

## NOTICE OF FUNDING AWARD

### PART 1. OVERVIEW INFORMATION

Participating Organization(s)	CTSA Program at UT Southwestern
Funding Opportunity Title	<b>High-Impact DCT Grants – Fast Focused Funding</b>
Funding Opportunity Number	CTSA-TPP-YR5-D_2
Funding Opportunity Purpose	The primary purpose of this opportunity is to support preliminary data for extramural grant submission in areas of high impact: <b>Data Science, Clinical Care Process Improvement and Early Translational Research (DCT)</b> , while tracking the success of the pilot award recipients to obtain extramural funding; and to facilitate training in the application process towards future extramural funding applications to the National Institutes of Health (NIH).

### KEY DATES

Announcement Date	<b>April 22, 2025</b>
Application Due Date	<b>June 2, 2025, by 5:00 PM:</b> Human subjects research must have an exemption or be categorized as minimal risk to be considered for this award and animal studies are excluded. Due to a short award period, proposals that require prior NIH approval are not allowed. Submit your application package and IRB approval (as applicable) to <a href="mailto:CTSAProgram@UTSouthwestern.edu">CTSAProgram@UTSouthwestern.edu</a> .
Award Announcements	<b>June 16, 2025 - July 1, 2025</b>
Earliest Award Start Date	<b>July 1, 2025</b>
Award End Date	<b>April 30, 2026 (up to 10 months to complete)</b>

### PART 2. FULL TEXT OF ANNOUNCEMENT

#### SECTION I. FUNDING OPPORTUNITY DESCRIPTION

##### Background

The Clinical & Translational Science Award (CTSA) Program at UT Southwestern is pleased to extend an invitation to apply for a special CTSA Program grant. Up to 2 will be awarded. The intent of this pilot program is to attract strong applications from new/early investigators with novel scientific studies in one of three areas of focus: **Data Science, Clinical Care Process Improvement and Early Translational Research (DCT)**. Support is provided by the UTSW CTSA grant, 5UL1TR003163, funded by the National Center for Advancing Translational Sciences (NCATS) of the NIH.

##### Objectives

The CTSA Program at UT Southwestern will provide financial support for investigators to conduct innovative clinical and translational research projects preferably in the one of three areas of focus: Data Science, Clinical

Care Process Improvement and Early Translational Research (DCT). It is anticipated that this funding mechanism will achieve the following:

- Promote and support the development and testing of novel research ideas.
- Promote and support novel translational ideas of developing investigators.
- Assess the feasibility of new approaches to translational science that lead to extramural funding.

## SECTION II. AWARD INFORMATION

### Funding Instrument

Grant: A support mechanism providing money to eligible investigators to carry out an approved inter-institutional and inter-disciplinary project or activity.

### Application Types Allowed

New

### Award Budget

The project budget should not exceed \$25,000 direct costs. Indirect costs are not allowed. Up to 2 awards will be funded.

### Award Budget Period

As early as July 1, 2025 and ending April 30, 2026.  
Human subjects research must have an exemption or be categorized as minimal risk to be considered for this award and animal studies are excluded. Due to a short award period, proposals that require prior NIH approval are not allowed.

## SECTION III. ELIGIBILITY INFORMATION

### Eligible Applicants

The **High-Impact DCT Grants – Fast Focused Funding Pilot Program** is targeted solely to the following individuals who display the talent and personal traits associated with career success and who have identified the environmental resources and interdisciplinary collaborations required to make success likely:

- Junior faculty at the Instructor or Assistant Professor level or formal participants in the CTSA Program KL2 Scholars Program or UT Southwestern Clinical Scholars.
- Associate Professor proposing a new direction in their research. **This must be a substantial shift in research direction (e.g., not just using a different model system)**

The career path of the applicant and their ability to develop interdisciplinary collaborations will be considered in the selection process on par with the scientific merit of the proposed project. Applicants who are post-doctoral fellows or residents must have a mentor who will work with them on the proposed project.

