

1      SUPREME COURT OF THE STATE OF NEW YORK  
COUNTY OF KINGS: CIVIL TERM: PART 80

2 -----X  
TAMARA ADAMS,

3 Plaintiff,

- against -

BHARATKUMAR PAREKH & ALOK PAREKH,

Defendants.

7 -----x

8 Index No. 5273/2015

360 Adams Street  
Brooklyn, New York 11201  
October 18, 2021

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11 HONORABLE GENINE D. EDWARDS,  
12 Justice of the Supreme Court, and a Jury.

13 A P P E A R A N C E S :

14 WINGATE, RUSSOTTI, SHAPIRO & HALPERIN, LLP  
15 Attorney for the Plaintiff  
16 420 Lexington Avenue, Suite 2750  
17 New York, New York 10170  
18 BY: JASON M. RUBIN, ESO.

17 SCAHILL LAW GROUP P.C.  
18 Attorney for the Defendants  
19 1065 Stewart Avenue, Suite 210  
Bethpage, New York 11714  
19 BY: THOMAS R. CRAVEN, JR., ESQ.

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22

23

24 AMBER SCHIANO  
SENIOR COURT REPORTER  
25

1                   THE COURT OFFICER: All rise. Jury entering.

2                   (Whereupon, the jury enters the courtroom.)

3                   THE COURT: You may be seated.

4                   So good morning, ladies and gentlemen of the  
5                   jury. I hope you enjoyed your weekend. We're going to  
6                   resume with the plaintiff's counsel.

7                   Counsel.

8                   MR. RUBIN: Yes. Thank you, Your Honor.

9                   The plaintiff calls Dr. Alexandre De Moura.

10                  THE CLERK: Please stand and raise your right  
11                  hand.

12                  D O C T O R    A L E X A N D R E    D E    M O U R A ,  
13                  called as a witness, having been first duly sworn/affirmed  
14                  by the clerk of the court, took the stand and testified as  
15                  follows:

16                  THE CLERK: You may have a seat.

17                  Please state your name and business address.

18                  THE WITNESS: Alexandre De Moura, 761 Merrick  
19                  Avenue, Westbury, New York 11590.

20                  THE CLERK: Thank you.

21                  THE COURT: Good morning, Doctor.

22                  THE WITNESS: Good morning, Your Honor.

23                  THE COURT: Just keep your voice up so all the  
24                  jurors can hear you.

25                  Counsel, you may proceed.

1 MR. RUBIN: Thank you, Your Honor.

## 2 DIRECT EXAMINATION

3 BY MR. RUBIN:

4 Q Good morning, Dr. De Moura.

5                   A        Good morning, sir.

6 Q As the judge said, just keep your voice up. With the  
7 mask we're finding obviously it's difficult to project your  
8 voice, but try the best you can.

11 A Yes.

12 Q Okay. And when was the last time that we spoke about  
13 it?

14 A You spoke to me a couple of days ago.

15 Q Okay. Are you a physician licensed to practice  
16 medicine in the State of New York?

17 A Yes, I am.

18 Q When were you licensed to practice medicine in New  
19 York?

20 A 1996.

21 Q And has your license been current since then?

22 A Yes, it has.

23 Q Have you ever been licensed to practice medicine in  
24 any other state?

25 A Yes.

1 Q What other state?

2 A Pennsylvania, New Jersey, Florida.

3 Q Okay. Are those licenses current?

4 A New Jersey and Florida is.

5 Q Okay. Can you tell the jury about your educational  
6 background and medical training.

7 A Okay. So I went to the George Washington University  
8 in Washington, D.C., obtained my bachelors after four years  
9 there. I subsequently went on to become a doctor. I went to  
10 med school, Chicago Medical School. That was another four  
11 years. After that I decided to go into orthopedic surgery.  
12 Orthopedic surgery is surgery of the bones and muscles and it's  
13 one year of general surgery following by another four years of  
14 residency. And then I decided to specialize in spine surgery  
15 and I did one added year of spine orthopedic neurosurgery  
16 fellowship at NYU.

