

Important Reminder: Continuing Education Requirement for Investment Advisors Registered in Certain States

Completion Deadline: October 31, 2024

Applicability: This requirement is for Kovack registered investment advisors (IARs) who are **currently registered** in the following states: **Arkansas, California, Colorado, Florida, Hawaii, Kentucky, Maryland, Michigan, Mississippi, Nevada, North Dakota, Oklahoma, Oregon, South Carolina, Tennessee, Vermont, Washington, D.C., and Wisconsin.**

Eligibility Criteria: This requirement applies to investment advisors who:

1. Designate the listed state as their home state,
2. Maintain a place of business in that state, and
3. Are currently registered as an investment advisor in that state.

All required modules, as specified by the NASAA CE Model Rule, have been assigned through Quest. We encourage all Registered Investment Advisor Representatives (RIARs) to start their continuing education courses as soon as possible.

For any questions, please reach out to Jenna Diaz at [**jdiaz@kfn.com**](mailto:jdiaz@kfn.com).