### Eligible Organizations

Applications from trainees or faculty members at the following institutions will be accepted:

- University of Texas Southwest Medical Center (UTSW)
- Children's Health<sup>SM</sup>
- Parkland Health & Hospital System
- Texas A&M University College of Veterinary Medicine
- Dallas Veterans Affairs Medical Center
- Moncrief Cancer Institute

- Southern Methodist University (SMU)
- Texas Scottish Rite Hospital for Children (TSRHC)
- Texas Tech University Health Sciences Center
- University of North Texas Health Sciences Center, Fort Worth (UNTHSC)
- University of Texas at Arlington (UTA)
- University of Texas at Dallas (UTD)

### Eligible Projects

This funding opportunity announcement intends to support projects along the spectrum of basic, translational, and clinical research, preferably focused in the areas of Data Science, Clinical Care Process Improvement and Early Translational Research (DCT). Human subjects research must have an exemption or be categorized as minimal risk to be considered for this award and animal studies are excluded. Due to a short award period, proposals that require prior NIH approval are not allowed. Funded proposals will preferably include, but are not limited to:

- **Data Science Research:** Utilizes the scientific method to interpret data patterns and develop algorithms and other computer software tools to collect and interpret large sets of data in fields such as genomics, drug discovery, predictive analytics and monitoring patient health to improve patient care. Proposals should aim to improve the quality, efficiency and effectiveness of healthcare services, treatments and outcomes.
- **Clinical Care Process Improvement:** Systematically analyzes workflows to improve the quality, efficiency, and safety of patient care. This can include optimizing clinical workflows, reducing waste, improving resource utilization, standardizing care delivery, using evidence-based practices to positively affect patient outcomes and satisfaction in measurable way.
- **Early Translational Research:** The overall process of applying discoveries generated by research in the lab and preclinical studies in the development of trials in humans. Also included is research aimed at establishing and promoting the adoption of best practices to maximize cost-effectiveness and support wellness through prevention and better treatment strategies.

### Required Registrations

All applicants must have an eRA Commons account. Applicants should work with their organizational officials to either create a new account or to affiliate their existing account with the applicant organization in eRA Commons. Obtaining an eRA Commons account can take up to 2 weeks.

## SECTION IV. APPLICATION AND SUBMISSION INFORMATION

### Content of Application Submission:

- [Pilot Face Page](#)
- [Detailed Budget Page](#)
- Budget Justification (see [Budget Guidelines](#))
- Research Plan Components as listed
- Biosketch
- IRB Approval (as applicable, note: only minimum risk or exemption studies allowed and no animal studies)
- [PHS Human Subjects and Clinical Trials Information](#) (as applicable, note: please download and open in Adobe; may be sent as a separate file)

**Submission of Completed Application.** Submit all documents electronically either in Microsoft Word or pdf format to [CTSAProgram@UTSouthwestern.edu](mailto:CTSAProgram@UTSouthwestern.edu) by **5pm on June 2, 2025**.

**Awarded applicants must provide:**

- Letter of Support from Research Mentor, if applicable
- Letter of Support from Department Chair, if applicable
- F&A waiver from partnering institutions, if applicable

**APPLICATION COMPONENTS****Page Limitations**

Component Type	Page Limits
Research Plan – Hypothesis and Specific Aims	1 page
Research Plan – Background and Study Design	4 pages
Research Plan – Multidisciplinary Team Approach	1 page
Research Plan – Literature Cited	No limit
Biosketch (current NIH format)	5 pages

**Research Plan**

Formatting on the Research Plan should be single spaced, ½" margin, Arial 11 font with applicant's name in header on the right-hand margin of each page and paginated in the bottom-right corner of each page. All sections of the Research Plan should be combined and submitted as a single PDF file.