17 Q Okay. Can you just tell us the years that you  
18 graduated medical school and completed this residency in  
19 fellowship.

20 A 1990, Chicago Medical School. Another six years  
21 training. Around '96 I finished. I then went into practice  
22 and I started at New York Spine Institute. I'm the director of  
23 New York Spine Institute. I'm an assistant clinical professor  
24 of orthopedic surgery at NYU. So I actively treat patients. I  
25 train residents, fellows, and my institute is involved with

1 research.

2 Q And what is your specialty of medicine? I think you  
3 touched on that.

4 A My specialty is spine surgery. That includes the  
5 neck, middle part of your spine and lower part of your spine.

6 Q And you've been doing that since the completion of  
7 your fellowship?

8 A Correct.

9 Q Okay. That was 1997 approximately?

10 A Yes.

11 Q Are you board certified in any area of medicine?

12 A I'm board certified in orthopedic surgery.

13 Q When were you board certified in orthopedic surgery?

14 A Around '98, around there.

15 Q Can you just tell the jury what it means to be board  
16 certified in specifically orthopedic surgery.

17 A After you have gone through all that training you then  
18 have to take boards and that just adds an extra level of  
19 qualifications to show that you really know what you're doing.  
20 So when you finish your training you do a written examination.  
21 That's about five hundred questions. And if you pass that  
22 that's part one of the board.

23 Now is the practical part to the board where you  
24 actually go into practice. You're treating your own patients  
25 now and you collect about twenty of those cases that you have

1   done and about two years after you've actually been practicing  
2   as a doctor you go to Chicago. You present those twenty cases.  
3   There's a board of about five orthopedic surgeons that are  
4   spine specialists and they will ask you any question they want  
5   as to why you did the case, what was the case about, what was  
6   the outcome. If you answered the questions properly and they  
7   feel that you're competent, you're now board certified and you  
8   pass part two. And then every ten years you have to be  
9   certified. I was certified three times after that.

10       Q    I see that you're holding something in your hand,  
11    correct?

12       A    Yes, this is the chart, my records of my encounters  
13    with this patient.

14       Q    Okay. And this is kept in the ordinary course of your  
15    business as a physician?

16       A    Yes.

17       Q    Are you being paid by my firm for your time here  
18    today?

19       A    Yes.

20       Q    And in what amount?

21       A    It's usually \$10,000 I believe.

22       Q    Okay. If you weren't here testifying in court, where  
23    would you be?

24       A    I would be seeing patients that I have to see this  
25    morning or be in surgery.

1           Q     Okay. Did you reschedule patients to be here today?

2           A     Yes.

3           Q     Okay. So I just want to ask you some questions about  
4     your prior times that you may have testified in court. Have  
5     you ever testified in court prior to today as an expert in  
6     orthopedics or spinal surgery?

7           A     Yes, I have.

8           Q     Can you approximate how many times you have appeared  
9     in court to testify such as today?

10          A     I really don't keep track. If my patients need me I'm  
11     willing to testify for them. It's usually less than a handful  
12     per year.

13                    MR. CRAVEN: I'm sorry. I didn't hear the  
14     answer, the last part.

15                    THE COURT: It's usually less than a handful  
16     every year.

17                    Correct, Doctor?

18                    THE WITNESS: Yes, Your Honor.

19          Q     Is it fair to say when you testified in court that  
20     they've always been on behalf of your patients?

21          A     That's correct.

22          Q     Okay. And they were -- and these patients were, when  
23     you testified, have been plaintiffs in lawsuits?

24          A     Yes.

25          Q     Have you ever testified in court as an expert for the

1 defense or defendant in a case?

