BASEPOINT WEALTH

Meet the Team

Katie Kula CRPC®, Chief Compliance Officer Director of Operations



Q: Tell us about your career path?
I began working with Kate and Allen Wallace in May 2011 as their Receptionist. I was coming from the health care field and had not worked in an office environment before, but I found the world of finance fascinating. All my life, my goal was to find a career where I was helping people, and the fact that Kate & Allen built a firm where the clients' interest always come first rang true for me.

As the years progressed, I immersed myself in the business, and became a licensed advisor with the CRPC designation. When we became Basepoint in 2017, I was given the fantastic opportunity to become the Director of Operations and Chief Compliance Officer, as I truly thrive behind the scenes. I help keep the business humming, and help our advisors and staff get what they need to help our clients in the most effective way possible.



Q: What do you love most about what you do?
Working in Operations and Compliance, there is always something new to tackle every day. Never a dull moment.

Q: What is the best advice you've ever received?
Never stop learning. There is always someone in the world who can provide you with guidance or words of wisdom.

Q: What is the your favorite way to approach a problem?
I'm very much a "do-er" and in Operations, we play defense a lot. First, I assess if any action is needed immediately, and deploy resources to "put a band-aid" on the issue. I then gather as much information as possible before formulating a plan of action for resolution. I also like to bounce ideas off different people to get their feedback on the approach, as sometimes they may think of something I hadn't thought of. Then I try to work swiftly to implement a plan of action, making sure everyone has their tasks to complete with a timeline.



Q: What is the last book you read?
I recently re-read the book Leading Change by John P. Kotter. Technically, I listened to it as I'm a huge fan of audio books – running a household with 3 children, I seldom have time to sit down and read a paper version of a book.

Q: Tell us a surprising or fun fact about you.
I'm a musician. I play guitar and piano, and sing. Nowadays, I still have the opportunity to perform when I sing for someone's wedding or private party, or I just have a sing-a-long with my kids at home.

READ KATIE'S FULL BIO

Q: Travel bucket list?
I would love to visit all the National Parks in the United States.

Q: Where is your happy place?
Walking a nature trail with my children.