



Understanding your investment portfolio statement

**All the information
you need at a glance**

Managing an investment portfolio takes time, but understanding your investment portfolio statement shouldn't. Accordingly, we've designed a statement that is simple, user-friendly and, more importantly, easy to read.

- Your statement is sent to you quarterly; however, it may be delivered monthly if a transaction/activity occurred in your account.
- It presents an overview of all your accounts plus a detailed breakdown of each one.
- The types of accounts that you hold are clearly indicated, and there's enough information provided to fully understand the status of your investments.

Summary section

The first page features a quick overview of your investment portfolio value and investment mix while the second page contains important information regarding your statement.

1. Portfolio summary

Track the progress of your investments with an overview of the total market value of your accounts in Canadian dollars, for both the current and previous period.

2. What's new

It's important that you read this section each time you receive your statement, as it contains pertinent information regarding your account.

3. Investment portfolio information

Refer to this section for your account number. If duplicate copies have been requested, details would be listed here.

4. Inside this statement

Refer to this table of contents for quick access to specific account information.

5. Foreign exchange rates

Consult the foreign exchange rates used to convert US dollar portfolio summary and U.S. dollar investment mix amounts into Canadian dollars. The rates used will be those that were in effect at the end of the statement period.

6. Contact information

Need assistance? Here's where you can find your portfolio manager/investment advisor's contact information.

7. Investment mix

View the breakdown of your portfolio holdings in Canadian dollars by asset class and see what percentage each category represents. This section shows the market value of your portfolio and any negative balances which will appear in brackets.

8. About your registered account

Find useful information, including the designated beneficiary(ies) and/or plan beneficiary(ies) we have on file for you. For your convenience, year-to-date contributions are also listed.

HollisWealth^W
 Industrial Alliance Securities Inc.
 2200 McGill College Ave, Suite 350
 Montreal QC H3A 3P8

Investment Portfolio Statement

1 Portfolio Summary

FIRSTNAME LASTNAME
 123 ANYPLACE AVE
 CITY PROVINCE POSTAL CODE

3

Portfolio Information

Client ID # 123456

4

Inside This Statement

CAD Cash Account 2
 CAD Life Income Fund (LIF) 3
 CAD Registered Retirement Income Fund (RRIF) 6

5

*Foreign Exchange Rates

Portfolio Summary is stated in Canadian dollars, according to the month-end conversion rate.

USD 1.00 = CAD 1.364815

CAD 1.00 = USD 0.732700

2 What's New?

If this portfolio statement differs from your records, please inform our auditors directly. If no differences are reported, they will presume that the information contained in this statement is correct. Deloitte S.E.N.C.R.L./S.R.L., Mrs. Marie-Pier Cérat, 1190 Avenue des Canadiens-de-Montréal, Suite 500, Montréal (QC), H3B 0M7, mcerat@deloitte.ca, Fax: (514) 390-4111. HollisWealth wish you the very best for the new year.

6

Contact Information

Your Investment Advisor:

Advisor Name
 555-555-5555
 123 Anyplace Ave.
 City Province
 Postal code
 advisor@iasecurities.com

Introducing your new
 statement - now easier
 to read and understand.

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9. Cash flow summary

Consult a summary of the account activity, including expenses incurred, fees paid and a summary of all the interest and dividend investment income earned.

10. Asset details

Review securities by asset category: cash and equivalents, corporate bonds and common stocks. Cash equivalents refer to fixed-income securities with a maturity term of less than one year, money market funds and savings bonds. Security market values are easily found in the percentage column

11. Activity details

View your monthly detailed activities, including settlement date, description, quantity and price.

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Investment Portfolio Statement

7

Investment Mix

8

	Market Value (\$)	%
A Cash and Equivalents	21,419.81	11.9
B Global Equity Funds	61,165.83	33.9
C Global Fixed Income	12,757.95	7.1
D Miscellaneous	18,942.84	10.5
E Canadian Focused Equity	18,413.13	10.2
F Global Small/Mid Cap Equity	9,101.95	5.1
G Real Estate Funds	18,339.68	10.2
H Cdn Dividend and Income Equity	20,060.48	11.1
Total	180,201.67	100.0

A pie chart representing the investment mix. The chart is divided into eight segments labeled A through H. Segment B (Global Equity Funds) is the largest, followed by H (Cdn Dividend and Income Equity), D (Miscellaneous), E (Canadian Focused Equity), G (Real Estate Funds), F (Global Small/Mid Cap Equity), C (Global Fixed Income), and A (Cash and Equivalents) is the smallest.

CAD Cash Account | ABCDEF-A

9

Cash Flow Summary

Opening Cash Balance on December 1, 2018

\$ 307.15

	Activities For This Period			Year-to-Date
	Deductions (\$)	Additions (\$)	Net Amount (\$)	(\$)
Investments Bought, Sold or Redeemed	0.00	0.00	0.00	0.00
Withdrawals or Deposits	(307.15)	0.00	(307.15)	0.00
Interest	0.00	0.00	0.00	0.00
Dividends	0.00	0.00	0.00	0.00
Other	0.00	0.00	0.00	0.00
Total	(307.15)	0.00	(307.15)	0.00
Closing Cash Balance on December 31, 2018			0.00	

10

Activity Details

Settlement Date	Activity	Description	Quantity	Price (\$)	Net Amount (\$)	Balance (\$)
		Opening Balance				307.15
18/12/17	Withdrawal	AS REQUESTED EFT/CCU-410191734			(307.15)	0.00
		Closing Balance on December 31, 2018				0.00

12. Additional information

Find further information about your investment portfolio statement, including legal and regulatory notices, a glossary of terms, a list of abbreviations used in your statement and an explanation of the footnotes which could appear in the *Asset details* section.