2 A I don't think so.

3 Q Have you ever testified on behalf of any clients of my  
4 firm in the past?

5 A I believe so.

6 Q Do you know how many?

7 A No.

8 Q Is that something you keep track of?

9 A No.

10 Q Have you ever testified in any case that I handled  
11 before today?

12 A I don't think so.

13 Q I think you're right. We haven't.

14 But so I'm going to be asking you some questions today  
15 regarding your care and treatment of Ms. Adams and you can --  
16 I'm going to have portions of your chart that we're going to  
17 project on to the wall. But you can feel free to review the  
18 record that you have in your hand in answering any of those  
19 questions.

20 So when was the very first time that you saw Ms. Adams  
21 as a patient?

22 A So the first time I saw Ms. Adams she came to my  
23 office on April 7, 2016.

24 Q Okay. And at that time where was your office?

25 A I have offices in all the boroughs. I'm not sure

1 where I saw her at this point, probably either my New York  
2 office or Long Island.

3 Q Okay. I'm going to put your -- so what's up there on  
4 the screen, is this is your note dated 4/11/2016?

5 A That's correct.

6 Q And that matches up with what's in your hand?

7 A Yes.

8 Q Okay. And so just going a little further in the note.  
9 It says chief complaint. What is a chief complaint?

10 A That's when a patient comes to you and they tell you  
11 why they're there, what symptoms they're having, what's  
12 bothering them.

13 Q Okay. And it was reported to you that the patient  
14 presents with a chief complaint of neck pain which radiates  
15 into the right shoulder and upper extremity?

16 A That's correct.

17 Q Okay. What does that mean, neck pain which radiates  
18 into the right shoulder and upper extremity?

19 A So she primarily was having pain in her neck and the  
20 symptoms were actually traveling into her upper extremity,  
21 which is your right arm.

22 Q Okay. Is that something that can happen for patients  
23 that have a neck injury?

24 A Absolutely.

25 Q Okay. And we'll get into a little bit about the

1       anatomy of that. But that's something that's from a nerve  
2       running into the arm; is that correct?

3           A       Yes.

4           Q       So just to go a little bit further in your note.

5       History of present illness. This is a thirty three year-old  
6       female that was involved in a motor vehicle accident on  
7       November 25th 2014. So this history of present illness, this  
8       is information that you're eliciting from Ms. Adams?

9           A       Yes. She's now telling me she has this problem. This  
10      is how I got the problem.

11          Q       Okay. And then the note goes further. The patient  
12      was a restrained passenger sitting in the right front seat of  
13      an automobile. Restrained, that refers to a seatbelt?

14          A       That's correct.

15          Q       Okay. Vehicle was struck by a van. She denies any  
16      prior motor vehicle accident history. She denies any prior  
17      history of her present symptomology. To date the patient has  
18      exhausted conservative treatment. She present at this time is  
19      unable to work. Overall her symptoms remain unchanged. Is  
20      that what it says?

21          A       Yes.

22          Q       Okay. So what is the significance of a patient that's  
23      coming to you complaining of neck -- radiating neck pain that's  
24      never been in an accident prior to the one that she's  
25      presenting for and never had a history of her present

1 symptomology?

2 A So what's important here is that, number one, the  
3 patient has a problem. There's a history of how she got that  
4 problem. She was in an accident. The accident occurred almost  
5 a year and a half before she came to me. So it's not like it  
6 happened and all of a sudden she wants to do this surgery. She  
7 comes to me after almost a year and a half. She's been  
8 treated. She's done conservative treatment which includes  
9 physical therapy, injections, things of that nature. None of  
10 that has helped. What's important in the history also is she's  
11 never been in an accident before and she never had this problem  
12 before that accident.

13 Q Going a little further down in the note it says past  
14 medical history, no medical history.

15 A That means she doesn't have any diabetes, doesn't have  
16 any heart disease. There's no past medical history.

17 Q Underneath that it says hospitalizations and  
18 surgeries. It says cholecystectomy, right shoulder surgery.

19 A That's correct. She had her gallbladder taken out and  
20 she had a procedure on her shoulder.

21 Q Okay. And this moving down to the next portion where  
22 it says radiology. An MRI of the cervical spine dated January  
23 29th 2015 is available for review. Now, is that referring to a  
24 report, or the images, or both?

