

**KELLY LOEFFLER PERSONAL FINANCIAL DISCLOSURE (PFD)**  
*NEW FILER REPORT, PERIOD OF 1/1/2019-1/5/2020*

NOTE: It is critical to remember these are securities that were held at any point from January 1 2019 to Jan 5, 2020. The Personal Financial Disclosure **DOES NOT** include details of any transactions that were made during Loeffler's service in the U.S. Senate, other than the notes regarding liquidation.

**EXECUTIVE SUMMARY**

- Conservative businesswoman and political outsider Kelly Loeffler left a successful career in the private sector to serve and deliver results for the people of Georgia.
- From the family farm to the New York Stock Exchange, Sen. Loeffler has lived the American Dream. Her Personal Financial Disclosure (PFD) not only attests to her personal success in business, but also highlights her investments made in Georgia companies, communities, and job creators.
- With the release of this 98-page disclosure, multiple Periodic Transaction Reports, and the required filings associated with her previous employer, Intercontinental Exchange (ICE), Sen. Loeffler is incredibly transparent, fully compliant, and continues to adhere to the spirit and letter of the law. Any assertions to the contrary are baseless and politically motivated.

**KEY TAKEAWAYS**

- The items summarized in the PFD are Kelly Loeffler and Jeff Sprecher's assets from January 1, 2019 – January 5, 2020 (the period immediately prior to Senator Loeffler's first day in office on January 6, 2020).
- Sen. Loeffler's annual compensation (salary + bonus +equity) **in 2019 as CEO at Bakkt and as a member of the Intercontinental Exchange management team, prior to becoming a Senator, was \$3.5 million.** She left a lucrative and successful job to step into public service and deliver results for Georgia families. Sen. Loeffler donates her congressional salary to local Georgia non-profits.
- The various accounts, where 3<sup>rd</sup> party advisors plan their investment strategy and implement trades, appear on this report. However, these accounts were liquidated in April of 2020.
- Shares of Intercontinental Exchange (ICE) stock, which accounts for a large majority of the couple's investable assets appear within the E-Trade account. ICE stock is sold regularly under a pre-approved SEC Rule 10b5-1 plan.

- Loeffler's PFD details diverse holdings in stocks, bonds, real estate, and investments in a wide range of companies.
- During this period, Sen. Loeffler and her husband held nearly 100 bonds issued by state and local governments of Georgia and managed by 3<sup>rd</sup> party advisors. These bonds help fund transportation and infrastructure projects.
- Sen. Loeffler and her husband have rental property in Coastal Georgia and invested in a fund that develops Class A and Class B mixed use apartments in communities throughout the state. These investments support Georgia's robust tourism industry and the need for housing in growing parts of the Peach State.
- Sen. Loeffler grew up on her family's farm, which is still operational today. She continues to own farmland in her hometown. Corn and soybeans are grown on this land.
- Sen. Loeffler and her husband are both strong supporters of higher education and have funded numerous 529 savings accounts so children of their extended family and those in need can attend college at no cost.
- Sen. Loeffler and her husband invest in entrepreneurs and start-up businesses in a variety of industries. Through these investments they have helped create thousands of jobs and incredible economic growth.

## **KEY FACTS**

### General Notes:

- It is critical to remember these are all securities that were held in 2019 up until Jan 5, 2020. It does **NOT** include details of any transactions that were made by 3<sup>rd</sup> party advisors during Loeffler's service in the U.S. Senate, other than the notes regarding liquidation.
- Many of the investments within the Personal Financial Disclosure have filer comments "no longer held". This indicates that these securities were sold.
- There is a column that says, "Income Type." This indicates where there were Dividends, Capital Gains, Interest or other Income. The Excepted Investment Fund (EIF) indicates a fund where the type of income is not required to be delineated, like a mutual fund or ETF.

### PAGE 2

- Lists Senator Loeffler's earned, non-investment income from ICE, Bakkt, and board compensation.

### PAGES 3-4

- Items 1 – 5.1 are the couple’s real estate held in LLCs. Their primary residence purchase in 2009—and subsequent acquisition of adjacent residential property—is represented by four holding companies because they both have equal stake in each property.

PAGES 5-9

- Items 6 – 6.52 represent securities held by Sepio Capital for the couple’s joint account, including a Fidelity sweep account where the broker maintains cash used to buy and sell securities. These securities are no longer held.

PAGES 9-12

- Items #7 – 7.29 represent securities held by Sepio Capital for Senator Loeffler’s personal account, account including a Fidelity sweep account where the broker maintains cash used to buy and sell securities. These securities are no longer held.
- Items #8 – 10.2

PAGES 12-16

- Items #8 – 10.45 represent securities held by Goldman Sachs for the couple’s joint account.
  - NOTE: Goldman Sachs Brokerage 3 (items 10-10.45) indicate securities no longer held.

PAGES 16 – 38

- Items #11 – 11.168 represent securities held by Morgan Stanley for Senator Loeffler’s personal account.
  - NOTE: Starting on pages 17 – 26, bonds held by Senator Loeffler during 2019 – including many Georgia municipal bonds - are listed. Many say “No longer held” because those bonds matured or were redeemed by the issuer *prior to the report filing date*.

PAGES 38 – 75

- Items #12 – 12.273 represent securities held by Morgan Stanley for Jeff Sprecher’s personal account.
  - NOTE: Starting on pages 40 – 52, bonds held by Jeff Sprecher during 2019 – including many Georgia municipal bonds - are listed. Many say “No longer held” because those bonds matured or were redeemed by the issuer prior to the report filing date.

PAGES 75 – 76

- Items #13 – 15.2 are ICE stock accounts for Senator Loeffler and her husband. Continental Power Exchange (CPEX) is also an investor in ICE, and Jeff Sprecher owns 100% of the stock of CPEX.

PAGES 76 – 78

- Items #16 – 25. 3 are 529 qualified tuition plans and accounts so extended family and those in need can attend college at no cost.

PAGES 78 – 79

- Items #26 – 35 are mutual funds owned by Senator Loeffler or her husband.

PAGES 80 – 81

- Items #36 – 37.15 are IRAs owned by Senator Loeffler or her husband.

PAGES 82 – 83

- Items #38.1 – 38.7 are private equity investments
  - NOTE: Descante Capital is the family office of Senator Loeffler and her husband, which oversees reporting, tax, accounting, property management and bill payment. It also gathers information from other investment managers. It does not plan investment strategy or implement trades. Note that several of the investments held directly by Descante Capital at the close of the reporting period are no longer held.
- Items #38.8.4 – 38.8.6 are real estate development properties
- Item #39.1 is a rental property owned by Senator Loeffler and her husband

PAGES 83 – 86

- Items #40 – 44.1 are real estate investments held by Jeff Sprecher and managed by a third-party.

PAGE 86

- Items #45 – 47.2 represent farmland in Sen. Loeffler's hometown that she and her husband own

PAGES 87 – 88

- Items #49 – 56 are private equity investments

PAGES 88 - 90

- Items #57.1 – 57.7 are Senator Loeffler's ICE equity compensation (options and stock) for when she was an ICE employee. These were the stock options she exercised and sold, which have been previously reported.
- Items #58.1 – 58.9 are Jeff Sprecher's ICE equity compensation (options and stock).
- Item #59 is the holding company for the couple's airplane.
- Item #60 is the holding company for Senator Loeffler's stake in the Atlanta Dream

PAGES 91 – 93

- Items #62 – 65 are checking and savings accounts held by Sen. Loeffler, her husband or both.
- Items #66 – 66.12 are Senator Loeffler's 401K.
- Items #67 – 67.12 are Jeff Sprecher's 401K

PAGE 94

- This page lists Senator Loeffler's lines of credit at Morgan Stanley.

PAGE 95

- This page lists roles that Senator Loeffler held or holds.

PAGE 97

- Part 9 of this page verifies that Senator Loeffler has been executing 10b5-1 plans since 2007 to be able to sell ICE stock each year.

PAGE 97

- This page verifies Senator Loeffler's primary sources of income.

United States Senate

# Financial Disclosures

## New Filer Report for 01/06/2020

Mrs. Kelly Loeffler (Loeffler, Kelly)

 In Progress (last saved 04/29/2020 @ 9:44 AM)

Filing statements:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

- I *omitted* assets, transactions or liabilities because they met the three-part test for exemption.

 Items flagged for review.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Other (Salary, Bonus, and Equity Compensation)	Intercontinental Exchange Holdings, Inc. (and Bakkt, LLC, a subsidiary) Atlanta, Georgia	\$3,582,428.46
2	Self	Board Compensation	Georgia Power Company Atlanta, Georgia	\$1,054.55
3	Spouse	Salary	Intercontinental Exchange Holdings, Inc. Atlanta, Georgia	> \$1,000
4	Spouse	Board Compensation	Wisconsin Alumni Research Foundation Madison, Wisconsin	> \$1,000

### Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

#	Asset	Asset Type	Owner	Value	Income Type	Income
1	<b>Primary Residence Holding Company 1</b> <i>Company:</i> Descante II LLC (Atlanta, Georgia) <i>Description:</i> Holding company <i>Filer comment:</i> Holds portion of primary residence	Business Entity Limited Liability Company (LLC)	Self			
1.1	<b>Primary Residence (portion)</b> <i>Description:</i> Primary Residence (Atlanta, Georgia)	Real Estate Residential	Self	\$1,000,001 - \$5,000,000	None	None (or less than \$201)
2	<b>Primary Residence Holding Company 2</b> <i>Company:</i> Tuxedo Court II LLC (Atlanta, Georgia) <i>Description:</i> Holding company <i>Filer comment:</i> Holds portion of primary residence	Business Entity Limited Liability Company (LLC)	Self			
2.1	<b>Primary Residence (portion)</b> <i>Description:</i> Primary Residence (Atlanta, Georgia)	Real Estate Residential	Self	\$1,000,001 - \$5,000,000	None	None (or less than \$201)
3	<b>Primary Residence Holding Company 3</b> <i>Company:</i> Descante I LLC (Atlanta, Georgia) <i>Description:</i> Holding company <i>Filer comment:</i> Holds portion of primary residence	Business Entity Limited Liability Company (LLC)	Spouse			
3.1	<b>Primary Residence (portion)</b> <i>Description:</i> Primary Residence (Atlanta, Georgia)	Real Estate Residential	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)



#	Asset	Asset Type	Owner	Value	Income Type	Income
4	<b>Primary Residence Holding Company 4</b> <i>Company:</i> Tuxedo Court I LLC (Atlanta, Georgia) <i>Description:</i> Holding company <i>Filer comment:</i> Holds portion of primary residence	Business Entity Limited Liability Company (LLC)	Spouse			
4.1	<b>Primary Residence (portion)</b> <i>Description:</i> Primary Residence (Atlanta, Georgia)	Real Estate Residential	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
5	<b>Secondary Residence</b> <i>Company:</i> 1000 S Ocean Boca LLC (Atlanta, Georgia) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Joint			
5.1	<b>Secondary Residence</b> <i>Description:</i> Secondary Residence (Boca Raton, Florida)	Real Estate Residential	Joint	\$5,000,001 - \$25,000,000	None	None (or less than \$201)
6	<b>Sepio Capital Brokerage Account - Joint</b>	Brokerage/Managed Account	Joint			
6.1	<b>Fidelity Investments</b> (Atlanta, Georgia) <i>Type:</i> Brokerage Sweep Account, Money Market Account,	Bank Deposit	Joint	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
6.2	<b><u>SPY</u> - SPDR S&amp;P 500 ETF Trust</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$5,001 - \$15,000
6.3	<b><u>XLB</u> - Materials Select Sector SPDR Fund</b>  <i>Filer comment:</i> No longer held	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$2,501 - \$5,000
6.4	<b><u>XLV</u> - Health Care Select Sector SPDR Fund</b>  <i>Filer comment:</i> No longer held	Mutual Funds Exchange Traded Fund/Note	Joint	\$500,001 - \$1,000,000	Excepted Investment Fund	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.5	<b><u>XLP</u> - Consumer Staples Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$5,001 - \$15,000
	<i>Filer comment:</i> No longer held					
6.6	<b><u>XLI</u> - Industrial Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$2,501 - \$5,000
	<i>Filer comment:</i> No longer held					
6.7	<b><u>XLK</u> - Technology Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$100,001 - \$1,000,000
	<i>Filer comment:</i> No longer held					
6.8	<b><u>XLU</u> - Utilities Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$5,001 - \$15,000
	<i>Filer comment:</i> No longer held					
6.9	<b><u>GDJ</u> - VanEck Vectors Gold Miners ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$1,001 - \$2,500
	<i>Filer comment:</i> No longer held					
6.10	<b><u>OIH</u> - VanEck Vectors Oil Services ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$1,001 - \$2,500
	<i>Filer comment:</i> No longer held					
6.11	<b><u>GDJ</u> - VanEck Vectors Junior Gold Miners ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$1,001 - \$2,500
	<i>Filer comment:</i> No longer held					
6.12	<b><u>TLT</u> - iShares 20+ Year Treasury Bond ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$201 - \$1,000
	<i>Filer comment:</i> No longer held					
6.13	<b><u>EWJ</u> - iShares MSCI Japan ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$201 - \$1,000
	<i>Filer comment:</i> No longer held					
6.14	<b><u>HELE</u> - Helen of Troy Limited</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
	<i>Filer comment:</i> No longer held					