Note: Pending transactions that haven't been settled by the last business day of the statement period will be clearly listed in the *Transactions to settle after month-end* section. Pending transactions will not be included in the current month's *Portfolio summary*, *Cash flow summary* and *Asset details* sections. However, they will be reflected in the following month's investment portfolio statement.

Additional Information

Industrial Alliance Securities Inc. ("IAS", "we", "our" or "us") has an introducing broker/carrying broker agreement with National Bank Financial Inc. (NBF Inc.), through its National Bank Independent Network (NBIN) division. NBIN is a division of and a trade name used by NBF Inc., a wholly-owned subsidiary of National Bank of Canada. NBIN provides us with trading, clearing and settlement of transactions, recordkeeping, and securities custody services for your account(s) with us, for which the securities therein are held in the custody of NBIN. As the Introducing Broker, we are responsible for determining and supervising the suitability of trading activity, and the opening and initial approval of accounts.

Please review your Investment Portfolio Statement ("Statement"). Any errors should be reported to our Compliance Department in writing within 30 days of your receipt of the Statement, otherwise the Statement will be considered correct as printed. For additional information, please speak to your Investment Advisor.

We are required to disclose to Canada Revenue Agency all transactions involving the disposition of securities, even if no tax forms are produced for such dispositions. Please keep your Statement as a reference for tax purposes.

Customers' accounts are protected by the Canadian Investor Protection Fund within specified limits. A brochure describing the nature and limits of coverage is available upon request or at www.cipf.ca. Securities registered in your name and held outside of NBIN are not covered by the Canadian Investor Protection Fund.

Copies of our statement of financial position as of our most recent financial year-end and a list of our directors and senior officers are available upon written request. Our clients in British Columbia are entitled to obtain certain additional information about us, including that relating to fees and administrative proceedings.

Any free credit balances in non-registered accounts represent funds payable on demand which, although properly recorded on our books, are not segregated and may be used in the conduct of our business.

Cash balances of registered plans are held by the plan trustee. Industrial Alliance Trust Inc. is the trustee of the following types of registered accounts: RRSP, RRIF, LIRA, LIF, and TFSA. Natcan Trust Company, a subsidiary of the National Bank of Canada, acts as trustee for our RRSP and RRIF.

Shareholder Communications (CSA National Instrument 54-101)
Your instructions may be modified at any time by providing us with written notice.

HollisWealth® is a division of Industrial Alliance Securities Inc.

Portfolio Summary

The amounts shown in this section are in Canadian dollars.

The subsection on Investment Mix indicates how the consolidated financial assets you hold are distributed across each of the basic asset classes. Any securities sold short or debit cash positions are excluded from this asset mix calculation.

Detailed Information (by account)

The Cash Flow Summary subsection presents changes in your cash balance during the period. Amounts are shown in the currency of the account, and are classified in broad categories for the current period and the calendar year to date.

Interest rates on debt and credit balances are available upon request; please contact your Investment Advisor for details.

Using borrowed money to finance the purchase of securities involves greater risk than using cash resources only. If you borrow money to purchase securities, your responsibility to repay the loan and pay interest as required by its terms remains the same even if the value of the securities purchased declines.

The Asset Details subsection provides a listing of the securities you hold by asset class.

The "Status" column provides information on how securities in your account(s) are held by NBIN. "SEC" (segregated) indicates fully paid securities which are segregated and held for you in nominee form. "SPC" (subsegregated) indicates fully paid securities, which are segregated and held registered in your name. "OWED" indicates securities you have sold but which have not yet been delivered to NBIN, or securities that were sold short. "UNSEC" (unsegregated) indicates securities being held as collateral for your margin loan, and which are therefore not segregated.

The "Book Cost" column means (i) in the case of a long security position, the total amount paid for the security, including any transaction charges related to the purchase, adjusted for reinvested distributions, returns of capital and corporate actions; or (ii) in the case of a short security position, the total amount received for the security, net of any transaction charges related to the sale, adjusted for any distributions (other than dividends), returns of capital and corporate actions.

We do not guarantee the accuracy of, or accept any responsibility for, the book costs and market values shown in the Statement since they may have been acquired from external sources. These amounts should not be used for tax reporting purposes. Where no book cost or market value is available, "N/A" is displayed on your Statement.

The Activity Details subsection presents, in chronological order, all transactions made during the period.

All dates of transactions are settlement dates.

Purchases and/or dispositions of securities resulting from transactions settled after month-end will be reflected in the following month's Statement.

Footnotes

The following footnotes may be shown in the Asset Details section.

- (1) **Non-determinable market value:** This indicates that the market value for a particular security was not determinable.
- (2) **Book cost at market value:** This indicates that market value information was used to estimate all or part of the book cost of the security.
- (3) **Deferred sales charge:** This indicates that the security was purchased on deferred sales charge (DSC) basis. Depending on the number of years the security is held, charges may be applied by the issuer when the security is sold.
- (4) **Accrued interest:** This indicates, whenever possible, that market values for fixed-income securities include accrued interest.
- (5) **Estimated market value:** This indicates, in the case of securities for which there is no active market, that the market value given is our estimate.

Abbreviations

The following is a list of the main abbreviations that may appear on your Statement to identify certain share classes and security descriptions.

NVS	Non-voting shares
RS	Restricted shares
RTS	Rights
RVS	Restricted voting shares
SVS	Subordinate voting shares
WTS	Warrants



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at <https://myportfolioplus.ca/hollis>
For further details, please contact
your portfolio manager or
investment advisor.**

HollisWealth

A division of Industrial Alliance Securities Inc.
2200 McGill College Ave, Suite 350
Montreal QC H3A 3P8

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