

## Important CE Requirements Reminder for All Kovack Reps

Please be aware of the staggered launch approach (with earlier deadlines too) that we have taken this year for the three required sets of Continuing Education (CE) requirements that need to be disseminated and completed by Kovack reps as mandated by our regulators. This approach, permissible by FINRA, allows for setting earlier, different deadlines which aim to prevent confusion among reps and ensure that all CE requirements are met before the original FINRA deadline of December 31, 2024. Failure to meet these requirements or heed the new deadlines could result in either a CE Inactive status on your registrations, and/or preventing you from engaging with clients and receiving compensation until these requirements are resolved and satisfied.

To avoid this, we have outlined the CE launch schedule for this year below:

### ***Firm Element CE***

Launch Date: **September 1, 2024**

Completion Deadline: **November 30, 2024**

Applicable to: Required for all Kovack reps, staff, and employees, including anyone registered and those non-registered or deemed NRF employees.

Details: Courses will be accessible through Quest CE's platform found through InTouch, and an offering in the form of our Annual Compliance Meeting will also be available to attendees at this year's Kovack National Conference in Orlando, FL. The ACM counts towards your Firm Element CE requirement as well.

If you have any questions or concerns regarding any of your CE requirements, please reach out to the Kovack Compliance department. However, if you need immediate assistance, please reach out directly to Fernando Pardo at **[fpardo@kfn.com](mailto:fpardo@kfn.com)**.