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.15	<b><u>GOOGL</u> - Alphabet Inc.</b>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	None	None (or less than \$201)
	<i>Filer comment:</i> No longer held					
6.16	<b><u>ARNA</u> - Arena Pharmaceuticals, Inc.</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
	<i>Filer comment:</i> No longer held					
6.17	<b><u>ADP</u> - Automatic Data Processing, Inc.</b>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
	<i>Filer comment:</i> No longer held					
6.18	<b><u>AZO</u> - AutoZone, Inc.</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000
	<i>Filer comment:</i> No longer held					
6.19	<b><u>CMCSA</u> - Comcast Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains	\$100,001 - \$1,000,000
	<i>Filer comment:</i> No longer held					
6.20	<b><u>COST</u> - Costco Wholesale Corporation</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends, Capital Gains	\$50,001 - \$100,000
	<i>Filer comment:</i> No longer held					
6.21	<b><u>DG</u> - Dollar General Corporation</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
	<i>Filer comment:</i> No longer held					
6.22	<b><u>EEFT</u> - Euronet Worldwide, Inc.</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
	<i>Filer comment:</i> No longer held					
6.23	<b><u>FB</u> - Facebook, Inc.</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
	<i>Filer comment:</i> No longer held					
6.24	<b><u>FIS</u> - Fidelity National Information Services, Inc.</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
	<i>Filer comment:</i> No longer held					
6.25	<b><u>FNKO</u> - Funko, Inc.</b>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	None	None (or less than \$201)
	<i>Filer comment:</i> No longer held					

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.26	<b><u>HON</u> - Honeywell International Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends, Capital Gains	\$50,001 - \$100,000
6.27	<b><u>IPHI</u> - Inphi Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None	None (or less than \$201)
6.28	<b><u>KEYS</u> - Keysight Technologies, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
6.29	<b><u>KL</u> - Kirkland Lake Gold Ltd.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	None (or less than \$201)
6.30	<b><u>LULU</u> - Lululemon Athletica Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None	None (or less than \$201)
6.31	<b><u>MSFT</u> - Microsoft Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
6.32	<b><u>NVDA</u> - NVIDIA Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains	\$5,001 - \$15,000
6.33	<b><u>ORLY</u> - O'Reilly Automotive, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
6.34	<b><u>RTN</u> - Raytheon Company</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
6.35	<b><u>REZI</u> - Resideo Technologies, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	None	None (or less than \$201)
6.36	<b><u>ROKU</u> - Roku, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Capital Gains	\$2,501 - \$5,000
6.37	<b><u>ROST</u> - Ross Stores, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$201 - \$1,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.38	<b><u>TJX</u> - The TJX Companies, Inc.</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends	None (or less than \$201)
6.39	<b><u>TCEHY</u> - Tencent Holdings Limited</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends	None (or less than \$201)
6.40	<b><u>TDG</u> - TransDigm Group Incorporated</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
6.41	<b><u>VTR</u> - Ventas, Inc.</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
6.42	<b>CBS Corporation</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$201 - \$1,000
6.43	<b><u>UTX</u> - United Technologies Corporation</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$50,001 - \$100,000
6.44	<b><u>AMZN</u> - Amazon.com, Inc.</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
6.45	<b><u>C</u> - Citigroup Inc.</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
6.46	<b><u>EWP</u> - iShares MSCI Spain Capped ETF</b> <i>Filer comment:</i> No longer held	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund	\$201 - \$1,000
6.47	<b><u>EWZ</u> - iShares MSCI Brazil Capped ETF</b> <i>Filer comment:</i> No longer held	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund	\$201 - \$1,000
6.48	<b><u>GTX</u> - Garrett Motion Inc.</b> <i>Filer comment:</i> No longer held	Corporate Securities Stock	Joint	None (or less than \$1,001)	Excepted Investment Fund	\$201 - \$1,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
6.49	<b>PPC - Pilgrim's Pride Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
6.50	<b>SLB - Schlumberger Limited</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
6.51	<b>XLFF - Financial Select Sector SPDR Fund</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund	\$100,001 - \$1,000,000
6.52	<b>XOM - Exxon Mobil Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$201 - \$1,000
7	<b>Sepio Capital Brokerage Account - Self</b>	Brokerage/Managed Account	Self			
7.1	<b>Fidelity Investments</b> (Atlanta, Georgia) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
7.2	<b>ADP - Automatic Data Processing, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
7.3	<b>AMZN - Amazon.com, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
7.4	<b>DG - Dollar General Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends	\$201 - \$1,000
7.5	<b>MSFT - Microsoft Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends	\$201 - \$1,000
7.6	<b>ROST - Ross Stores, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends	\$201 - \$1,000
7.7	<b>SPY - SPDR S&amp;P 500 ETF Trust</b> <i>Filer comment: Asset now held jointly</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund	\$1,001 - \$2,500

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.8	<b><u>TDG</u> - TransDigm Group Incorporated</b>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
	<i>Filer comment:</i> No longer held					
7.9	<b><u>VTR</u> - Ventas, Inc.</b>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
	<i>Filer comment:</i> No longer held					
7.10	<b><u>XLB</u> - Materials Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund	\$201 - \$1,000
	<i>Filer comment:</i> No longer held					
7.11	<b><u>XLI</u> - Industrial Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund	\$201 - \$1,000
	<i>Filer comment:</i> No longer held					
7.12	<b><u>XLP</u> - Consumer Staples Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund	\$1,001 - \$2,500
	<i>Filer comment:</i> No longer held					
7.13	<b><u>XLU</u> - Utilities Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund	\$1,001 - \$2,500
	<i>Filer comment:</i> No longer held					
7.14	<b><u>XLV</u> - Health Care Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund	\$1,001 - \$2,500
	<i>Filer comment:</i> No longer held					
7.15	<b><u>RTN</u> - Raytheon Company</b>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
	<i>Filer comment:</i> No longer held					
7.16	<b><u>AZO</u> - AutoZone, Inc.</b>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment:</i> No longer held					
7.17	<b><u>C</u> - Citigroup Inc.</b>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment:</i> No longer held					

#	Asset	Asset Type	Owner	Value	Income Type	Income
7.18	<b><u>CMCSA</u> - Comcast Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
7.19	<b><u>COST</u> - Costco Wholesale Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
7.20	<b><u>EWJ</u> - iShares MSCI Japan ETF</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
7.21	<b><u>EWZ</u> - iShares MSCI Brazil Capped ETF</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund	\$201 - \$1,000
7.22	<b><u>GTX</u> - Garrett Motion Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7.23	<b><u>HON</u> - Honeywell International Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
7.24	<b><u>NVDA</u> - NVIDIA Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
7.25	<b><u>ORLY</u> - O'Reilly Automotive, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
7.26	<b><u>ROKU</u> - Roku, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
7.27	<b><u>SLB</u> - Schlumberger Limited</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends	\$201 - \$1,000
7.28	<b><u>XLF</u> - Financial Select Sector SPDR Fund</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund	\$15,001 - \$50,000



#	Asset	Asset Type	Owner	Value	Income Type	Income
7.29	<b>XLK - Technology Select Sector SPDR Fund</b> <i>Filer comment: No longer held</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund	\$15,001 - \$50,000
8	<b>Goldman Sachs Brokerage 1</b>	Brokerage/Managed Account	Joint			
8.1	<b>Goldman Sachs</b> (New York, New York) <i>Type: Brokerage Sweep Account, Money Market Account,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest	\$201 - \$1,000
8.2	<b>SPY - SPDR S&amp;P 500 ETF Trust</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$500,001 - \$1,000,000	Excepted Investment Fund	\$15,001 - \$50,000
8.3	<b>DVY - iShares Select Dividend ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$5,001 - \$15,000
9	<b>Goldman Sachs Brokerage 2</b>	Brokerage/Managed Account	Joint			
9.1	<b>Eminence Partners Fund LLC</b> <i>Company: Eminence Partners Fund LLC (New York, New York) Description: Investment fund</i>	Business Entity Limited Liability Company (LLC)	Joint	\$1,000,001 - \$5,000,000	None	None (or less than \$201)
10	<b>Goldman Sachs Brokerage 3</b>	Brokerage/Managed Account	Joint			
10.1	<b>Goldman Sachs</b> (New York, New York) <i>Type: Money Market Account,</i>	Bank Deposit	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
10.2	<b>ABBV - AbbVie Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
10.3	<b>AAPL - Apple Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
10.4	<b>AGCO - AGCO Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$201 - \$1,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
10.5	<b>AMGN - Amgen Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
10.6	<b>BAC - Bank of America Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
10.7	<b>C - Citigroup Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
10.8	<b>CSCO - Cisco Systems, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends, Capital Gains	\$50,001 - \$100,000
10.9	<b>DIS - The Walt Disney Company</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
10.10	<b>DOCU - DocuSign, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	None	None (or less than \$201)
10.11	<b>EBAY - eBay Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
10.12	<b>FDX - FedEx Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
10.13	<b>JD.com Inc.</b> <i>Filer comment: No longer held</i>	American Depository Receipt	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
10.14	<b>KEY - KeyCorp</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
10.15	<b>KMI - Kinder Morgan, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
10.16	<b>LMT - Lockheed Martin Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
10.17	<b>LOW - Lowe's Companies, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends, Capital Gains	\$15,001 - \$50,000
10.18	<b>MDLZ - Mondelez International, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
10.19	<b>MPC - Marathon Petroleum Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
10.20	<b>PFE - Pfizer Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
10.21	<b>PRU - Prudential Financial, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
10.22	<b>PYPL - PayPal Holdings, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
10.23	<b>SAP SE</b> <i>Filer comment: No longer held</i>	American Depository Receipt	Joint	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
10.24	<b>STZ - Constellation Brands, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
10.25	<b>T - AT&amp;T Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
10.26	<b>TFC - Truist Financial Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	None	None (or less than \$201)
10.27	<b>VZ - Verizon Communications Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
10.28	<b>WMT - Walmart Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
10.29	<b>XOM - Exxon Mobil Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
10.30	<b>ALC - Alcon, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
10.31	<b>ADM - Archer-Daniels-Midland Company</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.32	<b>AVLR - Avalara, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
10.33	<b>BB&amp;T Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
10.34	<b>DE - Deere &amp; Company</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
10.35	<b>GILD - Gilead Sciences, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
10.36	<b>IBM - International Business Machines Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
10.37	<b>MXIM - Maxim Integrated Products, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
10.38	<b>Novartis AG</b> <i>Filer comment: No longer held</i>	American Depository Receipt	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
10.39	<b>PFGC - Performance Food Group Company</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
10.40	<b>SLB - Schlumberger Limited</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
10.41	<b>Suntrust Banks Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
10.42	<b>WMB - The Williams Companies, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends	\$15,001 - \$50,000
10.43	<b>TRMB - Trimble Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
10.44	<b>UPS - United Parcel Service, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.45	<b>VRNT - Verint Systems Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
11	<b>Morgan Stanley Brokerage Account - Self</b>	Brokerage/Managed Account	Self			
11.1	<b>Morgan Stanley</b> (Alpharetta, Georgia) <i>Type: Brokerage Sweep Account, Money Market Account,</i>	Bank Deposit	Self	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
11.2	<b>AAPL - Apple Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
11.3	<b>LNG - Cheniere Energy, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
11.4	<b>GLNCY - Glencore plc</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.5	<b>HD - The Home Depot, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.6	<b><u>SBUX</u> - Starbucks Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends	None (or less than \$201)
11.7	<b><u>AMTD</u> - TD Ameritrade Holding Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends	None (or less than \$201)
11.8	<b><u>TEX</u> - Terex Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
11.9	<b><u>V</u> - Visa Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
11.10	<b>Atlanta Georgia Airport Gen Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2020</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
11.11	<b>Rome Georgia Water &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2020</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
11.12	<b>Forsyth County Public Facilities Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2020</i> <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
11.13	<b>Gainesville &amp; Hall County Georgia Hospital Auth Revenue Bond</b> <i>Rate/Coupon: 4.25%</i> <i>Matures: 02/15/2022</i>	Government Securities Municipal Security	Self	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.14	<b>Weslaco Texas Ltd Tax Ref Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2020</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
11.15	<b>Fulton County Georgia Development Auth Revenue Bond</b> <i>Rate/Coupon: 4.50%</i> <i>Matures: 06/01/2020</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
11.16	<b>Athens Georgia Housing Auth Revenue Bond - Univ of Georgia</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/15/2020</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
11.17	<b>Floyd County Georgia School Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2020</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
11.18	<b>South Carolina State Pub Svc Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2021</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
11.19	<b>Glynn County Georgia Schools General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2020</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.20	<b>Medical Center Hospital Auth Georgia Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2041</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.21	<b>Wisconsin State Health &amp; Educational Facs Auth Revenue Bond</b> <i>Rate/Coupon: 5.125%</i> <i>Matures: 08/15/2035</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.22	<b>DeKalb County Georgia Water &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2020</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.23	<b>Georgia State General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2020</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
11.24	<b>Georgia State Road &amp; Tollway Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2020</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.25	<b>Richmond County Georgia Board of Education General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2020</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	None	None (or less than \$201)
11.26	<b>Thomasville Georgia Hospital Auth Revenue Bond</b> <i>Rate/Coupon: 5.125%</i> <i>Matures: 11/01/2030</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.27	<b>Gainesville Georgia Water &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2020</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.28	<b>Atlanta Georgia Airport Gen Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2021</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
11.29	<b>Greene County Georgia Development Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2021</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.30	<b>Hartford County Connecticut Met Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2021</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11.31	<b>Georgia State Road &amp; Tollway Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2021</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000



