

Speaker Bios

Lydia Miller, Senior Vice President

Lydia Miller is a Senior Vice President at Dana Investment Advisors and focuses on the firm's Sustainability and ESG investment strategies where she works closely with the Portfolio Management teams. She spearheads the firm's collaborations with the Ceres Investor Network, the Principles for Responsible Investing (PRI), and The Water Council. Prior to joining Dana, Lydia was a Managing Director at Big Path Capital (formerly Watershed Capital Group), an investment bank focused solely on sustainable and impact-driven private equity funds and companies. She was a Managing Director at UBS where she managed public global equity portfolios including a sustainability fund for large institutions, public funds, foundations, sovereign wealth funds, and ultra-high-net-worth individuals.

Lydia has been a speaker at various industry conferences on topics including portfolio management, ESG integration, and water risks and opportunities. She is an advisor to Equarius Risk Analytics, a water risk management entity, and has recently obtained her Alliance for Water Stewardship certifications. She is a member of Mount Mary University's Finance & Investment Committee and the Rotary Club.

Lydia earned her BS in Education at The Pennsylvania State University, summa cum laude, and her MBA from The University of Chicago.

John Mueller, Senior Vice President of Marketing

John is a Senior Vice President of Marketing at Dana Investment Advisors leading the firm's marketing efforts and distribution for the Dana Funds. Prior to joining Dana in 2011, John was Director of Marketing and Client Services for Nakoma Capital Management, a boutique long-short manager, and a Regional Vice President for AIG SunAmerica Funds.

John has received the Certificate in ESG Investing from the CFA Institute. He is an adjunct Instructor of Practice at Marquette University teaching a in Sustainability Management Program – ESG Investing coming later this year.

John has B.S. in finance and marketing from Marquette University.

Francis Sherman, Executive Director

Frank is the Executive Director of Seventh Generation Interfaith Coalition for Responsible Investment (SGI), a coalition of 35 faith-based and values-driven institutional investors located in the Midwest. As shareholders, SGI members participate in shaping the policies and practices of companies through dialogue with management, introduction of shareholder resolutions, voting at corporate meetings, and advocating for equitable public policy. Frank previously served as Chair of the Board of Governance of the Interfaith Center on Corporate Responsibility, a national coalition of over 300 faith-based institutions, asset management companies, unions, pension funds and colleges and universities that collectively represent over \$4 trillion in invested capital.

Frank retired after a 35-year career with Akzo Nobel n.v., the world's largest coatings company and a major producer of specialty chemicals based in Amsterdam, NL. He served as president and general manager of the \$1.3 billion global surface chemistry business unit and held leadership positions in various trade associations. He was recognized as an industry leader in safety and sustainability by the

American Chemistry Council with the Responsible Care Leader of the Year Award and by the American Cleaning Institute with the Alva Walker Spillane Distinguish Service Award.

Frank gives back to the local community by counseling small business owners as a certified mentor of SCORE, the volunteer arm of the US Small Business Administration. He also volunteers for the Capuchin Community Services for the homeless. He was previously on the adjunct faculty of Cardinal Stritch University College of Business & Management and has guest lectured at the University of Chicago and Marquette University graduate business schools. He has a B.S. and M.E. in chemical engineering from Cornell University and an M.B.A. in marketing from the University of New Haven.

Frank and his wife Terry have 5 adult children and a growing number of grandchildren and are residents of Fox Point, WI