- **Hypothesis and Specific Aims:** Include only those aims which can be completed within the budget period (up to 10 months).
- **Background and Study Design:** Provide sufficient and properly cited source documentation of previous research related to the proposed topic of study. Define precisely why the proposed work is innovative and how it relates to the theme of the award; how it challenges current concepts or paradigms that would make extramural funding unlikely in the absence of preliminary data; how it fosters collaborations that would otherwise not take place; or how it establishes the feasibility of an idea in order to support a future grant application. Include a description of how the experimental approach relates to the aims. The description of the research plan should provide a clear understanding of how the investigators will approach testing of the hypothesis or hypotheses presented including sufficient detail in the execution of the experiments, and a discussion of how data will be interpreted, specifying alternative experimental and/or analytical provisions when pertinent. This section must include (as appropriate) an outline of potential experimental problems, and solutions devised to overcome them. Please explain clinical significance and provide a timetable for performance of studies described. The research design section should focus on details of the study design, including (as appropriate) target patient population, inclusion/exclusion criteria, methods of data collection and analysis, and a statistical analysis plan.
- **Multidisciplinary Team Approach:** Describe the specific contributions of co-investigators and collaborators and include their primary discipline with a description of how each is uniquely qualified to perform in their respective roles (co-I, mentor, study coordinator, nurse, pharmacist, or other member of the translational workforce). Additionally, collaboration with a biostatistician regarding the study design and analysis is encouraged for most investigators.
- **Literature cited**

## Human Subjects Research (Animal studies are not allowed)

All proposals involving human research subjects must have IRB exemption or be minimal risk studies with IRB approval (Category 2 Human Subjects Research defined below). Please [see NCATS New Projects With Human Subjects Research](#) for further information. For information on the IRB submission process, please watch the "Introduction to IRB" course on Taleo Learn. For more information please contact UT Southwestern HRRP at 214.648.3060, [hrrp@utsouthwestern.edu](mailto:hrrp@utsouthwestern.edu) or visit the [HRPP website](#).HRPP website.

NCATS REQUIRED DOCUMENTS	Category 1 <sup>1</sup>		Category 2 <sup>2</sup>	
STUDY CATEGORY	Clinical Trial	Greater Than Minimal Risk Study	Minimal Risk <sup>3</sup> , Exempt 1-3; 5-8 Study	Exemption 4
COMPLETE HSS SECTIONS <sup>5</sup>	1-6	1, 2, 3.1 & 3.2	1, 2, 3.1 & 3.2	1, 3.1 & 3.2
Addendum	✓	✓	✓	✓
Certification of IRB-Approval	✓	✓	✓ or	
Institutional Exemption Determination			✓	✓
Biosketches for PIs and key personnel	✓	✓		
Institutional letter attesting to completion of Human Subjects Training for PI and key personnel <sup>4</sup>	✓	✓	✓	✓
IRB-Approved Protocol	✓	✓		
IRB-Approved informed consent, verbal consent transcript, assent and parental permission documents, or documentation of IRB waiver (as applicable)	✓	✓		
<i>Specified NCATS Required Document PDFs should be combined and attached in HSS Sections</i>	5.1	2.7 (Study Timeline attachment box must be used to attach the Study Timeline plus the NCATS-specified documents.)		

<sup>1</sup>**Category 1 Human Subjects Research** that meets the [NIH definition of a clinical trial](#). Answered "Yes" to all the questions in HSS Section 1.4 - Clinical Trial Questionnaire. OR Human Subjects Research study deemed Greater than Minimal Risk by IRB.

<sup>2</sup>**Category 2 Human Subjects Research** study deemed Minimal Risk by the IRB or study has been determined by the institution to meet the criteria for Exemptions 1-8 under [45CFR46](#).

<sup>3</sup>**All NIH-defined clinical trials are considered Category 1** research even if proposed research might otherwise be considered Minimal Risk.

<sup>4</sup>**Institutional letter attesting to completion of Human Subjects Training for PI and key personnel:** NIH policy ([NOT-OD-00-039](#) & [NOT-OD-01-061](#)) requires education on the protection of human research participants for PI and all key personnel; insert signed letter.