#	Asset	Asset Type	Owner	Value	Income Type	Income
11.32	<b>Fulton County Georgia Development Auth Revenue Bond</b> <i>Rate/Coupon: 5.75%</i> <i>Matures: 10/01/2041</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	None	None (or less than \$201)
11.33	<b>Georgia State Housing &amp; Fin Auth Revenue Bond</b> <i>Rate/Coupon: 2.35%</i> <i>Matures: 06/01/2021</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
11.34	<b>Georgia State Road &amp; Tollway Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2021</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Interest	\$201 - \$1,000
11.35	<b>Downtown Development Auth Fairburn Georgia Revenue Bond</b> <i>Rate/Coupon: 4.75%</i> <i>Matures: 07/01/2033</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11.36	<b>Georgia State General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2021</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
11.37	<b>Cherokee County Georgia School System General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2021</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
11.38	<b>Gordon County Georgia School Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2021</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.39	<b>DeKalb County Georgia Water &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2021</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.40	<b>Atlanta Georgia Water &amp; Wastewater Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2021</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.41	<b>Atlanta Georgia General Obligation Public Impt Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2021</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
11.42	<b>Gainesville Georgia School Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2021</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
11.43	<b>Gwinnett County Georgia Development Auth COPs</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 01/01/2022</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11.44	<b>Gainesville &amp; Hall County Georgia Hospital Auth Revenue Bond</b> <i>Rate/Coupon: 4.25%</i> <i>Matures: 2/15/2022</i>	Government Securities Municipal Security	Self	\$1,001 - \$15,000	Interest	\$201 - \$1,000
11.45	<b>Bartow County Georgia School District General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2022</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Interest	\$201 - \$1,000
11.46	<b>Atlanta Georgia Airport Gen Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2029</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
11.47	<b>South Regional Joint Development Auth Georgia Revenue Bond</b> <i>Rate/Coupon: 3.25%</i> <i>Matures: 08/01/2036</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
11.48	<b>Albany Georgia Sewer System Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2019</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.49	<b>Athens-Clarke County Georgia Univ Government Development Auth Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/15/2020</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
11.50	<b>Athens-Clarke County Georgia Univ Government Water &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 5.50%</i> <i>Matures: 01/01/2038</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
11.51	<b>Atlanta Georgia Development Auth Educational Facs Revenue Bond</b> <i>Rate/Coupon: 4.375%</i> <i>Matures: 07/01/2024</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
11.52	<b>Atlanta Georgia Development Auth Educational Facs Revenue Bond</b> <i>Rate/Coupon: 4.25%</i> <i>Matures: 07/01/2022</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
11.53	<b>Atlanta Georgia General Obligation Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 12/01/2023</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.54	<b>Atlanta Georgia Water &amp; Wastewater Revenue Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 11/01/2034</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.55	<b>Atlanta Georgia Water &amp; Wastewater Revenue Bond</b> <i>Rate/Coupon: 5.375%</i> <i>Matures: 11/01/2039</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.56	<b>Atlanta Georgia Water &amp; Wastewater Revenue Bond</b> <i>Rate/Coupon: 6.0%</i> <i>Matures: 11/01/2021</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
11.57	<b>Atlanta Georgia Water &amp; Wastewater Revenue Bond</b> <i>Rate/Coupon: 6.0%</i> <i>Matures: 11/01/2022</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
11.58	<b>Atlanta Georgia Water &amp; Wastewater Revenue Bond</b> <i>Rate/Coupon: 6.0%</i> <i>Matures: 11/01/2024</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.59	<b>Atlanta Georgia Water &amp; Wastewater Revenue Bond</b> <i>Rate/Coupon: 6.25%</i> <i>Matures: 11/01/2039</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
11.60	<b>Buford Georgia General Obligation Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 01/01/2019</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.61	<b>DeKalb County Georgia School Dist General Obligation Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 10/01/2019</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
11.62	<b>Forsyth County Georgia General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2024</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
11.63	<b>Fulton County Georgia Development Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2029</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
11.64	<b>Fulton County Georgia Development Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2019</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.65	<b>Fulton County Georgia Development Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2019</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
11.66	<b>Fulton County Georgia Facs Corp CTFS Partner COPs</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2019</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.67	<b>Georgia State General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2020</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
11.68	<b>Georgia State Road &amp; Tollway Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2019</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.69	<b>Georgia State Road &amp; Tollway Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2020</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.70	<b>Gwinnett County Georgia School Dist General Obligation Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/01/2019</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
11.71	<b>Macon-Bibb County Georgia Hosp Auth Revenue Bond</b> <i>Rate/Coupon: 4.625%</i> <i>Matures: 08/01/2029</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
11.72	<b>Metropolitan Atlanta Rapid Transit Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2019</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.73	<b>Municipal Elec Auth Georgia Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2019</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
11.74	<b>Municipal Elec Auth Georgia Subordinated Bond</b> <i>Rate/Coupon: 5.75%</i> <i>Matures: 01/01/2019</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
11.75	<b>Municipal Elec Auth Georgia Subordinated Bond</b> <i>Rate/Coupon: 5.50%</i> <i>Matures: 01/01/2026</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
11.76	<b>Savannah Georgia Economic Development Auth Revenue Bond</b> <i>Rate/Coupon: 4.75%</i> <i>Matures: 06/15/2029</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
11.77	<b>Savannah Georgia Economic Development Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2039</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
11.78	<b>AA - Alcoa Corporation</b> <i>Strike price: \$25.00   Put</i> <i>Expires: 07/19/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.79	<b>AA - Alcoa Corporation</b> <i>Strike price: \$20.00   Put</i> <i>Expires: 10/18/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.80	<b>ABBV - AbbVie Inc.</b> Strike price: \$60.00   Put Expires: 01/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
11.81	<b>ABBV - AbbVie Inc.</b> Strike price: \$65.00   Put Expires: 05/15/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
11.82	<b>ABT - Abbott Laboratories</b> Strike price: \$62.50   Put Expires: 08/16/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.83	<b>ABT - Abbott Laboratories</b> Strike price: \$67.50   Put Expires: 11/15/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.84	<b>ABT - Abbott Laboratories</b> Strike price: \$70.00   Put Expires: 05/15/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
11.85	<b>AFL - Aflac Incorporated</b> Strike price: \$42.50   Put Expires: 08/16/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.86	<b>AFL - Aflac Incorporated</b> Strike price: \$50.00   Put Expires: 01/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500



#	Asset	Asset Type	Owner	Value	Income Type	Income
11.87	<b>AFL - Aflac Incorporated</b> <i>Strike price: \$47.50   Put</i> <i>Expires: 05/15/2020</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
11.88	<b>AIG - American International Group, Inc.</b> <i>Strike price: \$50.00   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.89	<b>AMAT - Applied Materials, Inc.</b> <i>Strike price: \$35.00   Put</i> <i>Expires: 07/19/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
11.90	<b>AMAT - Applied Materials, Inc.</b> <i>Strike price: \$40.00   Put</i> <i>Expires: 09/20/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
11.91	<b>AMGN - Amgen Inc.</b> <i>Strike price: \$170.00   Put</i> <i>Expires: 06/21/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.92	<b>AMGN - Amgen Inc.</b> <i>Strike price: \$170.00   Put</i> <i>Expires: 09/20/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.93	<b>AMGN - Amgen Inc.</b> <i>Strike price: \$160.00   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.94	<b>BABA - Alibaba Group Holding Limited</b> <i>Strike price: \$145.00   Put</i> <i>Expires: 10/18/2019</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
11.95	<b>BABA - Alibaba Group Holding Limited</b> <i>Strike price: \$130.00   Put</i> <i>Expires: 01/17/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.96	<b>BABA - Alibaba Group Holding Limited</b> <i>Strike price: \$130.00   Put</i> <i>Expires: 03/20/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.97	<b>BAC - Bank of America Corporation</b> <i>Strike price: \$26.00   Put</i> <i>Expires: 08/16/2019</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.98	<b>BAC - Bank of America Corporation</b> <i>Strike price: \$26.00   Put</i> <i>Expires: 01/17/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.99	<b>BMJ - Bristol-Myers Squibb Company</b> <i>Strike price: \$45.00   Put</i> <i>Expires: 09/20/2019</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
11.100	<b>BMJ - Bristol-Myers Squibb Company</b> <i>Strike price: \$45.00   Put</i> <i>Expires: 12/20/2019</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.101	<b>BMJ - Bristol-Myers Squibb Company</b> Strike price: \$47.00   Put Expires: 03/20/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.102	<b>BP - BP p.l.c.</b> Strike price: \$40.00   Put Expires: 07/19/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.103	<b>BP - BP p.l.c.</b> Strike price: \$35.00   Put Expires: 06/19/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
11.104	<b>CAG - Conagra Brands, Inc.</b> Strike price: \$27.00   Put Expires: 12/20/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.105	<b>CAT - Caterpillar Inc.</b> Strike price: \$120.00   Put Expires: 04/18/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
11.106	<b>CAT - Caterpillar Inc.</b> Strike price: \$125.00   Put Expires: 08/16/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
11.107	<b>CL - Colgate-Palmolive Company</b> Strike price: \$62.50   Put Expires: 11/15/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.108	<b>CL - Colgate-Palmolive Company</b> <i>Strike price: \$65.00   Put</i> <i>Expires: 02/21/2020</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
11.109	<b>CL - Colgate-Palmolive Company</b> <i>Strike price: \$62.50   Put</i> <i>Expires: 05/15/2020</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
11.110	<b>CPRI - Capri Holdings Limited</b> <i>Strike price: \$40.00   Put</i> <i>Expires: 05/17/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.111	<b>CVS - CVS Health Corporation</b> <i>Strike price: \$60.00   Put</i> <i>Expires: 08/16/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
11.112	<b>DAL - Delta Air Lines, Inc.</b> <i>Strike price: \$45.00   Put</i> <i>Expires: 06/21/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.113	<b>DAL - Delta Air Lines, Inc.</b> <i>Strike price: \$50.00   Put</i> <i>Expires: 12/20/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.114	<b>DAL - Delta Air Lines, Inc.</b> <i>Strike price: \$50.00   Put</i> <i>Expires: 03/20/2020</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.115	<b>DFS - Discover Financial Services</b> Strike price: \$72.50   Put Expires: 10/18/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.116	<b>DFS - Discover Financial Services</b> Strike price: \$70.00   Put Expires: 01/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.117	<b>DFS - Discover Financial Services</b> Strike price: \$70.00   Put Expires: 04/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
11.118	<b>DIS - The Walt Disney Company</b> Strike price: \$115.00   Put Expires: 11/15/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.119	<b>DIS - The Walt Disney Company</b> Strike price: \$120.00   Put Expires: 03/20/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
11.120	<b>DOW - Dow Inc.</b> Strike price: \$42.50   Put Expires: 01/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
11.121	<b>DOW - Dow Inc.</b> Strike price: \$40.00   Put Expires: 03/20/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.122	<b>DOW - Dow Inc.</b> Strike price: \$30.00   Put Expires: 09/20/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.123	<b>EMR - Emerson Electric Co.</b> Strike price: \$60.00   Put Expires: 12/20/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.124	<b>EMR - Emerson Electric Co.</b> Strike price: \$62.50   Put Expires: 03/20/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
11.125	<b>ETN - Eaton Corporation plc</b> Strike price: \$72.50   Put Expires: 01/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.126	<b>GIS - General Mills, Inc.</b> Strike price: \$50.00   Put Expires: 01/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
11.127	<b>GSK - GlaxoSmithKline plc</b> Strike price: \$37.00   Put Expires: 11/15/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.128	<b>GSK - GlaxoSmithKline plc</b> Strike price: \$38.00   Put Expires: 05/15/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.129	<b>HIG - The Hartford Financial Services Group, Inc.</b> <i>Strike price: \$50.00   Put</i> <i>Expires: 09/20/2019</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
11.130	<b>HIG - The Hartford Financial Services Group, Inc.</b> <i>Strike price: \$55.00   Put</i> <i>Expires: 03/20/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
11.131	<b>INTC - Intel Corporation</b> <i>Strike price: \$52.50   Put</i> <i>Expires: 10/18/2019</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
11.132	<b>INTC - Intel Corporation</b> <i>Strike price: \$45.00   Put</i> <i>Expires: 01/17/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
11.133	<b>INTC - Intel Corporation</b> <i>Strike price: \$45.00   Put</i> <i>Expires: 06/19/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.134	<b>K - Kellogg Company</b> <i>Strike price: \$52.50   Put</i> <i>Expires: 12/20/2019</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.135	<b>K - Kellogg Company</b> <i>Strike price: \$55.00   Put</i> <i>Expires: 03/20/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.136	<b>LLY - Eli Lilly and Company</b> Strike price: \$100.00   Put Expires: 04/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.137	<b>MET - MetLife, Inc.</b> Strike price: \$42.50   Put Expires: 01/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.138	<b>MET - MetLife, Inc.</b> Strike price: \$42.50   Put Expires: 06/19/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.139	<b>NVDA - NVIDIA Corporation</b> Strike price: \$150.00   Put Expires: 03/20/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.140	<b>PG - The Procter &amp; Gamble Company</b> Strike price: \$105.00   Put Expires: 03/20/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
11.141	<b>PGR - The Progressive Corporation</b> Strike price: \$67.50   Put Expires: 11/15/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
11.142	<b>PH - Parker-Hannifin Corporation</b> Strike price: \$150.00   Put Expires: 05/17/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000



