

Taylor Financial Group

# Announcement

## Timing of Tax Year 2021 Consolidated Form 1099s

1/17/2022

We would like to keep you informed as to the estimated availability dates for the tax year 2021 tax forms.

**Given the information below, we recommend you provide 1099s to your accountants no earlier than the 2nd Correction Cycle, which is March 17, 2022.**

To help make sure you receive your tax documents as soon as they are available, please sign up for electronic tax form delivery. You can sign up for e-delivery of tax documents by logging into [www.advisorclient.com](http://www.advisorclient.com), then clicking My Profile > Communication Preferences.

You can find the tax documents on [advisorclient.com](http://advisorclient.com) by simply clicking the appropriate document on the Statements & Tax Documents menu. **Please contact us as we are happy to assist you with gathering your documents.**

We have listed useful information below pertaining to the anticipated dates TD Ameritrade's 2021 Consolidated Form 1099s will be available to be viewed online.

### Timing of Available Tax Documentation

#### **Delivery of 1099s**

Currently, TD Ameritrade expects the first wave of 1099s to begin posting online on January 21, 2022, with Advisor and client notifications going out shortly after. The estimated dates for the waves are below:

<b>Wave 1</b>	<b>Target Delivery Date</b> Close of business January 21, 2022  <b>Details</b> No holdings subject to reallocation (ex. RICs, REITs)
<b>Wave 2</b>	<b>Target Delivery Date</b> Close of business February 4, 2022  <b>Details</b> Low risk for reallocation; income reallocations finalized through January 29th
<b>Wave 3</b>	<b>Target Delivery Date</b> Close of business February 11, 2021  <b>Details</b> Clients with finalized income reallocations received from January 30th through February 3rd
<b>Wave 4</b>	<b>Target Delivery Date</b> Close of business February 17, 2022  <b>Details</b> Remaining clients

## **Correction Cycles**

Correction cycles begin March 3, 2022, and occur every 2 weeks through April 14, 2022.

In an effort to allow sufficient time to capture as many income reallocations as possible, TD Ameritrade has designated the following dates for any necessary 1099 corrections.

Reports reclassified income (not captured on Consolidated 1099 forms to be issued by mid-February 2021) that was reported to TD Ameritrade between February 11, 2022, and February 24, 2022.

### **2nd Correction Cycle - March 17, 2022**

Reports reclassified income that was reported to TD Ameritrade between February 25, 2022, and March 10, 2022.

### **3rd Correction Cycle - April 7, 2022**

Reports reclassified income that was reported to TD Ameritrade between March 11, 2022, and March 31, 2022.

### **4th Correction Cycle - April 14, 2022**

Reports reclassified income that was reported to TD Ameritrade between April 1, 2022, and April 7, 2022.

TD Ameritrade will alert clients who have a valid email address on file once their 2021 Consolidated Form 1099(s) and 2021 corrected Form 1099(s) (if issued) are available to be accessed online.

*All dates are estimates and subject to change*

## **1099 Notification**

Once these 1099s have been posted online, TD Ameritrade will notify you that they are available. If you have enrolled to receive your 1099's online, you will also receive an e-mail notification. In addition, this information will be posted to the Latest Announcements section of Veo One®.

- If you are receiving paper documents, you should allow several days for mail delivery after these forms have been posted online.

To balance your desire to receive your documents earlier and with an effort to minimize the chance that you would receive a corrected Form 1099 (potentially requiring the refiling of tax returns), you may be excluded from the first four waves for the following reasons:

- A client held securities such as **mutual funds, Unit Investment Trusts (UITs), or Real Estate Investment Trusts (REITs)**, which may reallocate payments (for example, from long-term capital gains to short-term capital gains) in January and beyond.
- A client held an investment instrument that is considered to be a Widely Held Fixed Investment Trust (WHFIT), such as a **Commodity Trust or Royalty Trust**, where there is a possibility of additional information being provided by the company.

If you have any additional questions, please don't hesitate to call us.

### **How Can We Help?**

We understand how daunting this time is for you and we are trying to provide you with helpful information.

**Do you know someone who needs a second opinion? Are they concerned with this market volatility?**

**[Click here to find out how your friend or family member can get in contact with us!](#)**

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