<sup>5</sup>Utilize [G.500 – PHS Human Subjects and Clinical Trials Information](#) as a guide during the preparation of any HSS Section required for Category 1 or Category 2 Prior Approval submissions.

eRA HSS SECTIONS to be COMPLETED <sup>6</sup>		Category 1 <sup>1</sup>		Category 2 <sup>2</sup>	
STUDY CATEGORY		Clinical Trial	Greater Than Minimal Risk Study	Minimal Risk <sup>3</sup> , Exempt 1-3; 5-8 Study	Exemption 4
<b>HSS Section 1 – Basic Information</b>					
1.1	Study Title	√	√	√	√
1.2	Is this Study Exempt from Federal Regulations?	√	√	√	√
1.3	Exemption Number	√	√	√	√
1.4	Clinical Trial Questionnaire	√	√	√	√
1.5	Provide the ClinicalTrials.gov Identifier	√			
<b>HSS Section 2 – Study Population Characteristics</b>					
2.1	Conditions or Focus of Study	√	√	√	
2.2	Eligibility Criteria	√	√	√	
2.3	Age Limits	√	√	√	
2.3.a	Inclusion of Individuals Across the Lifespan	√	√	√	
2.4	Inclusion of Women & Minorities	√	√	√	
2.5	Recruitment and Retention Plan	√	√	√	
2.6	Recruitment Status	√	√	√	
2.7	Study Timeline	√	√	√	
2.8	Enrollment of First Participant (Section 6.3)	√ <sup>4</sup>	√ <sup>4</sup>	√ <sup>4</sup>	
2.9	Inclusion Enrollment Report(s)	√ <sup>7</sup>	√ <sup>7</sup>	√ <sup>7</sup>	
<b>HSS Section 3 – Protection and Monitoring Plans</b>					
3.1	Protection of Human Subjects	√	√	√	√
3.2	Is this a multi-site study?	√ <sup>5</sup>	√ <sup>5</sup>	√ <sup>5</sup>	√ <sup>5</sup>
3.3	Data and Safety Monitoring Plan	√	Optional	Optional	Optional
3.4	Data and Safety Monitoring Board	√	Optional	Optional	Optional
3.5	Overall Structure of the Study Team	√	Optional	Optional	Optional
<b>HSS Section 4 – Protocol Synopsis</b>					
4.1	Study Design	√			
4.1.a	Detailed Description	√			

4.1.b	Primary Purpose	√			
4.1.c	Interventions	√			
4.1.d	Study Phase	√			
4.1.e	Intervention Model	√			
4.1.f	Masking	√			
4.1.g	Allocation	√			
4.2	Outcome Measures	√			
4.3	Statistical Design and Power	√			
4.4	Subject Participation Duration	√			
4.5	FDA-Regulated Intervention? (IND/IDE)	√			
4.7	Dissemination Plan	√			
<b>HSS Section 5 – Other Clinical Trial Attachments</b>					
5.1	Other Clinical Trial Attachments	√			
<b>HSS Section 6 – Clinical Trial Milestone Plan<sup>8</sup></b>					
6.1	Study Primary Completion Date	√ <sup>8</sup>			
6.2	Study Final Completion Date	√ <sup>8</sup>			
6.3	Enrollment and Randomization	√ <sup>8</sup>	√ <sup>8</sup>	√ <sup>8</sup>	
6.4	Completion of primary endpoint data analyses	√ <sup>8</sup>			
6.5	Reporting of results in ClinicalTrials.gov	√ <sup>8</sup>			
6.6	Is this an applicable clinical trial under FDAAA?	√ <sup>8</sup>			

<sup>1</sup>**Category 1 Human Subjects Research** that meets the [NIH definition of a clinical trial](#) (Answered “Yes” to all the questions in HSS Section 1.4 - Clinical Trial Questionnaire) OR Human Subjects Research study deemed Greater than Minimal Risk by IRB.