#	Asset	Asset Type	Owner	Value	Income Type	Income
11.143	<b>PH - Parker-Hannifin Corporation</b> <i>Strike price: \$150.00   Put</i> <i>Expires: 11/15/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
11.144	<b>PH - Parker-Hannifin Corporation</b> <i>Strike price: \$155.00   Put</i> <i>Expires: 05/15/2020</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.145	<b>TEX - Terex Corporation</b> <i>Strike price: \$28.00   Put</i> <i>Expires: 10/18/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.146	<b>TEX - Terex Corporation</b> <i>Strike price: \$25.00   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.147	<b>TGT - Target Corporation</b> <i>Strike price: \$67.50   Put</i> <i>Expires: 07/19/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
11.148	<b>TGT - Target Corporation</b> <i>Strike price: \$72.50   Put</i> <i>Expires: 10/18/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.149	<b>UL - The Unilever Group</b> <i>Strike price: \$52.50   Put</i> <i>Expires: 11/15/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.150	<b>UL - The Unilever Group</b> <i>Strike price: \$52.50   Put</i> <i>Expires: 05/15/2020</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
11.151	<b>UTX - Raytheon Technologies Corporation</b> <i>Strike price: \$115.00   Put</i> <i>Expires: 11/15/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.152	<b>UTX - Raytheon Technologies Corporation</b> <i>Strike price: \$120.00   Put</i> <i>Expires: 03/20/2020</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.153	<b>V - Visa Inc.</b> <i>Strike price: \$150.00   Put</i> <i>Expires: 12/20/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.154	<b>VOYA - Voya Financial, Inc.</b> <i>Strike price: \$45.00   Put</i> <i>Expires: 11/15/2019</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
11.155	<b>VOYA - Voya Financial, Inc.</b> <i>Strike price: \$48.00   Put</i> <i>Expires: 02/21/2020</i>	Corporate Securities Stock Option	Self	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
11.156	<b>AMAT - Applied Materials, Inc.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends	None (or less than \$201)
	<i>Filer comment: No longer held</i>					
11.157	<b>BMJ - Bristol-Myers Squibb Company</b>	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
11.158	<b>BP - BP p.l.c.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
11.159	<b>CAT - Caterpillar Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
11.160	<b>CL - Colgate-Palmolive Company</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
11.161	<b>AA - Alcoa Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$100,001 - \$250,000	None	None (or less than \$201)
11.162	<b>SLB - Schlumberger Limited</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
11.163	<b>CVS - CVS Health Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
11.164	<b>HIG - The Hartford Financial Services Group, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
11.165	<b>KHC - The Kraft Heinz Company</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
11.166	<b>DFS - Discover Financial Services</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
11.167	<b>STT - State Street Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
11.168	<b>TGT - Target Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Self	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
12	<b>Morgan Stanley Brokerage Account - Spouse</b>	Brokerage/Managed Account	Spouse			

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.1	<b>Morgan Stanley</b> (Alpharetta, Georgia) Type: Brokerage Sweep Account, Savings,	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$100,001 - \$1,000,000
12.2	<b>DD - DuPont de Nemours, Inc.</b>  <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends	\$201 - \$1,000
12.3	<b>GLNCY - Glencore plc</b>  <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
12.4	<b>NNVC - NanoViricides, Inc.</b>  <i>Filer comment: All shares owned since 2015; no longer held</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
12.5	<b>Atlanta Georgia Tax Allocation Ref Bond</b> Rate/Coupon: 5.0% Matures: 01/01/2020  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
12.6	<b>Columbus Georgia Building Auth Lease Revenue Bond</b> Rate/Coupon: 4.0% Matures: 01/01/2020  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
12.7	<b>Gwinnett County Georgia Development Auth COPs</b> Rate/Coupon: 5.25% Matures: 01/01/2020  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.8	<b>Municipal Elec Auth Georgia Subordinated Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2020</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.9	<b>Municipal Elec Auth Georgia Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2020</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
12.10	<b>Georgia State General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2020</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
12.11	<b>Katy Texas Independent School Dist Ref Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2020</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
12.12	<b>Weslaco Texas Ltd Tax Ref Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2020</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
12.13	<b>Bartow County Georgia Sales Tax Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2020</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
12.14	<b>Bainbridge Georgia Pub Facs Auth Revenue Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 04/01/2020</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
12.15	<b>Polk Georgia School District General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2020</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.16	<b>Georgia State Housing &amp; Fin Auth Revenue Bond</b> <i>Rate/Coupon: 2.15%</i> <i>Matures: 06/01/2020</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.17	<b>Lakewood Colorado CTFS Partnership COPs</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2020</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.18	<b>Georgia State General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2020</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
12.19	<b>Georgia State General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2020</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.20	<b>Georgia State General Obligation Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/01/2020</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
12.21	<b>Nevada Sys Higher Ed Univs Revenue Bond</b> <i>Rate/Coupon: 3.90%</i> <i>Matures: 07/01/2020</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.22	<b>Medical Center Hospital Auth Georgia Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2041</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
12.23	<b>El Paso Texas General Obligation Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 08/15/2020</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
12.24	<b>Richmond County Georgia Board of Education General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2020</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	None, Interest	None (or less than \$201)
12.25	<b>Atlanta Georgia Water &amp; Wastewater Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2020</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.26	<b>Athens Georgia Housing Auth Revenue Bond - Univ of Georgia</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2021</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.27	<b>DeKalb County Georgia General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2020</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
12.28	<b>Georgia State Housing &amp; Fin Auth Revenue Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 12/01/2020</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
12.29	<b>Macon-Bibb County Georgia General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2020</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
12.30	<b>Municipal Elec Auth Georgia Subordinated Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2021</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
12.31	<b>Forsyth County Georgia School Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2021</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
12.32	<b>Georgia State General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2021</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.33	<b>Duncanville Texas Independent School Dist Building Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 02/15/2021</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.34	<b>Georgia State Housing &amp; Fin Auth Revenue Bond</b> <i>Rate/Coupon: 2.20%</i> <i>Matures: 06/01/2021</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.35	<b>Carroll City-County Hospital Auth Georgia Revenue Bond</b> <i>Rate/Coupon: 3.25%</i> <i>Matures: 07/01/2021</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12.36	<b>Floyd County Georgia School Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2021</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.37	<b>Fulton County Georgia Development Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2021</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12.38	<b>Georgia State General Obligation Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/01/2021</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.39	<b>Georgia State General Obligation Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/01/2027</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.40	<b>Metropolitan Atlanta Rapid Transit Auth Revenue Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 07/01/2021</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.41	<b>Kentucky State Turnpike Auth Economic Development Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2021</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.42	<b>Cobb County Georgia Development Auth Student Hsg Revenue Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 07/15/2021</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
12.43	<b>Georgia State General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2021</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500



#	Asset	Asset Type	Owner	Value	Income Type	Income
12.44	<b>Georgia State Road &amp; Tollway Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2021</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12.45	<b>Sumter County School Dist General Obligation Bond</b> <i>Rate/Coupon: 5.50%</i> <i>Matures: 10/01/2021</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.46	<b>Atlanta Georgia General Obligation Public Impt Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2021</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
12.47	<b>DeKalb County Georgia General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2021</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
12.48	<b>Georgia State General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2021</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.49	<b>Macon-Bibb County Georgia General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2021</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
12.50	<b>Richmond County Georgia Development Auth Revenue Bond</b> <i>Rate/Coupon: Zero Coupon</i> <i>Matures: 12/01/2021</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	None	None (or less than \$201)
12.51	<b>Washington Georgia Wilkes Payroll Development Auth Revenue Bond</b> <i>Rate/Coupon: Zero Coupon</i> <i>Matures: 12/01/2021</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	None	None (or less than \$201)
12.52	<b>Clarke County Georgia Hospital Auth Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 01/01/2032</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.53	<b>Conyers Georgia General Obligation Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 04/01/2022</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.54	<b>Fulton County Georgia Development Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2027</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.55	<b>Fulton County Georgia Development Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2022</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
12.56	<b>Morgan County Georgia Building Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2022</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.57	<b>Gwinnett County Georgia School Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2022</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
12.58	<b>Bleckley County Georgia School Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2022</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.59	<b>Atlanta Georgia Water &amp; Wastewater Revenue Bond</b> <i>Rate/Coupon: 5.50%</i> <i>Matures: 11/01/2022</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.60	<b>Indiana Transportation Finance Auth Hwy Revenue Bond</b> <i>Rate/Coupon: 5.50%</i> <i>Matures: 12/01/2022</i>	Government Securities Municipal Security	Spouse	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
12.61	<b>Atlanta Georgia Tax Allocation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2023</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.62	<b>White County Georgia School Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2023</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
12.63	<b>Louisiana Hsg Fin Agency Revenue Bond</b> <i>Rate/Coupon: 4.05%</i> <i>Matures: 06/01/2023</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
12.64	<b>Georgia State General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2024</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
12.65	<b>DeKalb County Georgia Water &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 10/01/2036</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12.66	<b>Georgia Private Colleges and Univs Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2043</i>	Government Securities Municipal Security	Spouse	\$500,001 - \$1,000,000	None	None (or less than \$201)
12.67	<b>Athens-Clarke County Georgia Univ Government Water &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 5.625%</i> <i>Matures: 01/01/2033</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
12.68	<b>Atlanta Georgia Water &amp; Wastewater Revenue Bond</b> <i>Rate/Coupon: 6.0%</i> <i>Matures: 11/01/2026</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
12.69	<b>Atlanta Georgia Water &amp; Wastewater Revenue Bond</b> <i>Rate/Coupon: 6.0%</i> <i>Matures: 11/01/2027</i>  <i>Filer comment: No longer held</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.70	<b>Atlanta Georgia Water &amp; Wastewater Revenue Bond</b> <i>Rate/Coupon: 6.0%</i> <i>Matures: 11/01/2028</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.71	<b>Atlanta Georgia Water &amp; Wastewater Revenue Bond</b> <i>Rate/Coupon: 6.0%</i> <i>Matures: 11/01/2029</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
12.72	<b>Atlanta Georgia Water &amp; Wastewater Revenue Bond</b> <i>Rate/Coupon: 6.25%</i> <i>Matures: 11/01/2034</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
12.73	<b>Burke County Georgia Development Auth Pollution Control Revenue Bond</b> <i>Rate/Coupon: 1.35%</i> <i>Matures: 10/01/2032</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.74	<b>Colquitt County Georgia School Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
12.75	<b>DeKalb County Georgia School Dist General Obligation Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 10/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.76	<b>DeKalb County Georgia Water &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
12.77	<b>DeKalb Private Hospital Auth Revenue Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 11/15/2039</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.78	<b>Forsyth County Georgia General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2021</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
12.79	<b>Fulton County Georgia Development Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.80	<b>Fulton County Georgia Development Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2020</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.81	<b>Fulton County Georgia Development Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2029</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
12.82	<b>Fulton County Georgia Development Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2032</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.83	<b>Gainesville Georgia School Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.84	<b>Georgia State General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.85	<b>Georgia State General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 05/01/2022</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.86	<b>Georgia State Housing &amp; Fin Auth Revenue Bond</b> <i>Rate/Coupon: 0.95%</i> <i>Matures: 06/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
12.87	<b>Georgia State Housing &amp; Fin Auth Revenue Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.88	<b>Georgia State Road &amp; Tollway Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.89	<b>Gwinnett County Georgia School Dist General Obligation Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 02/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
12.90	<b>Gwinnett County Georgia Water &amp; Sewer Auth Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/01/2020</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.91	<b>Kentucky Association of Counties Finance Corp Revenue Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
12.92	<b>Georgia State Road &amp; Tollway Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2020</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
12.93	<b>Minnesota State General Obligation Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 11/01/2020</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.94	<b>Muscogee County Georgia School Dist General Obligation Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 10/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.95	<b>Oklahoma County Okla Independent School Dist General Obligation Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 10/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.96	<b>Phoenix Ariz Civic Impt Corp Water Sys Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2020</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.97	<b>Richmond County Georgia Board of Education General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
12.98	<b>Sandy Springs Georgia Public Facs Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 05/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
12.99	<b>Savannah Georgia Economic Development Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2039</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
12.100	<b>South Regional Joint Development Auth Georgia Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2019</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					