25 A Usually both.

1           Q     Okay.  How would you have access to those images at  
2 that time?

3           A     Either I can access the pictures online or the patient  
4 would bring a CD from where she had the images taken.

5           Q     I'm going to represent to you this MRI was done at  
6 Damadian Open MRI.  Is that something you would have access to  
7 at that time?

8           A     Yes.

9           Q     Reading a little further in this with the radiology.  
10 There's evidence of a disc herniation at C5-6 and C6-7.  There  
11 are some ligamentous bulges at C3-4 and C4-5.  Okay.

12           So Doctor, I think before we get into what these MRI  
13 results mean, it's probably a good idea to talk about the  
14 anatomy of the spine.  Okay.  Would it be of assistance in  
15 discussing the anatomy of the spine if I showed you some and  
16 showed the jury some medical illustrations?

17           A     Yes.

18           Q     Give me one second.  I'm going to show you what's been  
19 marked for identification as Plaintiff's Exhibit 19.  Can you  
20 explain what's shown in this diagram?

21           THE COURT:  You can get up if you need to,  
22 Doctor.  Can you see it?

23           MR. RUBIN:  I think that it's going to block the  
24 projector if I move it any further.

25           A     So this is an artist picture of what the spine looks

1 like. First of all, the spine are little bones we have in our  
2 neck, our mid-back and our lower back and in the tailbone.  
3 That's why we're called vertebrae. Each one of those little  
4 square cubes is a bone. It's called a vertebrae in the neck.  
5 We have seven of them. In the thoracic spine we have twelve of  
6 them. The lower back we have five. And then we have the  
7 tailbone. So when you hear these numbers like C1, C6, that  
8 just refers to C, meaning the cervical. If you hear T, that's  
9 thoracic which means like the mid-part of your spine where the  
10 ribs attach. Lumbar is the lower part of your back. So if I  
11 say C5, C6, that means I'm talking about the fifth vertebrae  
12 and the sixth vertebrae.

13 Between each of these bones we have discs. If you  
14 didn't have those discs you would have a solid spine and you  
15 would be very stiff. The spine wouldn't move at all. Just  
16 imagine the purpose of the spine. Everything in the body  
17 attaches to the spine. If it didn't, you would be an  
18 invertebrate or jellyfish. That's no spine, very soft and  
19 squishy, wouldn't be able to walk.

20 So the spine connects, first of all, the brain to the  
21 rest of your body. The spine allows the nerves in the spinal  
22 cord to go through it and as the spinal cord comes down the  
23 spine, nerves come off of it like branches off of a tree.  
24 Those nerves go to different parts of your body and those  
25 nerves allow your brain to sense whether you have sensation,

1 pain, touch, feeling, or whether you have an injury and get  
2 severe pain.

3 It also allows the nerves to communicate with the  
4 brain to tell your muscle to move. So when you move your  
5 biceps muscle, this muscle here, your brain sends a signal and  
6 your muscle contracts and your arm goes up. So the spine is  
7 important to provide support for the body because all your  
8 other bones, your pelvic bones, your shoulder bones, attach to  
9 the spine for that support. And then it also allows protection  
10 for the nervous system of the spine. So it's important.

11 As I said, we have these little structures between the  
12 vertebrae. They're discs and the disc is like a little soft  
13 structure. The best way I can explain is like a shock  
14 absorber. If we didn't have those, the spine would be  
15 extremely stiff and wouldn't move. Because we have those  
16 little discs, it allows each vertebrae to act as a different  
17 motion segment. What's important about the disc, it's also a  
18 very fragile, delicate structure.

19 If you think about a jelly doughnut, you go out and  
20 buy Dunkin Donuts one morning and get a jelly doughnut. It's  
21 nice and soft. If you squash it, the jelly pops out. That  
22 jelly that pops out, that's what we call a herniation. And  
23 that's what happened in this case here. When that jelly pops  
24 out -- it's not jelly in real life. It's more like crabby. It  
25 has a consistency to it. So if that material pops out and goes

1 into the canal, it's like having a pebble in your shoe.  
2 There's something in an area where it's not supposed to be.  
3 When that jelly pops out, if it goes into the spinal canal and  
4 it's near a nerve of the spinal cord, it's going to effect that  
5 nerve and then that nerve is going to tell the patient I got  
6 severe pain.