<sup>2</sup>**Category 2 Human Subjects Research** study deemed Minimal Risk by the IRB or study has been determined by the institution to meet the criteria for Exemptions 1-8 under [45 CFR 46](#)

<sup>3</sup>**All NIH-defined clinical trials are considered Category 1** research even if proposed research might otherwise be considered Minimal Risk.

<sup>4</sup>**Section 2.8 Enrollment of First Participant:** This field is now populated in Section 6.3 in HSS. Do not complete this field if you answered “YES” to the question “Using an Existing Data Set or Resources?” in the Inclusion Enrollment Report.

<sup>5</sup>**Section 3.2 Multi-site Studies:** Answer "Yes/No;" or select N/A only if: a. You answered “Yes” to “Question 1.2 Is this Study Exempt from Federal Regulations?”. [See G.500 for reference.](#)

<sup>6</sup>**Utilize [G.500 – PHS Human Subjects and Clinical Trials Information](#)** as a guide during the preparation of any HSS Section required for Category 1 or Category 2 Prior Approval submissions.

<sup>7</sup>**Section 2.9 Inclusion Enrollment Report(s)** is not required for KL2/K12 Scholar projects and Category 4 Exempt HSR ( note: If the KL2/K12 Scholar is conducting an independent clinical research that is only using KL2/K12 research funds to support the research, then the IER is required.)

<sup>8</sup>**Section 6.3 Anticipated Enrollment of 1<sup>st</sup> participant** is required for all prior approval submissions except Exempt Category 4. *Even though Section 6 was initially created for clinical trials, the enrollment start must be included and updated for ALL HSR projects (including exempt studies, other than category 4) before submitting the RPPR.*

For clinical trials, the other fields in Section 6 will populate when the NCT number is entered in Section 1.5 and the 'populate' button is pushed. However, this is not required for prior approval submission but must be populated within 21 days of enrollment of the first participant. *The information from clinicaltrials.gov will populate the HSS fields so ensure the clinicaltrials.gov entry is current upon HSS completion.*

#### 4. ADDITIONAL REQUIRED ATTACHMENTS

- **Biosketch Forms**

Using the most [current NIH form](#), maximum 5 pages, biosketches should be completed for the applicant PI and all Key Personnel such as Co-Investigators or Collaborators who will be working on the proposed project. Combine all biosketches as one document and attach. All biosketches must include the link to the personalized MyBibliography.

- **F&A Waiver**

If the applicant is from a partnering institution, a letter from their institution waiving F&A in the event the applicant is awarded (contact the CTM for assistance in obtaining this letter). Indirect costs are not allowed on the pilot award.

- **Letter of Support from Research Mentor**

A letter from the applicant's primary research mentor is required if selected for funding in PDF format: The letter must include all seven points. The letter should follow the order as listed.

- a. Describe applicant's potential as an investigator
- b. Explain the ability of the applicant to carry out the work as proposed
- c. Describe the environment
- d. Explain how the study will contribute to the applicant's professional goals
- e. State he/she has evaluated and approved the submission of the research proposal
- f. State he/she will provide scientific oversight throughout the duration of the project and will be responsible for the conduct of the study and describing his/her role (if applicable)
- g. Assurance of access to resources needed to conduct the research

- **Letter of Support from Department**

Applicants must submit a letter of support from his/her department chair or division chief if selected for funding documenting that the PI has his/her time protected, his/her salary supported to conduct the proposed research, and a commitment to return unspent funds if the project is determined to be unfeasible.

#### 5. SUBMISSION DATES AND TIMES

[Part 1 Overview Information](#) contains information about Key Dates and times. Applicants are encouraged to submit applications before the due date to ensure they have time to make any application corrections that might be necessary for successful submission.



Only e-mailed applications will be accepted. Submit completed applications to the CTSA Program Translational Pilot Program at [CTSAProgram@UTSouthwestern.edu](mailto:CTSAProgram@UTSouthwestern.edu). Failure to adhere to the application and submission instructions, including page limitations and formatting, will result in the immediate rejection of the application.