#	Asset	Asset Type	Owner	Value	Income Type	Income
12.101	<b>State Pub School Bldg Auth PA College Revenue Bond</b> Rate/Coupon: 3.0% Matures: 10/15/2019	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
	<i>Filer comment:</i> No longer held					
12.102	<b>AAPL - Apple Inc.</b> Strike price: \$135.00   Put Expires: 03/15/2019	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
	<i>Filer comment:</i> No longer held					
12.103	<b>ABBV - AbbVie Inc.</b> Strike price: \$75.00   Put Expires: 08/16/2019	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment:</i> No longer held					
12.104	<b>ABBV - AbbVie Inc.</b> Strike price: \$60.00   Put Expires: 01/17/2020	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment:</i> No longer held					
12.105	<b>ABBV - AbbVie Inc.</b> Strike price: \$65.00   Put Expires: 05/15/2020	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment:</i> No longer held					
12.106	<b>ABT - Abbott Laboratories</b> Strike price: \$75.00   Put Expires: 08/16/2019	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment:</i> No longer held					
12.107	<b>ABT - Abbott Laboratories</b> Strike price: \$80.00   Put Expires: 01/17/2020	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment:</i> No longer held					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.108	<b>ABT - Abbott Laboratories</b> <i>Strike price: \$72.50   Put</i> <i>Expires: 06/19/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.109	<b>ADM - Archer-Daniels-Midland Company</b> <i>Strike price: \$40.00   Put</i> <i>Expires: 06/21/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.110	<b>ADM - Archer-Daniels-Midland Company</b> <i>Strike price: \$40.00   Put</i> <i>Expires: 09/20/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.111	<b>ADM - Archer-Daniels-Midland Company</b> <i>Strike price: \$40.00   Put</i> <i>Expires: 12/20/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.112	<b>ADM - Archer-Daniels-Midland Company</b> <i>Strike price: \$37.00   Put</i> <i>Expires: 03/20/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.113	<b>ADM - Archer-Daniels-Midland Company</b> <i>Strike price: \$42.00   Put</i> <i>Expires: 06/19/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.114	<b>AFL - Aflac Incorporated</b> <i>Strike price: \$47.50   Put</i> <i>Expires: 11/15/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.115	<b>AFL - Aflac Incorporated</b> <i>Strike price: \$47.50   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.116	<b>AFL - Aflac Incorporated</b> <i>Strike price: \$45.00   Put</i> <i>Expires: 05/15/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.117	<b>AGN - Allergan plc</b> <i>Strike price: \$120.00   Put</i> <i>Expires: 11/15/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.118	<b>AGN - Allergan plc</b> <i>Strike price: \$135.00   Put</i> <i>Expires: 02/21/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.119	<b>AIG - American International Group, Inc.</b> <i>Strike price: \$40.00   Put</i> <i>Expires: 11/15/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.120	<b>AIG - American International Group, Inc.</b> <i>Strike price: \$52.50   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.121	<b>AIG - American International Group, Inc.</b> <i>Strike price: \$50.00   Put</i> <i>Expires: 05/15/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.122	<b>AIZ - Assurant, Inc.</b> <i>Strike price: \$85.00   Put</i> <i>Expires: 09/20/2019</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.123	<b>AIZ - Assurant, Inc.</b> <i>Strike price: \$100.00   Put</i> <i>Expires: 01/17/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.124	<b>AIZ - Assurant, Inc.</b> <i>Strike price: \$115.00   Put</i> <i>Expires: 03/20/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.125	<b>AMAT - Applied Materials, Inc.</b> <i>Strike price: \$35.00   Put</i> <i>Expires: 10/18/2019</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.126	<b>AMAT - Applied Materials, Inc.</b> <i>Strike price: \$45.00   Put</i> <i>Expires: 01/17/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.127	<b>AMBA - Ambarella, Inc.</b> <i>Strike price: \$35.00   Put</i> <i>Expires: 08/16/2019</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.128	<b>AMBA - Ambarella, Inc.</b> <i>Strike price: \$37.50   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.129	<b>AMBA - Ambarella, Inc.</b> <i>Strike price: \$40.00   Put</i> <i>Expires: 02/21/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.130	<b>AMGN - Amgen Inc.</b> <i>Strike price: \$125.00   Put</i> <i>Expires: 08/16/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.131	<b>AMGN - Amgen Inc.</b> <i>Strike price: \$175.00   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.132	<b>Anadarko Petroleum Corporation</b> <i>Strike price: \$42.50   Put</i> <i>Expires: 05/17/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.133	<b>BABA - Alibaba Group Holding Limited</b> <i>Strike price: \$150.00   Put</i> <i>Expires: 07/19/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.134	<b>BABA - Alibaba Group Holding Limited</b> <i>Strike price: \$140.00   Put</i> <i>Expires: 10/18/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.135	<b>BABA - Alibaba Group Holding Limited</b> <i>Strike price: \$140.00   Put</i> <i>Expires: 01/17/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.136	<b>BABA - Alibaba Group Holding Limited</b> <i>Strike price: \$150.00   Put</i> <i>Expires: 04/17/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.137	<b>BMJ - Bristol-Myers Squibb Company</b> <i>Strike price: \$44.00   Put</i> <i>Expires: 06/21/2019</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.138	<b>BP - BP p.l.c.</b> <i>Strike price: \$40.00   Put</i> <i>Expires: 07/19/2019</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.139	<b>BP - BP p.l.c.</b> <i>Strike price: \$43.00   Put</i> <i>Expires: 12/20/2019</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.140	<b>BP - BP p.l.c.</b> <i>Strike price: \$37.00   Put</i> <i>Expires: 01/17/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.141	<b>BP - BP p.l.c.</b> <i>Strike price: \$35.00   Put</i> <i>Expires: 06/19/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.142	<b>CAG - Conagra Brands, Inc.</b> Strike price: \$21.00   Put Expires: 06/21/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.143	<b>CAG - Conagra Brands, Inc.</b> Strike price: \$23.00   Put Expires: 01/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.144	<b>CAG - Conagra Brands, Inc.</b> Strike price: \$26.00   Put Expires: 03/20/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.145	<b>CAH - Cardinal Health, Inc.</b> Strike price: \$45.00   Put Expires: 09/20/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.146	<b>CAH - Cardinal Health, Inc.</b> Strike price: \$42.50   Put Expires: 01/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.147	<b>CAH - Cardinal Health, Inc.</b> Strike price: \$45.00   Put Expires: 03/20/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.148	<b>CAH - Cardinal Health, Inc.</b> Strike price: \$47.50   Put Expires: 06/19/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.149	<b>CAT - Caterpillar Inc.</b> Strike price: \$115.00   Put Expires: 01/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
12.150	<b>CAT - Caterpillar Inc.</b> Strike price: \$125.00   Put Expires: 06/19/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.151	<b>CL - Colgate-Palmolive Company</b> Strike price: \$62.50   Put Expires: 08/16/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.152	<b>CL - Colgate-Palmolive Company</b> Strike price: \$70.00   Put Expires: 01/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.153	<b>CL - Colgate-Palmolive Company</b> Strike price: \$67.50   Put Expires: 02/21/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.154	<b>CL - Colgate-Palmolive Company</b> Strike price: \$62.50   Put Expires: 05/15/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.155	<b>CMCSA - Comcast Corporation</b> Strike price: \$42.50   Put Expires: 04/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000



#	Asset	Asset Type	Owner	Value	Income Type	Income
12.156	<b>CR - Crane Co.</b> Strike price: \$70.00   Put Expires: 06/21/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.157	<b>CR - Crane Co.</b> Strike price: \$75.00   Put Expires: 09/20/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.158	<b>CR - Crane Co.</b> Strike price: \$75.00   Put Expires: 12/20/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.159	<b>CR - Crane Co.</b> Strike price: \$65.00   Put Expires: 03/20/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.160	<b>CR - Crane Co.</b> Strike price: \$80.00   Put Expires: 06/19/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.161	<b>CSCO - Cisco Systems, Inc.</b> Strike price: \$50.00   Put Expires: 10/18/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.162	<b>CSCO - Cisco Systems, Inc.</b> Strike price: \$45.00   Put Expires: 03/20/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.163	<b>CTSH - Cognizant Technology Solutions Corporation</b> <i>Strike price: \$67.50   Put</i> <i>Expires: 10/18/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.164	<b>CTSH - Cognizant Technology Solutions Corporation</b> <i>Strike price: \$50.00   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.165	<b>CTSH - Cognizant Technology Solutions Corporation</b> <i>Strike price: \$55.00   Put</i> <i>Expires: 04/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.166	<b>CTSH - Cognizant Technology Solutions Corporation</b> <i>Strike price: \$55.00   Put</i> <i>Expires: 07/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.167	<b>CVS - CVS Health Corporation</b> <i>Strike price: \$65.00   Put</i> <i>Expires: 08/16/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.168	<b>CVS - CVS Health Corporation</b> <i>Strike price: \$45.00   Put</i> <i>Expires: 11/15/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.169	<b>CVS - CVS Health Corporation</b> <i>Strike price: \$57.50   Put</i> <i>Expires: 02/21/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.170	<b>CVS - CVS Health Corporation</b> <i>Strike price: \$57.50   Put</i> <i>Expires: 05/15/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.171	<b>CVX - Chevron Corporation</b> <i>Strike price: \$105.00   Put</i> <i>Expires: 12/20/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.172	<b>CVX - Chevron Corporation</b> <i>Strike price: \$105.00   Put</i> <i>Expires: 03/20/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.173	<b>DAL - Delta Air Lines, Inc.</b> <i>Strike price: \$45.00   Put</i> <i>Expires: 06/21/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.174	<b>DAL - Delta Air Lines, Inc.</b> <i>Strike price: \$48.00   Put</i> <i>Expires: 09/20/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.175	<b>DAL - Delta Air Lines, Inc.</b> <i>Strike price: \$52.50   Put</i> <i>Expires: 12/20/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.176	<b>DAL - Delta Air Lines, Inc.</b> <i>Strike price: \$52.50   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.177	<b>DAL - Delta Air Lines, Inc.</b> Strike price: \$50.00   Put Expires: 03/20/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.178	<b>DAL - Delta Air Lines, Inc.</b> Strike price: \$55.00   Put Expires: 06/19/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.179	<b>DD - DuPont de Nemours, Inc.</b> Strike price: \$65.00   Put Expires: 12/20/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.180	<b>DD - DuPont de Nemours, Inc.</b> Strike price: \$62.50   Put Expires: 04/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.181	<b>Dow Dupont</b> Strike price: \$50.00   Put Expires: 09/20/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.182	<b>DFS - Discover Financial Services</b> Strike price: \$67.50   Put Expires: 07/19/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.183	<b>DFS - Discover Financial Services</b> Strike price: \$70.00   Put Expires: 10/18/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.184	<b>DFS - Discover Financial Services</b> <i>Strike price: \$85.00   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.185	<b>DFS - Discover Financial Services</b> <i>Strike price: \$72.50   Put</i> <i>Expires: 04/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.186	<b>DIS - The Walt Disney Company</b> <i>Strike price: \$105.00   Put</i> <i>Expires: 10/18/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.187	<b>DIS - The Walt Disney Company</b> <i>Strike price: \$130.00   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.188	<b>DIS - The Walt Disney Company</b> <i>Strike price: \$120.00   Put</i> <i>Expires: 04/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.189	<b>EMR - Emerson Electric Co.</b> <i>Strike price: \$62.50   Put</i> <i>Expires: 12/20/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.190	<b>EMR - Emerson Electric Co.</b> <i>Strike price: \$62.50   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.191	<b>EMR - Emerson Electric Co.</b> <i>Strike price: \$62.50   Put</i> <i>Expires: 03/20/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.192	<b>ETN - Eaton Corporation plc</b> <i>Strike price: \$70.00   Put</i> <i>Expires: 08/16/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.193	<b>ETN - Eaton Corporation plc</b> <i>Strike price: \$75.00   Put</i> <i>Expires: 10/18/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.194	<b>ETN - Eaton Corporation plc</b> <i>Strike price: \$75.00   Put</i> <i>Expires: 04/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.195	<b>GIS - General Mills, Inc.</b> <i>Strike price: \$50.00   Put</i> <i>Expires: 10/18/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.196	<b>GIS - General Mills, Inc.</b> <i>Strike price: \$50.00   Put</i> <i>Expires: 04/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.197	<b>GS - The Goldman Sachs Group, Inc.</b> <i>Strike price: \$190.00   Put</i> <i>Expires: 10/18/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.198	<b>GS - The Goldman Sachs Group, Inc.</b> <i>Strike price: \$185.00   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
12.199	<b>HIG - The Hartford Financial Services Group, Inc.</b> <i>Strike price: \$45.00   Put</i> <i>Expires: 09/20/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.200	<b>HIG - The Hartford Financial Services Group, Inc.</b> <i>Strike price: \$55.00   Put</i> <i>Expires: 12/20/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.201	<b>HON - Honeywell International Inc.</b> <i>Strike price: \$155.00   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.202	<b>HUN - Huntsman Corporation</b> <i>Strike price: \$20.00   Put</i> <i>Expires: 02/15/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
12.203	<b>HUN - Huntsman Corporation</b> <i>Strike price: \$20.00   Put</i> <i>Expires: 08/16/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.204	<b>HUN - Huntsman Corporation</b> <i>Strike price: \$20.00   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.205	<b>JPM - JPMorgan Chase &amp; Co.</b> Strike price: \$95.00   Put Expires: 09/20/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.206	<b>K - Kellogg Company</b> Strike price: \$55.00   Put Expires: 12/20/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.207	<b>K - Kellogg Company</b> Strike price: \$57.50   Put Expires: 03/20/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.208	<b>KNX - Knight-Swift Transportation Holdings Inc.</b> Strike price: \$30.00   Put Expires: 08/16/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.209	<b>KO - The Coca-Cola Company</b> Strike price: \$50.00   Put Expires: 05/15/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.210	<b>LLY - Eli Lilly and Company</b> Strike price: \$100.00   Put Expires: 11/15/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.211	<b>LLY - Eli Lilly and Company</b> Strike price: \$100.00   Put Expires: 04/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000