7 I'm sure everybody heard of sciatica. Sciatica is the  
8 pain that travels down your leg. Almost everybody in the world  
9 gets back pain at some time in their life, eighty percent of  
10 the population. But people who don't get better and they tried  
11 everything because this disc is pinching on a nerve, that's  
12 when they come to me and that's what happened.

13 Q When you say pinching on a nerve, are those nerves  
14 depicted in this diagram, this illustration?

15 A Here where it says nerves, pointing to this structure  
16 right here. As I said, this is the neck vertebrae. So up top  
17 here would be your cranium, your brain, and the brain comes  
18 down. It connects to the spinal cord. The spinal cord goes  
19 down the vertebrae and each branch is just like a branch on a  
20 tree or the roots coming out of the bottom of the tree. We  
21 have these nerves and those nerves serve a function, sensation,  
22 providing information back to the brain or sending signals to  
23 the body to move muscles and things like that, control your  
24 bowels, things like that. So these nerves, as they come out of  
25 the spine if this disc pops out, you can imagine how it pinches

1 that nerve and then the patient has pain.

2 Q Now, you talked about the cervical disc being somewhat  
3 like a jelly doughnut. Is there a name for the jelly of the  
4 disc?

5 A Yes. So the inside of that disc is called a nucleus.  
6 That's the center of a disc and the interior is called  
7 pulposus. It's a term of what it looks like. As I told you  
8 earlier, it's more crabby in consistency.

9 Q Is there a name for the outer part of the jelly  
10 doughnut?

11 A The outer part of the disc, like the dough of a  
12 doughnut is called the annulus and it has fibers in it that can  
13 contain the disc material inside. Just like on a tire, the  
14 tire is round like a doughnut and you have steel belts on the  
15 outside of a tire. Those steel belts provide integrity and  
16 strength to the tire. In the human body we have collagen  
17 fibers. That collagen is what holds the disc together.

18 Q Okay. I'm going to show you another exhibit. This is  
19 what we marked as Exhibit 20 for identification.

20 And you were explaining before a herniated disc. So  
21 here we have an image on the left and an image on the right,  
22 correct?

23 A Correct.

24 Q What is this an image of on the left?

25 A So here, first of all, we're looking at a vertebrae.

1 So the vertebrae is cut in half.

2 Q This is a cross section?

3 A Cross section. If anybody has ever had a T-bone  
4 steak, there's a small portion on the steak and then a big  
5 portion. When you have a T-bone you cut the bone down the  
6 middle. This would be the filet mignon over here, the muscle  
7 over here. And there's a big piece of muscle back here.  
8 That's the sirloin. So now you know when you eat a T-bone,  
9 you're actually eating a bone that comes off of a vertebrae.

10 Since this is a vertebrae we took a cross section of  
11 it. So here in the front you're going to see where the disc is  
12 and this is a portion inside the disc as you see here. That's  
13 a normal disc. Everything is contained nicely. That jelly  
14 portion is in the middle. It hasn't came out. You can see  
15 where the spine is here. That's the spinal cord and then the  
16 nerve comes out and this itself is the spinal canal here. So  
17 the vertebrae is an actual ring and the ring is drawing around.  
18 And inside the ring is where the tube travels, which is the  
19 spinal cord.

20 This is an abnormal disc. You can see here the disc  
21 has been damaged, and when it gets damaged this material pops  
22 out and that material is like the jelly that popped out of the  
23 doughnut. That happened because some kind of pressure was  
24 given, was transmitted to the human body, puts pressure on that  
25 disc and then the jelly pops out. When that pops out, it pops

1 out here. It's not a problem. There's really nothing over  
2 here, just muscle. If it pops out into the spinal canal it can  
3 pinch the nerve. Which you can see here the nerve getting  
4 pinched is red, gets irritated. It actually looks like that in  
5 real life. You see the redness. If it came in here that would  
6 go to the spinal cord and that would cause problems, things  
7 like paralysis, things like that.