## **6. BUDGET GUIDELINES**

Complete the Detailed Budget template and Budget Justification of all costs in the application. Applicants must explain how all requested funds relate to the aims or goals of the proposed project.

Expenses that are allocable, allowable, reasonable and consistent should be taken into consideration when creating the budget. Refer to the NIH Grants Policy Statement on the [Cost Principles](#) for specific details.

### **Approved Use of Funds**

- Personnel: Funds from this mechanism may be used for any of the following salary support: non-PI research personnel such as research nurse, technician, data entry personnel, consultants, etc. Personnel costs must also include the appropriate amount of fringes.
- Service Providers: Funds may be used to offset costs for laboratory analyses, data collection, database design/support, research coordinator services, or other services provided by core facilities on the UT Southwestern campus. A list of UTSW Service Providers is provided on the Application Forms spreadsheet. Applicants should seek consultation with proposed service providers to ensure sufficient funds are requested and that all related services are included. A price quote must be attached to the application if core service funding is requested in the budget. Price quotes are price estimates based on discussions with the core(s) of your choice. Price quotes must be generated by and come from the core facility.
- Equipment: Equipment is an article of tangible nonexpendable personal property that has a useful life of more than 1 year and an acquisition cost per unit that equals or exceeds \$5,000. Equipment may be purchased only if such equipment is essential and allocable and not otherwise available for use by the applicant through a core facility on the UT Southwestern Campus. All equipment purchases must comply with the [NIH Grants Policy Statement](#) and [UT Southwestern Purchasing Department](#) requirements.
- Supplies: Funds may be used to offset materials and supplies necessary to carry out the proposed research.
- Inpatient and Outpatient Care Costs: Funds may be used to offset costs for inpatient or outpatient research visits, including hospital fees, nursing, metabolic services and meals, facility usage fees, investigational drug services, lab processing, etc.
- Other Expenses: Funds may be used to offset costs for study subject payments and expenses not included in the other budget categories.

### **Non-Approved Use of Funds**

- Publication costs
- Invention, Copyright, Patent, or Licensing Costs
- Computer costs
- PI salary and fringe
- Travel & meals unless this is integral to the research proposed
- Administrative Costs, including paper, pens, notepads, and office furniture.
- Indirect costs (F&A), including subcontracts with CTSA partnering institutions. Applicants from partnering institutions should obtain a waiver for F&A from their institution as a condition of award (contact the CTSA Program at UT Southwestern for assistance in obtaining this letter).
- Other common unallowable costs, including but not limited to, advertising, IRB/IACUC costs, entertainment, membership dues, meetings/conferences, postage, printing, student costs).

NOTE: In some cases, non-approved costs may be allowed if directly related to the research and well-justified in accordance with the Cost Principles and Allowability of Costs/Activities guidelines of the NIH Grants Policy Statement. Contact the UTSW CTSA Program with questions on the allowability of a budget item to obtain confirmation.

Refer to the NIH Grants Policy Statement on [Allowability of Costs/Activities](#) for further guidelines.

## 7. FUNDING RESTRICTIONS

In accordance with section 479(b) of the Public Health Service Act (as amended by the Consolidated Appropriations Act, 2012, Public Law 112-74 and by the 21st Century Cures Act, 2016, Public Law 114-255), NCATS is authorized to use fiscal year 2021 funds to provide infrastructure and resources for all phases of clinical trials research but can only support clinical trials through the end of Phase IIB.

## SECTION V. APPLICATION REVIEW INFORMATION

### 1. CRITERIA

The review of applications will resemble the NIH [application and review](#) processes to develop investigator's familiarity and understanding of the scoring procedures facilitated at NIH applicable to potential extramural health-related research applications.

### OVERALL IMPACT

Reviewers will provide an overall impact score to reflect their assessment of the likelihood for the project to exert a sustained influence on research field(s) involved.