#	Asset	Asset Type	Owner	Value	Income Type	Income
12.212	<b>LLY - Eli Lilly and Company</b> <i>Strike price: \$100.00   Put</i> <i>Expires: 07/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.213	<b>MSFT - Microsoft Corporation</b> <i>Strike price: \$105.00   Put</i> <i>Expires: 09/20/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.214	<b>MSFT - Microsoft Corporation</b> <i>Strike price: \$120.00   Put</i> <i>Expires: 03/20/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.215	<b>PEP - PepsiCo, Inc.</b> <i>Strike price: \$115.00   Put</i> <i>Expires: 10/18/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.216	<b>PEP - PepsiCo, Inc.</b> <i>Strike price: \$120.00   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.217	<b>PG - The Procter &amp; Gamble Company</b> <i>Strike price: \$97.50   Put</i> <i>Expires: 10/18/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.218	<b>PG - The Procter &amp; Gamble Company</b> <i>Strike price: \$105.00   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.219	<b>PG - The Procter &amp; Gamble Company</b> <i>Strike price: \$110.00   Put</i> <i>Expires: 06/19/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.220	<b>PGR - The Progressive Corporation</b> <i>Strike price: \$70.00   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.221	<b>PGR - The Progressive Corporation</b> <i>Strike price: \$65.00   Put</i> <i>Expires: 08/21/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.222	<b>PRU - Prudential Financial, Inc.</b> <i>Strike price: \$92.50   Put</i> <i>Expires: 09/20/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.223	<b>PRU - Prudential Financial, Inc.</b> <i>Strike price: \$75.00   Put</i> <i>Expires: 12/20/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.224	<b>PRU - Prudential Financial, Inc.</b> <i>Strike price: \$70.00   Put</i> <i>Expires: 03/20/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.225	<b>QCOM - QUALCOMM Incorporated</b> <i>Strike price: \$75.00   Put</i> <i>Expires: 11/15/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.226	<b>STT - State Street Corporation</b> Strike price: \$55.00   Put Expires: 02/21/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.227	<b>TGT - Target Corporation</b> Strike price: \$62.50   Put Expires: 07/19/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.228	<b>TGT - Target Corporation</b> Strike price: \$72.50   Put Expires: 10/18/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.229	<b>TJX - The TJX Companies, Inc.</b> Strike price: \$45.00   Put Expires: 04/18/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.230	<b>TJX - The TJX Companies, Inc.</b> Strike price: \$45.00   Put Expires: 07/19/2019  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.231	<b>TJX - The TJX Companies, Inc.</b> Strike price: \$47.50   Put Expires: 01/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.232	<b>TJX - The TJX Companies, Inc.</b> Strike price: \$55.00   Put Expires: 07/17/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.233	<b>TRV - The Travelers Companies, Inc.</b> <i>Strike price: \$115.00   Put</i> <i>Expires: 07/19/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.234	<b>TRV - The Travelers Companies, Inc.</b> <i>Strike price: \$125.00   Put</i> <i>Expires: 04/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.235	<b>TRV - The Travelers Companies, Inc.</b> <i>Strike price: \$125.00   Put</i> <i>Expires: 01/17/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.236	<b>UL - The Unilever Group</b> <i>Strike price: \$52.50   Put</i> <i>Expires: 05/17/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.237	<b>UL - The Unilever Group</b> <i>Strike price: \$55.00   Put</i> <i>Expires: 11/15/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.238	<b>UL - The Unilever Group</b> <i>Strike price: \$57.50   Put</i> <i>Expires: 02/21/2020</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.239	<b>UTX - Raytheon Technologies Corporation</b> <i>Strike price: \$115.00   Put</i> <i>Expires: 11/15/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.240	<b>UTX - Raytheon Technologies Corporation</b> <i>Strike price: \$115.00   Put</i> <i>Expires: 02/21/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.241	<b>UTX - Raytheon Technologies Corporation</b> <i>Strike price: \$125.00   Put</i> <i>Expires: 06/19/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.242	<b>VMC - Vulcan Materials Company</b> <i>Strike price: \$110.00   Put</i> <i>Expires: 11/15/2019</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.243	<b>VMC - Vulcan Materials Company</b> <i>Strike price: \$120.00   Put</i> <i>Expires: 02/21/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.244	<b>VMC - Vulcan Materials Company</b> <i>Strike price: \$120.00   Put</i> <i>Expires: 05/15/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.245	<b>VOYA - Voya Financial, Inc.</b> <i>Strike price: \$40.00   Put</i> <i>Expires: 05/17/2019</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.246	<b>WDC - Western Digital Corporation</b> <i>Strike price: \$42.50   Put</i> <i>Expires: 03/20/2020</i>  <i>Filer comment: No longer held</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.247	<b>WMT - Walmart Inc.</b> <i>Strike price: \$90.00   Put Expires: 12/20/2019</i>	Corporate Securities Stock Option	Spouse	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.248	<b>ABBV - AbbVie Inc.</b>	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.249	<b>AGN - Allergan plc</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
12.250	<b>AIG - American International Group, Inc.</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
12.251	<b>MO - Altria Group, Inc.</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.252	<b>Anadarko Petroleum Corporation</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
	<i>Filer comment: No longer held</i>					
12.253	<b>BMJ - Bristol-Myers Squibb Company</b>	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
	<i>Filer comment: No longer held</i>					
12.254	<b>BP - BP p.l.c.</b>	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					
12.255	<b>CSCO - Cisco Systems, Inc.</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
	<i>Filer comment: No longer held</i>					
12.256	<b>CTSH - Cognizant Technology Solutions Corporation</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
	<i>Filer comment: No longer held</i>					
12.257	<b>CL - Colgate-Palmolive Company</b>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.258	<b>CTVA - Corveva, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends	None (or less than \$201)
12.259	<b>CVS - CVS Health Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
12.260	<b>DAL - Delta Air Lines, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
12.261	<b>DFS - Discover Financial Services</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
12.262	<b>DOW - Dow Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	None	None (or less than \$201)
12.263	<b>XOM - Exxon Mobil Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
12.264	<b>GSK - GlaxoSmithKline plc</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
12.265	<b>GS - The Goldman Sachs Group, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
12.266	<b>HIG - The Hartford Financial Services Group, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
12.267	<b>K - Kellogg Company</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
12.268	<b>KHC - The Kraft Heinz Company</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
12.269	<b>PRU - Prudential Financial, Inc.</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
12.270	<b>SLB - Schlumberger Limited</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
12.271	<b>STT - State Street Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
12.272	<b>UTX - Raytheon Technologies Corporation</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
12.273	<b>VMC - Vulcan Materials Company</b> <i>Filer comment: No longer held</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
13	<b>Morgan Stanley Brokerage Account - Self - ICE</b>	Brokerage/Managed Account	Self			
13.1	<b>Morgan Stanley</b> (Alpharetta, Georgia) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest	None (or less than \$201)
13.2	<b>ICE - Intercontinental Exchange, Inc.</b>	Corporate Securities Stock	Self	\$5,000,001 - \$25,000,000	Dividends, Capital Gains	\$1,000,001 - \$5,000,000
14	<b>Morgan Stanley Brokerage Account - Spouse - ICE</b>	Brokerage/Managed Account	Spouse			
14.1	<b>Morgan Stanley</b> (Alpharetta, Georgia) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
14.2	<b>Morgan Stanley</b> (Alpharetta, Georgia) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$100,001 - \$250,000	Interest	\$201 - \$1,000
14.3	<b>ICE - Intercontinental Exchange, Inc.</b>	Corporate Securities Stock	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains	Over \$1,000,000 and held independently by spouse or dependent child



#	Asset	Asset Type	Owner	Value	Income Type	Income
15	<b>Continental Power Exchange, Inc.</b> <i>Description:</i> Holding company (predecessor to Intercontinental Exchange Holdings, Inc.) (Atlanta, Georgia)	Other Securities	Spouse			
15.1	<b>Bank of America NA</b> (Atlanta, Georgia) <i>Type:</i> Checking,	Bank Deposit	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
15.2	<b>ICE - Intercontinental Exchange, Inc.</b>	Corporate Securities Stock	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains	Over \$1,000,000 and held independently by spouse or dependent child
16	<b>Morgan Stanley - 529 Plan 1</b> <i>Institution:</i> Morgan Stanley	Education Savings Plans 529 College Savings Plan	Self			
16.1	<b>SCBGX - Scholars Choice College Savings Program</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None	None (or less than \$201)
17	<b>Morgan Stanley - 529 Plan 2</b> <i>Institution:</i> Morgan Stanley	Education Savings Plans 529 College Savings Plan	Self			
17.1	<b>SCBGX - Scholars Choice College Savings Program</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None	None (or less than \$201)
18	<b>Morgan Stanley - 529 Plan 3</b> <i>Institution:</i> Morgan Stanley	Education Savings Plans 529 College Savings Plan	Self			
18.1	<b>SCAZX - Scholars Choice College Savings Program</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None	None (or less than \$201)
19	<b>Morgan Stanley - 529 Plan 4</b> <i>Institution:</i> Morgan Stanley	Education Savings Plans 529 College Savings Plan	Self			

#	Asset	Asset Type	Owner	Value	Income Type	Income
19.1	<b>SCAZX - Scholars Choice College Savings Program</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None	None (or less than \$201)
20	<b>Morgan Stanley - 529 Plan 5</b> <i>Institution: Morgan Stanley</i>	Education Savings Plans 529 College Savings Plan	Self			
20.1	<b>SCHEX - Scholars Choice College Savings Program</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None	None (or less than \$201)
21	<b>Morgan Stanley - 529 Plan 6</b> <i>Institution: Morgan Stanley</i>	Education Savings Plans 529 College Savings Plan	Self			
21.1	<b>SCANX - Scholars Choice College Savings Program</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None	None (or less than \$201)
22	<b>Morgan Stanley - 529 Plan 7</b> <i>Institution: Morgan Stanley</i>	Education Savings Plans 529 College Savings Plan	Self			
22.1	<b>SCANX - Scholars Choice College Savings Program</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None	None (or less than \$201)
23	<b>Morgan Stanley - 529 Plan 8</b> <i>Institution: Morgan Stanley</i>	Education Savings Plans 529 College Savings Plan	Spouse			
23.1	<b>SCAZX - Scholars Choice College Savings Program</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	None	None (or less than \$201)
24	<b>Morgan Stanley - 529 Plan 9</b> <i>Institution: Morgan Stanley</i>	Education Savings Plans 529 College Savings Plan	Spouse			
24.1	<b>SCAQX - Scholars Choice College Savings Program</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
25	<b>Morgan Stanley - 529 Plan 10</b> <i>Institution: Morgan Stanley</i>	Education Savings Plans 529 College Savings Plan	Spouse			

#	Asset	Asset Type	Owner	Value	Income Type	Income
25.1	<b>SCDZX - Scholars Choice College Savings Program Cash Rese</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
25.2	<b>SCERX - Scholars Choice College Savings Program</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
25.3	<b>SCENX - Scholars Choice College Savings Program</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
26	<b>Morgan Stanley Equity ETF Fund</b> <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$15,001 - \$50,000
27	<b>Morgan Stanley Large Cap Equity Fund</b> <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Self	\$5,000,001 - \$25,000,000	Excepted Investment Fund	\$100,001 - \$1,000,000
28	<b>Lazard International Equity Select Fund</b> <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund	\$2,501 - \$5,000
29	<b>Morgan Stanley Mid/Small Cap Equity Fund</b> <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$15,001 - \$50,000
30	<b>Morgan Stanley Large Cap Equity Fund</b> <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	Over \$1,000,000 and held independently by spouse or dependent child
31	<b>Lazard International Equity Select Fund</b> <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$15,001 - \$50,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
32	<b>Goldman Sachs Strategic Growth Fund</b>	Mutual Funds Mutual Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund	\$5,001 - \$15,000
	<i>Filer comment:</i> No longer held					
33	<b>Kayne Anderson Small Cap Quality Value Fund</b>	Mutual Funds Mutual Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$50,001 - \$100,000
	<i>Filer comment:</i> No longer held					
34	<b>Morgan Stanley Mid/Small Cap Equity Fund</b>	Mutual Funds Mutual Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
	<i>Filer comment:</i> No longer held					
35	<b>Morgan Stanley Equity ETF Fund</b>	Mutual Funds Mutual Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
	<i>Filer comment:</i> No longer held					
36	<b>Morgan Stanley IRA</b>	Retirement Plans IRA	Self			
36.1	<b>Morgan Stanley</b> (Alpharetta, Georgia) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Self	\$1,001 - \$15,000	None	None (or less than \$201)
36.2	<b>XLY - Consumer Discretionary Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
36.3	<b>XLP - Consumer Staples Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
36.4	<b>FEX - First Trust Large Cap Core AlphaDEX Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
36.5	<b><u>XLV</u> - Health Care Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
36.6	<b><u>XLI</u> - Industrial Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
36.7	<b><u>IJS</u> - iShares S&amp;P Small-Cap 600 Value ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
36.8	<b><u>DVY</u> - iShares Select Dividend ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
36.9	<b><u>IJT</u> - iShares S&amp;P Small-Cap 600 Growth ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
36.10	<b><u>IYW</u> - iShares U.S. Technology ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
36.11	<b><u>IYZ</u> - iShares U.S. Telecommunications ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
36.12	<b><u>XLB</u> - Materials Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
36.13	<b><u>MDY</u> - SPDR S&amp;P MIDCAP 400 ETF Trust</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
37	<b>Morgan Stanley IRA - Spouse</b>	Retirement Plans IRA	Spouse			
37.1	<b>Morgan Stanley</b> (Alpharetta, Georgia) Type: Brokerage Sweep Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
37.2	<b><u>XLV</u> - Consumer Discretionary Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
37.3	<b><u>XLP</u> - Consumer Staples Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
37.4	<b><u>FXL</u> - First Trust Technology AlphaDEX Fund</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
37.5	<b><u>XLV</u> - Health Care Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
37.6	<b><u>XLI</u> - Industrial Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
37.7	<b><u>IWF</u> - iShares Russell 1000 Growth ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
37.8	<b><u>IJS</u> - iShares S&amp;P Small-Cap 600 Value ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
37.9	<b><u>IJT</u> - iShares S&amp;P Small-Cap 600 Growth ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
37.10	<b><u>IYW</u> - iShares U.S. Technology ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
37.11	<b><u>XLB</u> - Materials Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
37.12	<b><u>SPY</u> - SPDR S&amp;P 500 ETF Trust</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
37.13	<b><u>MDY</u> - SPDR S&amp;P MIDCAP 400 ETF Trust</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
37.14	<b><u>XES</u> - SPDR S&amp;P Oil &amp; Gas Equipment &amp; Services ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	None	None (or less than \$201)
	<i>Filer comment: No longer held</i>					
37.15	<b><u>XLU</u> - Utilities Select Sector SPDR Fund</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	None	None (or less than \$201)
	<i>Filer comment: No longer held</i>					