8 Q So I just want to get back to the MRI findings.

9 THE COURT: Can he sit down?

10 Q Yes, you can sit down. I may have you get back up in  
11 a few minutes.

12 Okay. So in your note for this first visit on April  
13 11th 2016 you wrote there's evidence of a disc herniation at  
14 C5-6 and C6-7, correct?

15 A Correct.

16 Q Okay. And so C5-6 refers to the disc that's between  
17 the C5 and C6 vertebrae?

18 A Right. Correct.

19 Q And the C6-7 disc refers to the disc between the sixth  
20 and seventh vertebrae?

21 A Correct.

22 Q Okay. Now, did you have an opportunity to review the  
23 report associated with this MRI study?

24 A Yes.

25 Q Okay. Who issues a report for an MRI study?

1       A     So there's a radiologist that's a doctor that's  
2     trained to become an X-ray MRI doctor. So he reads the  
3     pictures. He documents what he sees and that's the radiology  
4     report.

5       Q     Okay. Now, in terms of your treatment of a patient,  
6     what weight, if any, do you give the radiology report as  
7     opposed to your view of the images?

8       A     So the buck stops with me. I'm the one that's going  
9     to be going into the person's body. I need to know what's  
10    there and what I'm going to encounter. So I read my own MRIs.  
11    I also take into consideration, of course, what the radiologist  
12    does, but I believe I'm an expert also at looking at MRIs and  
13    picking up -- I picked up things on MRIs that the radiologist  
14    has missed. So it's important to be able to read the pictures,  
15    look at the report, put them together and figure out that with  
16    the patient, what's causing the patient to have pain.

17      Q     Now, just generally, did you agree with the findings  
18    in the report?

19      A     Yes.

20      Q     Okay. I'm going to pull up that report and we'll just  
21    go through that if we can. Bear with me one moment. Okay.

22           I'm going to highlight the first paragraph. Where it  
23    says interpretation, diffused cervical lordotic curvature.  
24    What does that mean, the very top?

25      A     Basically the neck has to have a nice curve so it goes

1 this way. When you look in the X-ray you can see how the bones  
2 are lined up. So a nice relaxed X-ray will show nice curve of  
3 the vertebrae. If the neck becomes very stiff. Because the  
4 muscles are tense, you lose that curvature and you can also put  
5 the neck into what we call kyphosis, which means just a forward  
6 bend. And that's what this talks about. It says there was a  
7 forward bending of the vertebrae, which is not normal. So it's  
8 very stiff. The muscles are causing that to happen.

9                   And then further down, once again as we discussed  
10 earlier, C refers to the cervical vertebrae and the patient has  
11 disc damages here and both levels are involved, C5-6 and C6-7.

12           Q     What does that mean, that C5-6 posterior central disc  
13 herniation extends to approximate the ventral cord?

14           A     Remember the picture we saw earlier, where that little  
15 pink thing popped out. That shows that the disc between 5-6  
16 has come out and it's up against and near the spinal cord.

17           Q     Okay. We're going to show -- I'm going to pull up  
18 those images in a bit. I want to go through the report. The  
19 next line says there's central canal stenosis present. What  
20 does that mean?

21           A     There's narrowing of the canal.

22           Q     And is that due to -- what is that due to?

23           A     That's just the way the picture is.

24           Q     Okay. It says, next line, disc adjacent osseous  
25 vertebral reactive edema was seen at this level. What does

1 that mean?

2 A Where is that?

3 Q Disc adjacent.

4 A It just show that there's irritation of the bones  
5 between number 6 and 7.

6 Q What is the significance of that?

7 A Significance of that, there's irritation going on in  
8 that area.

9 Q And then here it says C6-7 posterior broad based  
10 central disc bulge is seen. What is a broad based central disc  
11 bulge?

