected Credit Loss (CECL)
- Internal audit objectives and procedures related to:
  - o Governance and Oversight
  - o Model and Methodology
  - o Individually Impaired Loan Analysis
  - o Board/Management Reporting

## WHAT'S INCLUDED:

### IN-PERSON OPTION:

The registration fee includes program materials, and all daytime meals.

## PRICING

**MBA  
MEMBERS**  
\$595

**ADDITIONAL  
PERSON**  
\$495

**TOTAL:** \_\_\_\_\_

## ACCOMMODATIONS

### Courtyard by Marriott, Lansing

2710 Lake Lansing Road  
Lansing, MI 48912  
(517) 482-0500

*Ask for the Michigan Bankers Association  
corporate rate (direct link above)*

## ATTENDEE INFORMATION

### ATTENDEE #1

NAME: \_\_\_\_\_

TITLE: \_\_\_\_\_

BANK: \_\_\_\_\_

EMAIL: \_\_\_\_\_

*Required for confirmation*

### ATTENDEE #2

NAME: \_\_\_\_\_

TITLE: \_\_\_\_\_

BANK: \_\_\_\_\_

EMAIL: \_\_\_\_\_

*Required for confirmation*

### ATTENDEE #3

NAME: \_\_\_\_\_

TITLE: \_\_\_\_\_

BANK: \_\_\_\_\_

EMAIL: \_\_\_\_\_

*Required for confirmation*

### ATTENDEE #4

NAME: \_\_\_\_\_

TITLE: \_\_\_\_\_

BANK: \_\_\_\_\_

EMAIL: \_\_\_\_\_

*Required for confirmation*

## QUESTIONS?

Contact MBA Education at  
sfisher@michigan.bank or 517-342-9057

## METHOD OF PAYMENT

☐ **ACH** (please contact us at mbaregistrations@michigan.bank if  
you'd like to pay by ACH)

### ☐ **CREDIT CARD**

Name on Card: \_\_\_\_\_

Card Number: \_\_\_\_\_

Exp. \_\_\_\_\_ CSC: \_\_\_\_\_

Signature: \_\_\_\_\_

☐ **CHECKS:** Make payable to: Michigan Bankers Association