#	Asset	Asset Type	Owner	Value	Income Type	Income
38	<b>Descante Capital LLC</b> <i>Company:</i> Descante Capital LLC (Atlanta, Georgia) <i>Description:</i> Family office <i>Filer comment:</i> Administrative and organizational services	Business Entity Limited Liability Company (LLC)	Joint			
38.1	<b>Bumped, Inc.</b> <i>Company:</i> Bumped, Inc. (Portland, Oregon) <i>Description:</i> Consumer rewards company <i>Filer comment:</i> No longer held	Corporate Securities Non-Public Stock	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
38.2	<b>Cheddar Inc.</b> <i>Company:</i> Cheddar Inc. (New York, New York) <i>Description:</i> Streaming content <i>Filer comment:</i> No longer held	Corporate Securities Non-Public Stock	Joint	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
38.3	<b>4612-SG Holdco, LP</b> <i>Company:</i> 4612-SG Holdco, LP (Atlanta, Georgia) <i>Description:</i> Holding company <i>Filer comment:</i> No longer held	Business Entity Limited Partnership (LP)	Joint			
38.3.3	<b>SG Credit Partners LLC</b> <i>Company:</i> SG Credit Partners LLC (Stamford, Connecticut) <i>Description:</i> Commercial credit <i>Filer comment:</i> Holding company no longer held	Business Entity Limited Liability Company (LLC)	Joint	\$1,000,001 - \$5,000,000	Dividends, Interest	\$50,001 - \$100,000
38.4	<b>Tugboat Logic Inc.</b> <i>Company:</i> Tugboat Logic Inc. (Burlingame, California) <i>Description:</i> Information technology security <i>Filer comment:</i> No longer held	Corporate Securities Non-Public Stock	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
38.5	<b>Sweetwater Opportunity Fund, LP</b> <i>Description:</i> Real estate investment fund (Atlanta, Georgia)	Investment Fund Private Equity Fund	Joint	\$15,001 - \$50,000	None	None (or less than \$201)
38.6	<b>Technology Operators Fund III, LP</b> <i>Description:</i> Private equity fund (Atlanta, Georgia)	Investment Fund Private Equity Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$50,001 - \$100,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
38.7	<p><b>Valor Ventures Fund 2 LP</b>  <i>Description:</i> Private equity fund investing in software firms with founders or leadership from underrepresented groups (Atlanta, Georgia)  <i>Filer comment:</i> No longer held   <i>Private comment for Ethics:</i> Alternative disclosure requested. "No underlying assets" indicated to show valuations and income at fund level.</p>	Investment Fund Private Equity Fund	Joint	\$15,001 - \$50,000	None	None (or less than \$201)
38.8	<p><b>BMCC Investment Group VIII LLC</b>  <i>Company:</i> BMCC Investment Group VIII LLC (Atlanta, Georgia)  <i>Description:</i> Real estate development</p>	Business Entity Limited Liability Company (LLC)	Joint			
38.8.4	<p><b>Multifamily Property 14</b>  <i>Description:</i> Multifamily Property 14 (Louisville, Kentucky)</p>	Real Estate Residential	Joint	\$5,000,001 - \$25,000,000	None	None (or less than \$201)
38.8.5	<p><b>Multifamily Property 15</b>  <i>Description:</i> Multifamily Property 15 (San Antonio, Texas)</p>	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	None	None (or less than \$201)
38.8.6	<p><b>Multifamily Property 16</b>  <i>Description:</i> Multifamily Property 16 (Jacksonville, Florida)</p>	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	None	None (or less than \$201)
39	<p><b>Rental Property</b>  <i>Company:</i> 407 Beach Club Ocean LLC (Atlanta, Georgia)  <i>Description:</i> Holding company</p>	Business Entity Limited Liability Company (LLC)	Joint			
39.1	<p><b>Rental Property</b>  <i>Description:</i> Rental Property (Sea Island, Georgia)</p>	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	Rent/Royalties	\$100,001 - \$1,000,000
40	<p><b>BMCC Investment Group VI LLC</b>  <i>Company:</i> BMCC Investment Group VI LLC (Atlanta, Georgia)  <i>Description:</i> Real estate development</p>	Business Entity Limited Liability Company (LLC)	Spouse			
40.1	<p><b>Multifamily Property 1</b>  <i>Description:</i> Multifamily Property 1 (Charleston, South Carolina)  <i>Filer comment:</i> No longer held</p>	Real Estate Residential	Spouse	None (or less than \$1,001)	Rent/Royalties, Capital Gains	\$100,001 - \$1,000,000



#	Asset	Asset Type	Owner	Value	Income Type	Income
40.2	<b>Multifamily Property 2</b> <i>Description:</i> Multifamily Property 2 (Auburn, Alabama) <i>Filer comment:</i> No longer held	Real Estate Residential	Spouse	None (or less than \$1,001)	Rent/Royalties, Capital Gains	\$100,001 - \$1,000,000
40.3	<b>Multifamily Property 3</b> <i>Description:</i> Multifamily Property 3 (Round Rock, Texas) <i>Filer comment:</i> No longer held	Real Estate Residential	Spouse	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
40.4	<b>Multifamily Property 4</b> <i>Description:</i> Multifamily Property 4 (Asheville, North Carolina) <i>Filer comment:</i> No longer held	Real Estate Residential	Spouse	None (or less than \$1,001)	Rent/Royalties, Capital Gains	\$100,001 - \$1,000,000
40.5	<b>Multifamily Property 5</b> <i>Description:</i> Multifamily Property 5 (Augusta, Georgia) <i>Filer comment:</i> No longer held	Real Estate Residential	Spouse	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
40.6	<b>Multifamily Property 6</b> <i>Description:</i> Multifamily Property 6 (Cumming, Georgia)	Real Estate Residential	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
41	<b>BMCC Investment Group VII LLC</b> <i>Company:</i> BMCC Investment Group VII LLC (Atlanta, Georgia) <i>Description:</i> Real estate development	Business Entity Limited Liability Company (LLC)	Spouse			
41.1	<b>Multifamily Property 7</b> <i>Description:</i> Multifamily Property 7 (Richmond, Virginia)	Real Estate Residential	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
41.2	<b>Multifamily Property 8</b> <i>Description:</i> Multifamily Property 8 (Augusta, South Carolina) <i>Filer comment:</i> No longer held	Real Estate Residential	Spouse	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
41.3	<b>Multifamily Property 9</b> <i>Description:</i> Multifamily Property 9 (Stockbridge, Georgia) <i>Filer comment:</i> No longer held	Real Estate Residential	Spouse	None (or less than \$1,001)	Rent/Royalties, Capital Gains	\$100,001 - \$1,000,000
41.4	<b>Multifamily Property 10</b> <i>Description:</i> Multifamily Property 10 (Stockbridge, Georgia) <i>Filer comment:</i> No longer held	Real Estate Residential	Spouse	None (or less than \$1,001)	Rent/Royalties, Capital Gains	\$100,001 - \$1,000,000
41.5	<b>Multifamily Property 11</b> <i>Description:</i> Multifamily Property 11 (Gainesville, Georgia)	Real Estate Residential	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
41.6	<b>Multifamily Property 12</b> <i>Description:</i> Multifamily Property 12 (Greenville, South Carolina)	Real Estate Residential	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
41.7	<b>Multifamily Property 13</b> <i>Description:</i> Multifamily Property 13 (Fort Myers, Florida)	Real Estate Residential	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
42	<b>BMCC Investment Group IV LLC</b> <i>Company:</i> BMCC Investment Group IV LLC (Atlanta, Georgia) <i>Description:</i> Real estate development  <i>Private comment for Ethics:</i> No real property held	Business Entity Limited Liability Company (LLC)	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
43	<b>BMCC Investment Group LLC</b> <i>Company:</i> BMCC Investment Group LLC (Atlanta, Georgia) <i>Description:</i> Real estate development	Business Entity Limited Liability Company (LLC)	Spouse			

#	Asset	Asset Type	Owner	Value	Income Type	Income
43.1	<b>Unimproved land</b> <i>Description:</i> Unimproved land (Fairburn, Georgia)	Real Estate Unimproved Land	Spouse	\$50,001 - \$100,000	None	None (or less than \$201)
44	<b>Mesa Hammonds Ferry Apt Investors, LLC</b> <i>Company:</i> Mesa Hammonds Ferry Apt Investors, LLC (Atlanta, Georgia) <i>Description:</i> Real estate development	Business Entity Limited Liability Company (LLC)	Spouse			
44.1	<b>Multifamily Property 8</b> <i>Description:</i> Multifamily Property 8 (Augusta, South Carolina) <i>Filer comment:</i> No longer held	Real Estate Residential	Spouse	None (or less than \$1,001)	Rent/Royalties, Capital Gains	\$50,001 - \$100,000
45	<b>Illinois Farmland</b> <i>Description:</i> Illinois Farm (Stanford, Illinois)	Farm	Self	\$500,001 - \$1,000,000	Rent/Royalties	\$5,001 - \$15,000
46	<b>Illinois Farmland 4</b> <i>Description:</i> Illinois Farmland (Stanford, Illinois)	Farm	Self	\$250,001 - \$500,000	Rent/Royalties	\$5,001 - \$15,000
47	<b>Farmland holding company</b> <i>Company:</i> Descante III LLC (Atlanta, Georgia) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Joint			
47.1	<b>Illinois Farmland 2</b> <i>Description:</i> Illinois Farmland (Stanford, Illinois)	Farm	Joint	\$500,001 - \$1,000,000	Rent/Royalties	\$15,001 - \$50,000
47.2	<b>Illinois Farmland 3</b> <i>Description:</i> Illinois Farmland (Stanford, Illinois)	Farm	Joint	\$500,001 - \$1,000,000	Rent/Royalties	\$15,001 - \$50,000
48	<b>Fulton County Hangar Services LLC</b> <i>Company:</i> Fulton County Hangar Services LLC (Atlanta, Georgia) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Spouse			
48.1	<b>Jet Hangar</b> <i>Description:</i> Jet Hangar (Atlanta, Georgia)	Real Estate Commercial	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Rent/Royalties	\$100,001 - \$1,000,000

#	Asset	Asset Type	Owner	Value	Income Type	Income
49	<b>Rhombus Energy Solutions, Inc.</b> <i>Company:</i> Rhombus Energy Solutions, Inc. (San Diego, California) <i>Description:</i> Power conversion & energy management <i>Filer comment:</i> No longer held	Corporate Securities Non-Public Stock	Spouse	\$250,001 - \$500,000	None	None (or less than \$201)
50	<b>Solamere Capital Fund II, LP</b> <i>Description:</i> Private equity fund (Boston, Massachusetts) <i>Filer comment:</i> No longer held	Investment Fund Private Equity Fund	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Excepted Investment Fund	\$100,001 - \$1,000,000
51	<b>Solamere Capital Fund III, LP</b> <i>Description:</i> Private equity fund (Boston, Massachusetts) <i>Filer comment:</i> No longer held	Investment Fund Private Equity Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund	\$100,001 - \$1,000,000
52	<b>TA Strategic Partners Fund II LP</b> <i>Description:</i> Private equity fund (Boston, Massachusetts) <i>Filer comment:</i> No longer held	Investment Fund Private Equity Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
53	<b>Technology Operators Fund II, LP</b> <i>Description:</i> Private equity fund (Atlanta, Georgia)	Investment Fund Private Equity Fund	Self	\$250,001 - \$500,000	Excepted Investment Fund	\$201 - \$1,000
54	<b>Technology Operators Fund II, LP</b> <i>Description:</i> Private equity fund (Atlanta, Georgia)	Investment Fund Private Equity Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund	\$201 - \$1,000
55	<b>Valor Ventures V1 LP</b> <i>Description:</i> Private equity fund investing in software firms with founders or leadership from underrepresented groups (Atlanta, Georgia) <i>Filer comment:</i> No longer held <b>Private comment for Ethics:</b> Alternative disclosure requested. "No underlying assets" selected to indicate valuation and income at fund level.	Investment Fund Private Equity Fund	Self	\$100,001 - \$250,000	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
56	<b>GRA Venture Fund, LLC</b> <i>Description:</i> Public-private venture capital fund investing in research-driven, Georgia-based startup companies (Atlanta, Georgia) <b>Private comment for Ethics:</b> Alternative disclosure requested. "No underlying assets" selected to indicate valuation and income at fund level.	Investment Fund Private Equity Fund	Spouse	\$50,001 - \$100,000	Dividends, Interest	\$1,001 - \$2,500
57	<b>E-Trade - Self</b>	Brokerage/Managed Account	Self			
57.1	<b>ICE - Intercontinental Exchange, Inc.</b>	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
57.2	<b>ICE - Intercontinental Exchange, Inc.</b> <i>Strike price:</i> \$25.87   Neither <i>Expires:</i> 02/18/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	\$1,000,001 - \$5,000,000	None	None (or less than \$201)
57.3	<b>ICE - Intercontinental Exchange, Inc.</b> <i>Strike price:</i> \$41.37   Neither <i>Expires:</i> 02/18/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	\$1,000,001 - \$5,000,000	None	None (or less than \$201)
57.4	<b>ICE - Intercontinental Exchange, Inc.</b> <i>Strike price:</i> \$41.59   Neither <i>Expires:</i> 02/18/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	\$1,000,001 - \$5,000,000	None	None (or less than \$201)
57.5	<b>ICE - Intercontinental Exchange, Inc.</b> <i>Strike price:</i> \$50.01   Neither <i>Expires:</i> 02/18/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	\$500,001 - \$1,000,000	None	None (or less than \$201)
57.6	<b>ICE - Intercontinental Exchange, Inc.</b> <i>Strike price:</i> \$57.31   Neither <i>Expires:</i> 02/18/2020  <i>Filer comment:</i> No longer held	Corporate Securities Stock Option	Self	\$500,001 - \$1,000,000	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
57.7	<b>ICE - Intercontinental Exchange, Inc.</b> <i>Strike price: \$77.58   Neither</i> <i>Expires: 02/18/2020</i>	Corporate Securities Stock Option	Self	\$100,001 - \$250,000	None	None (or less than \$201)
	<i>Filer comment: No longer held</i>					
58	<b>E-Trade - Spouse</b>	Brokerage/Managed Account	Spouse			
58.1	<b>ICE - Intercontinental Exchange, Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
58.2	<b>ICE - Intercontinental Exchange, Inc.</b> <i>Strike price: \$22.50   Neither</i> <i>Expires: 01/11/2021</i>	Corporate Securities Stock Option	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Capital Gains	Over \$1,000,000 and held independently by spouse or dependent child
58.3	<b>ICE - Intercontinental Exchange, Inc.</b> <i>Strike price: \$22.43   Neither</i> <i>Expires: 01/17/2022</i>	Corporate Securities Stock Option	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
58.4	<b>ICE - Intercontinental Exchange, Inc.</b> <i>Strike price: \$25.87   Neither</i> <i>Expires: 01/11/2023</i>	Corporate Securities Stock Option	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
58.5	<b>ICE - Intercontinental Exchange, Inc.</b> <i>Strike price: \$41.37   Neither</i> <i>Expires: 01/17/2024</i>	Corporate Securities Stock Option	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
58.6	<b>ICE - Intercontinental Exchange, Inc.</b> <i>Strike price: \$41.59   Neither</i> <i>Expires: 01/20/2025</i>	Corporate Securities Stock Option	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
58.7	<b>ICE - Intercontinental Exchange, Inc.</b> <i>Strike price: \$50.01   Neither</i> <i>Expires: 01/14/2026</i>	Corporate Securities Stock Option	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
58.8	<b>ICE - Intercontinental Exchange, Inc.</b> <i>Strike price: \$57.31   Neither</i> <i>Expires: 01/18/2027</i>	Corporate Securities Stock Option	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
58.9	<b>ICE - Intercontinental Exchange, Inc.</b> <i>Strike price: \$67.00   Neither</i> <i>Expires: 02/08/2028</i>	Corporate Securities Stock Option	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None	None (or less than \$201)
59	<b>MW23 Holdings LLC</b> <i>Company: MW23 Holdings LLC (Atlanta, Georgia)</i> <i>Description: Holding company</i>	Business Entity Limited Liability Company (LLC)	Joint			
59.1	<b>Airplane</b> <i>Description: Airplane</i>	Personal Property Other	Joint	\$5,000,001 - \$25,000,000	None	None (or less than \$201)
60	<b>Dream 23 LLC</b> <i>Company: Dream 23 LLC (Atlanta, Georgia)</i> <i>Description: Holding company</i>	Business Entity Limited Liability Company (LLC)	Self			

