

Sean Chao, Department of Financial Services

Assistant Deputy Superintendent, Legal and Policy, Cybersecurity Division

Sean Chao currently serves as Assistant Deputy Superintendent of Legal and Policy in the Cybersecurity Division at New York's Department of Financial Services (DFS), where he focuses on cybersecurity policy and enforcing New York's first-in-the-nation Cybersecurity Regulation for the financial services industry. That Regulation has served as a model law for multiple states and others, including the U.S. Federal Trade Commission, the National Association of Insurance Commissioners, and the Conference of State Bank Supervisors. Sean was part of the small team tasked with significantly revising that Regulation, which was formally amended in November 2023.

Prior to joining DFS, Sean was General Counsel and Chief Compliance Officer at an SEC registered investment adviser, where he built the cybersecurity program and oversaw the firm's legal, compliance and IT functions.

Sean received his B.S. in Computer Science from Columbia University and spent four years as a network engineer consulting on matters for large telecom and major New York financial companies. He is a graduate of Boston University School of Law and worked on finance, corporate, mergers and acquisitions, bankruptcy and intellectual property matters at two law firms in New York.