### SCORED REVIEW CRITERIA

- **Significance**

Does the project address an important problem or a critical barrier to progress in the field? Is there a strong scientific premise for the project? If the aims of the project are achieved, how will scientific knowledge, technical capability, and/or clinical practice be improved? How will successful completion of the aims change the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field?

- **Investigator(s)**

Are the PI, collaborators, and other researchers well suited to the project? If early career Investigators or new Investigators, or in the early stages of independent careers, do they have appropriate experience and training? If the project is collaborative or multi-PD/PI, do the investigators have complementary and integrated expertise; are their leadership approach, governance and organizational structure appropriate for the project? Comment on the PI's track record and career path.

- **Innovation**

Does the application challenge and seek to shift current research or clinical practice paradigms by utilizing novel theoretical concepts, approaches or methodologies, instrumentation, or interventions? Are the concepts, approaches or methodologies, instrumentation, or interventions novel to one field of research or novel in a broad sense? Is a refinement, improvement, or new application of theoretical concepts, approaches or methodologies, instrumentation, or interventions proposed? How does the proposed research relate to the theme?

- **Approach**

Are the overall strategy, methodology, and analyses well-reasoned and appropriate to accomplish the specific aims of the project? Have the investigators presented strategies to ensure a robust and unbiased approach, as appropriate for the work proposed? Are potential problems, alternative strategies, and benchmarks for success presented? If the project is in the early stages of development, will the strategy establish feasibility, and will particularly risky aspects be managed? Have the investigators presented adequate plans to address relevant biological variables, such as sex, for studies in vertebrate animals or

human subjects? If the project involves clinical research, are the plans for 1) protection of human subjects from research risks, and 2) inclusion of minorities and members of both sexes/genders, as well as the inclusion of children, justified in terms of the scientific goals and research strategy proposed?

- **Environment**

Will the scientific environment in which the work will be done contribute to the probability of success? Are the institutional support, equipment and other physical resources available to the investigators adequate for the project proposed? Will the project benefit from unique features of the scientific environment, subject populations, or collaborative arrangements?

- **Sustainability**

Does this project have potential for extramural funding?

- **Budget**

Is the budget and justification reasonable based on the anticipated proposed research? Are there recommendations in reductions or increases in budget amount?

## **ADDITIONAL REVIEW CRITERIA**

As applicable for the project proposed, reviewers will evaluate the following additional items while determining scientific and technical merit, and in providing an overall impact score, but will not give separate scores for these items.

- **Protections for Human Subjects**

For research that involves human subjects but does not involve one of the six categories of research that are exempt under [45 CFR Part 46](#), the committee will evaluate the justification for involvement of human subjects and the proposed protections from research risk relating to their participation according to the following five review criteria: 1) risk to subjects, 2) adequacy of protection against risks, 3) potential benefits to the subjects and others, 4) importance of the knowledge to be gained, and 5) data and safety monitoring for clinical trials. For research that involves human subjects and meets the criteria for one or more of the six categories of research that are exempt under [45 CFR Part 46](#), the committee will evaluate: 1) the justification for the exemption, 2) human subjects involvement and characteristics, and 3) sources of materials. For additional information on review of the Human Subjects section, please refer to the [NIH Guidelines for the Review of Human Subjects](#).

- **Inclusion of Women, Minorities, and Children**

When the proposed project involves human subjects and/or NIH-defined clinical research, the committee will evaluate the proposed plans for the inclusion (or exclusion) of individuals on the basis of sex/gender, race, and ethnicity, as well as the inclusion (or exclusion) of children to determine if it is justified in terms of the scientific goals and research strategy proposed. For additional information on review of the Inclusion section, please refer to the Guidelines for the Review of Inclusion in Clinical Research.

## **ADDITIONAL REVIEW CONSIDERATIONS**

As applicable for the project proposed, reviewers will evaluate the following additional items while determining scientific and technical merit, and in providing an overall impact score, but will not give separate scores for these items.

- **Budget and Period of Support**

Reviewers will consider whether the budget and the requested period of support are fully justified and reasonable in relation to the proposed research.