#	Asset	Asset Type	Owner	Value	Income Type	Income
60.1	<b>Dream Too LLC</b> <i>Company:</i> Dream Too LLC (Atlanta, Georgia) <i>Description:</i> WNBA Atlanta Dream	Business Entity Limited Liability Company (LLC)	Self	\$1,000,001 - \$5,000,000	None	None (or less than \$201)
61	<b>Kevin Gillespie, LLC</b> <i>Company:</i> Kevin Gillespie, LLC (Atlanta, Georgia)  <i>Filer comment:</i> No longer held	Accounts Receivable From a Business	Spouse	\$250,001 - \$500,000	None	None (or less than \$201)
62	<b>Bank of America NA</b> (Atlanta, Georgia) <i>Type:</i> Checking,	Bank Deposit	Joint	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000
63	<b>Bank of America NA</b> (Atlanta, Georgia) <i>Type:</i> Checking,	Bank Deposit	Spouse	\$50,001 - \$100,000	None	None (or less than \$201)
64	<b>Bank of America NA</b> (Atlanta, Georgia) <i>Type:</i> Checking, Savings,	Bank Deposit	Self	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
65	<b>Wells Fargo</b> (Atlanta, Georgia) <i>Type:</i> Checking, Savings,	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$5,001 - \$15,000
66	<b>Intercontinental Exchange, Inc. 401k Plan</b>	Retirement Plans IRA	Self			
66.1	<b>VSTSX - Vanguard Total Stock Market Index Fund Institution</b>	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	None	None (or less than \$201)
66.2	<b>VTSNX - Vanguard Total International Stock Index Fund Inst</b>  <i>Filer comment:</i> No longer held	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None	None (or less than \$201)
66.3	<b>DODGX - Dodge &amp; Cox Stock Fund</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None	None (or less than \$201)
66.4	<b>Fidelity Contrafund Commingled Pool</b>	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	None	None (or less than \$201)



#	Asset	Asset Type	Owner	Value	Income Type	Income
66.5	<b>Champlain Mid Cap Core</b>	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	None	None (or less than \$201)
66.6	<b>Champlain Mid Cap CIT Class 1</b> <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None	None (or less than \$201)
66.7	<b>Artisan International Growth Trust</b>	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	None	None (or less than \$201)
66.8	<b><u>APHIX</u> - Artisan International Fund Institutional Class</b> <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None	None (or less than \$201)
66.9	<b>Aristotle Small Cap Equity Collective Trust - Class B</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
66.10	<b><u>VITPX</u> - Vanguard Institutional Total Stock Market Index Fu</b> <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None	None (or less than \$201)
66.11	<b>PNC Multiple Factor Small Cap Core Fund Class I</b> <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None	None (or less than \$201)
66.12	<b>Vanguard Institutional Total International Stock Market Index Trust</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None	None (or less than \$201)
67	<b>Intercontinental Exchange, Inc. 401k Plan - Spouse</b>	Retirement Plans IRA	Spouse			
67.1	<b><u>VSTSX</u> - Vanguard Total Stock Market Index Fund Institution</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	None	None (or less than \$201)
67.2	<b><u>DODGX</u> - Dodge &amp; Cox Stock Fund</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	None	None (or less than \$201)
67.3	<b><u>VTSNX</u> - Vanguard Total International Stock Index Fund Inst</b> <i>Filer comment: No longer held</i>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
67.4	<b>VBIX - Vanguard Total Bond Market Index Fund Institutional</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	None	None (or less than \$201)
	<i>Filer comment: No longer held</i>					
67.5	<b>Vanguard Institutional Total International Stock Market Index Trust</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
67.6	<b>Vanguard Institutional Total Bond Market Index Trust</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
67.7	<b>Fidelity Contrafund Commingled Pool</b>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	None	None (or less than \$201)
67.8	<b>VITPX - Vanguard Institutional Total Stock Market Index Fu</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	None	None (or less than \$201)
	<i>Filer comment: No longer held</i>					
67.9	<b>Artisan International Growth Trust</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	None	None (or less than \$201)
67.10	<b>APHIX - Artisan International Fund Institutional Class</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	None	None (or less than \$201)
	<i>Filer comment: No longer held</i>					
67.11	<b>Champlain Mid Cap Core</b>	Mutual Funds Mutual Fund	Spouse	\$500,001 - \$1,000,000	None	None (or less than \$201)
67.12	<b>Champlain Mid Cap CIT Class 1</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	None	None (or less than \$201)
	<i>Filer comment: No longer held</i>					
68	<b>Terminus Software, Inc.</b> <i>Company: Terminus Software, Inc. (Atlanta, Georgia)</i> <i>Description: Marketing software</i> <i>Filer comment: No longer held</i>	Corporate Securities Non-Public Stock	Self	\$100,001 - \$250,000	None	None (or less than \$201)
69	<b>Terminus Software, Inc.</b> <i>Company: Terminus Software, Inc. (Atlanta, Georgia)</i> <i>Description: Marketing software</i> <i>Filer comment: No longer held</i>	Corporate Securities Non-Public Stock	Spouse	\$100,001 - \$250,000	None	None (or less than \$201)

#	Asset	Asset Type	Owner	Value	Income Type	Income
70	<b>Hopkins &amp; Company Hospitality LLC</b> <i>Company:</i> Hopkins & Company Hospitality LLC (Atlanta, Georgia) <i>Description:</i> Restaurant and hospitality company <i>Filer comment:</i> No longer held	Business Entity Limited Liability Company (LLC)	Spouse	\$50,001 - \$100,000	None	None (or less than \$201)
71	<b>PatientPay, Inc.</b> <i>Company:</i> PatientPay, Inc. (Durham, North Carolina) <i>Description:</i> Patient payment services <i>Filer comment:</i> No longer held	Corporate Securities Non-Public Stock	Spouse	\$100,001 - \$250,000	None	None (or less than \$201)

**Part 4a. Periodic Transaction Report Summary****Not required****Part 4b. Transactions****Not required****Part 5. Gifts****Not required****Part 6. Travel****Not required****Part 7. Liabilities**Did you, your spouse, or dependent child have a reportable liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2019	Self	Line of Credit	-	1.735% (On demand)	\$1,000,001 - \$5,000,000	Morgan Stanley Alpharetta, Georgia	-
2	2019	Spouse	Line of Credit	-	1.735% (On demand)	\$1,000,001 - \$5,000,000	Morgan Stanley Alpharetta, Georgia	-
3	2019	Self	Line of Credit	-	var. 2.41% (On demand)	\$1,000,001 - \$5,000,000	Morgan Stanley Alpharetta, Georgia	-

## Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Aug 2018 to Dec 2019	Officer	Bakkt, LLC Atlanta, Georgia	Company	None
2	Sep 2002 to Dec 2019	Employee	Intercontinental Exchange Holdings, Inc. Atlanta, Georgia	Corporation	None
3	Oct 2019 to Dec 2019	Director	Georgia Power Company Atlanta, Georgia	Corporation	None
4	Oct 2011 to Dec 2019	Director	Atlanta Symphony Orchestra Atlanta, Georgia	Nonprofit Organization	None
5	Mar 2015 to Dec 2019	Director	Grady Memorial Hospital Corporation Atlanta, Georgia	Corporation	None
6	Jan 2013 to Dec 2019	Director	Skyland Trail Atlanta, Georgia	Nonprofit Organization	None
7	Sep 2012 to Dec 2019	Director	Georgia Research Alliance Atlanta, Georgia	Nonprofit Organization	None
8	Mar 2018 to Dec 2019	Other (Managing Member)	Descante Capital LLC Atlanta, Georgia	Company	None
9	Dec 2019 to present	Other (Member)	Descante Capital LLC Atlanta, Georgia	Company	None
10	Apr 2009 to Dec 2019	Other (Managing Member)	Descante II LLC Atlanta, Georgia	Company	None
11	Dec 2019 to present	Other (Member)	Descante II LLC Atlanta, Georgia	Company	None
12	Nov 2011 to Dec 2019	Other (Managing Member)	Dream 23 LLC Atlanta, Georgia	Company	None
13	Dec 2019 to present	Other (Member)	Dream 23 LLC Atlanta, Georgia	Company	None
14	Sep 2019 to present	Other (Co-Trustee)	The Loeffler Farms Trust Atlanta, Georgia	Trust	None
15	Sep 2012 to present	Trustee	The Loeffler Family Trust Atlanta, Georgia	Trust	None
16	Sep 2011 to Dec 2019	Other (Alternate Member, Board of Governors)	WNBA New York, New York	Corporation	None

#	Position Dates	Position Held	Entity	Entity Type	Comments
17	Dec 2012 to Apr 2020	Officer	Atlanta Dream 4 Youth Foundation, Inc. Atlanta, Georgia	Nonprofit Organization	None
18	Sep 2010 to Dec 2019	Other (Managing Member)	Descante III LLC Atlanta, Georgia	Company	None
19	Dec 2019 to present	Other (Member)	Descante III LLC Atlanta, Georgia	Company	None
20	Dec 2009 to Dec 2019	Other (Managing Member)	Tuxedo Court II LLC Atlanta, Georgia	Company	None
21	Dec 2019 to present	Other (Member)	Tuxedo Court II LLC Atlanta, Georgia	Company	None
22	Mar 2019 to Dec 2019	Other (Managing Member)	1000 S Ocean Boca LLC Atlanta, Georgia	Company	None
23	Dec 2019 to present	Other (Member)	1000 S Ocean Boca LLC Atlanta, Georgia	Company	None
24	Dec 2010 to present	Other (Member)	Dream Too, LLC Atlanta, Georgia	Company	None
25	Dec 2019 to present	Other (Member)	MW23 LLC Atlanta, Georgia	Company	None
26	Aug 2019 to present	Other (Co-Trustee)	The Jeff Sprecher and Kelly Loeffler Foundation Atlanta, Georgia	Nonprofit Organization	None

## Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Dec 2018	Bakkt Holdings, LLC Atlanta, Georgia	Compensation Agreement	Equity incentive plan that provides for the vesting of previously awarded, unvested options and shares upon future liquidity events. The term date is ongoing.

#	Date	Parties Involved	Type	Status and Terms
2	Oct 2007	Morgan Stanley Smith Barney LLC Atlanta, Georgia	Other (SEC Rule 10b5-1 Plan)	Preset diversification program for the purpose of selling shares of Intercontinental Exchange Holdings, Inc. (ICE) in compliance with SEC Rule 10b5-1.

## Part 10. Compensation

**If this is your first report, or you are a candidate** did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **Yes**

#	Source	Duties
1	Bakkt, LLC Atlanta, Georgia	Chief Executive Officer
2	Intercontinental Exchange Holdings, Inc. Atlanta, Georgia	Member of executive leadership team

## Attachments & Comments

### Attachments

Document Title	Date/Time Added
<a href="#">Loeffler PFD New Filer Attachment.pdf</a>	04/27/2020 @ 5:34 PM

*No comments added.*

*No private comments added.*

