Form ADV Part 2B – Brochure Supplement
Item 1: Cover Page
December 2025

Western Wealth Management, LLC
Doing Business As

Roaring Fork Wealth Management

211 Midland Ave., Suite 202
Basalt, CO 81621
Phone: (970) 510-6080
www.roaringforkwm.com

Katherine Preston, CRPC®

Firm Contact: Kara Jett, Chief Compliance Officer

This brochure supplement provides information about Katherine Preston that supplements our brochure. You should have received a copy of that brochure. Please contact Kara Jett, Chief Compliance Officer if you have not received Western Wealth Management LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Katherine Preston is available on the SEC's website at www.adviserinfo.sec.gov and/or FINRA's Broker Check at www.finra.org/brokercheck.

Item 2: Educational Background & Business Experience

Katherine Preston
Year of Birth: 1984

Educational Background:

- University of Colorado, Boulder; Bachelor of Arts in Psychology; 2006

Business Background:

- 12/2025 to Present - Western Wealth Management LLC, Investment Adviser Representative
- 03/2024 to Present – LPL Financial, Registered Representative
- 03/2024 to 11/2025 – GPS Wealth Strategies Group LLC, Investment Adviser Representative
- 04/2014 to 03/2024 – Edward Jones, Registered Representative, Investment Adviser Representative

Professional Designations:

- Chartered Retirement Planning Counselor(CRPC®) – Candidate must complete an online course and pass a final exam within 120 days of program access, and complete 16 hours of continuing education every 2 years.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to your evaluation of Katherine Preston. There may be items that are contained on www.brokercheck.finra.org or www.adviserinfo.sec.gov that you may wish to review and consider in your evaluation of your advisor's background.

Item 4: Other Business Activities

Katherine Preston is a Registered Representative of LPL Financial, member FINRA/SIPC. As a Registered Representative, Katherine Preston may solicit, offer, and sell securities through LPL Financial and may receive separate, yet normal and customary commission compensation as a result of executing purchases and sales of brokerage transactions on behalf of investment advisory clients. The client is under no obligation to purchase or sell securities through Katherine Preston or LPL Financial. In addition, Katherine Preston may receive other compensation such as mutual funds or money market 12b-1 fees (marketing fees) and trail commissions from variable insurance products. The potential for receipt of commissions and other compensation may create a conflict of interest and provide an incentive for Katherine Preston to recommend investment products based on the compensation received, rather than on the client's needs. WWM addresses this conflict of interest by requiring Katherine Preston to disclose to the client at the time a brokerage account is opened through LPL Financial the nature of the transaction or relationship, her role as a LPL Registered Representative, and any compensation including commissions and 12b-1 fees that may be paid by the client and/or received by Katherine Preston.

Katherine Preston is a licensed insurance agent/broker. She may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation she may earn and may not necessarily be in the best interests of the client. In order to minimize this conflict of interest, Katherine Preston will place client interests ahead of her own interests and adhere to our firm's Code of Ethics. Clients are informed they are not obligated to purchase these products.

Katherine Preston conducts the above investment-related activities and may also conduct other business or investment related activities under the Doing Business As ("DBA") name of Roaring Fork Wealth Management. Western Wealth Management and Roaring Fork Wealth Management are separate entities from and are not owned or controlled by LPL Financial.

Katherine Preston is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of her time.

Item 5: Additional Compensation

Katherine Preston may receive economic benefits from persons other than clients in connection with advisory services. Please ask your financial advisor about whether he receives any of the forms of additional compensation outlined below.

Your Advisor may receive compensation from LPL Financial in different ways, such as payments based on production, awards of stock options to purchase shares of LPL's parent company, LPL Financial Holdings Inc., reimbursement of fees that your financial advisor pays to LPL for items such as administrative services, and other things of value such as free or reduced-cost marketing materials, payments in connection with the transition of association from another broker/dealer or investment advisor firm to LPL, advances of advisory fees, or attendance at LPL's national conference or top producer forums and events. LPL may pay your financial advisor this compensation based on her overall business production and/or on the amount of assets custodied at LPL Financial. Therefore, the amount of this compensation may be more than what your financial advisor would receive if the client participated in other programs or paid separately for investment advice, brokerage, and other client services. Therefore, your financial advisor may have a financial incentive to recommend an advisory program over other programs and services. However, your financial advisor may only recommend a program or service that she believes is suitable for you.

Katherine Preston may be eligible to receive cash and/or non-cash compensation from product sponsors for recommending certain types of insurance or other investment products. Compensation may include such items as gifts valued at less than $100 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational and training meetings or marketing or advertising initiatives. Product sponsors may also pay for education or training events that they may attend. While Western Wealth Management and LPL Financial endeavor at all times to put the interests of our clients first as part of our fiduciary obligation, the possibility of receiving cash and non-cash compensation could create a conflict of interest when recommending certain products.

Katherine Preston may recommend the services of a third-party money manager to her clients. In exchange for this recommendation, the selected third-party money manager may

pay an investment advisory fee to Western Wealth Management and Western Wealth Management pays a part of that investment advisory fee to Katherine Preston. The fee paid by the third-party money manager is typically based on the percentage of the assets under management with the third-party money manager.

Item 6: Supervision

Western Wealth Management LLC maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Kara Jett, Chief Compliance Officer of Western Wealth Management LLC, supervises and monitors Ms. Katherine Preston's activities on a regular basis. Kara Jett is responsible for administering the Western Wealth Management policies and procedures for investment advisory activities and for regularly evaluating their effectiveness. Please contact Kara Jett if you have any questions about Katherine Preston's brochure supplement at (303) 393 – 2404.