## **2. REVIEW AND SELECTION PROCESS**

Applications will be evaluated for scientific and technical merit.

- Invited full applications will compete for available funds with all other recommended applications submitted in response to this FOA.
- Applications will be assigned to appropriate reviewers based on scientific relevance.

- Following initial peer review, recommended applications will receive a second level of review by the PTC Scientific Review Committee. The following will be considered in making funding decisions: Scientific and technical merit of the proposed project as determined by PTC Scientific Review Committee.
- Following PTC Scientific Review Committee review, recommended applications will be reviewed by the CTM Council. The following will be considered in making funding decisions:
  - Availability of funds.
  - Relevance of the proposed project to program priorities.

### 3. ANTICIPATED ANNOUNCEMENT AND AWARD DATES

Refer to [Part 1 Overview Information](#) for key, and earliest start dates.

## SECTION VI. AWARD ADMINISTRATION INFORMATION

### Award Notices

A formal notification in the form of a Notice of Award (NOA) will be provided to applicants for successful applications.

**Pre-Award Meeting** Attendance by the applicant, along with the Administrative and Financial contacts listed in the application, is required at a pre-award meeting with the CTSA Program Translational Pilot Program Staff. The purpose of the meeting is to ensure awardees understand and agree to their responsibilities in relation to the award.

### Distribution of Funds

- Awardees appointed to UTSW will have funds distributed into a Chart of Accounts at UT Southwestern. The Chart of Accounts number will be provided in the Notice of Award (NOA).
- Awardees appointed to partnering institutions will be funded through a subcontract.

### Duration of Funding

Applicants must be fully prepared to begin the project immediately upon receiving a Notice of Award. Funds must be expended by April 30, 2025.

## SECTION VII. POST-AWARD REQUIREMENTS

### Clinical Trials

If the proposed project involves a clinical trial, the awardee will be required to promptly inform the CTSA of all adverse events that are serious, unexpected, and related to participation in research. Further guidance is available at [clinicaltrials.gov adverse events](https://clinicaltrials.gov/adverse-events). In addition, all applicable clinical trials must be registered in [clinicaltrials.gov](https://clinicaltrials.gov). For more information, see <https://utswmed.org/patient-resources/clinical-trials/>.

### Translational Science Forum

All CTSA Program supported recipients will be required to present at a future Clinical & Translational Science Forum.

### Tracking and Reporting

**Quarterly and Final Reports:** All funded applicants must be committed to providing quarterly reports for the 12 months budget period and a final annual progress report at the end of the budget period. The reports provide accountability in tracking and evaluating the program's impact on recipient projects, including any additional funding, inventions, patents, or licenses, publications and other project information and data resulting from the project. Failure to provide these reports will result in forfeiture of funding and disqualification from future funding opportunities. Quarterly reports must be submitted every 3 months. The annual progress report is due 60 days after the award period. In addition, a final invention and certification statement will also be required to be

submitted 60 days after the award period. Forms will be provided with the NOA or can be requested by email to the [CTSAProgram@UTSouthwestern.edu](mailto:CTSAProgram@UTSouthwestern.edu)

### **Acknowledgement**

All publications must be registered with PubMed Central, and all Translational Program grant recipients must acknowledge the support of the CTSA Program at UT Southwestern in all publications, presentations, and posters by stating “Research reported in this publication was supported by the National Center for Advancing Translational Sciences of the National Institutes of Health under the Center for Translational Medicine’s award number 1UL1TR003163-01A1. The content is solely the responsibility of the authors and does not necessarily represent the official views of the NIH.”

### **Unspent Funds**

Any unspent funds must be returned to the Program in the event that the project is determined to be unfeasible, is not completed for any other reasons, or the funds are no longer required for the project (for example, if external support is obtained for the same project).

## **SECTION VIII. PROGRAM CONTACTS**

Questions may be submitted to [CTSAProgram@UTSouthwestern.edu](mailto:CTSAProgram@UTSouthwestern.edu)