12 A It's that the disc is protruding out, but it hasn't  
13 ruptured out. If you think of that jelly doughnut, you start  
14 squeezing it, put pressure on the doughnut. The jelly wants to  
15 come out. As it comes out, the side of the doughnut gets a  
16 bubble in there. That's what we call a bulge. But once you  
17 have the jelly come out all the way, that's an extruded  
18 herniation. There's also different degrees of herniation, but  
19 just making it easy for you. A herniation we talk about is  
20 when a disc is ruptured. And when the disc is still pretty  
21 much where it starts out, that we call a bulge.

22 Q Going to the next line below that where it says --  
23 it's partially highlighted. C2-3 through C6-7, disc hydration  
24 loss is noted with C5-6, diminished disc space height and  
25 anterior disc extension and anterior spurring. So what does

1 disc hydration loss mean?

2 A When you buy that jelly doughnut, the first day it's  
3 nice and soft. It has plenty of water in there when you mix  
4 that dough. When you keep the doughnut on the table for five  
5 days and come back to eat it, it's going to be stale. It's  
6 going to have lost some water to the environment, evaporation,  
7 in the jelly scenario.

8 The same thing can happen in the human body over time  
9 after an injury. This MRI was done almost two months after the  
10 injury. So the disc is no longer normal. That's what this is  
11 showing here, that that disc has lost some of its freshness, so  
12 to say.

13 Q Can disc hydration loss occur without an injury?

14 A Yes.

15 Q Okay. What is the significance of a patient having  
16 disc hydration loss if it's possibly before they have a trauma  
17 or injury to their spine?

18 A Well, if they had no symptoms, there's no  
19 significance.

20 Q Okay. And what does that mean, C5-6 diminished disc  
21 space height?

22 A So if you get a small leak on the air of your tire,  
23 the tire starts to go down. So diminishes the space between  
24 the rim and the asphalt. Same thing with the disc in your  
25 neck. The disc can lose some of that height and that's what

1 the radiologist is reporting there.

2 Q And what does that mean, anterior disc extension and  
3 anterior spurring right underneath that?

4 A That's what it means. It's means there's some  
5 spurring and the disc has popped out through the front.

6 Q Now, is there a term known as degenerative disc  
7 disease?

8 A Yes.

9 Q What does that mean?

10 A Over time the disc can degenerate on its own.

11 Q In your opinion, Doctor, based upon your review of the  
12 films, which we will get to in a moment, and based upon this  
13 report, is there any evidence of degenerative disc disease in  
14 Ms. Adams in this MRI study?

15 A It shows here that between C2-3 through 7, disc  
16 hydration loss is noted. That's when we talked about losing  
17 some of the fluid and diminished space at 5-6 and the spurring.  
18 That's like a little bit of arthritis that has been setting in  
19 even before the accident.

20 Q Now, what is the significance of that degenerative  
21 disc disease in a patient such as this who doesn't have any  
22 pain associated with it?

23 A That's very significant. I'm sure we all drive cars  
24 here and if you have a car with a tire, has anybody ever seen a  
25 bubble on the side of that tire? Right. We call it a bleb on

1 the side wall of a tire. You can get a bleb on the side wall  
2 of your tire, but you keep driving the car. It's not a  
3 problem, right. You drive it.

4 Living here in New York we get potholes in the winter.  
5 One day in December you're driving that car and you hit a  
6 pothole and now you get a blow out on that tire. The tire was  
7 doing fine before, had no problems, just a bleb, was doing  
8 fine. But when it hit that pothole it blew out the tire and  
9 you're stuck with a flat tire on the side of the road.

10 So even as a person who has had a degenerative  
11 condition in the past is doing fine, they never had complaints,  
12 never was treated, but now they're in an accident. Trauma has  
13 occurred and now that disc has popped out. So just keep that  
14 scenario about the tire. You're doing fine with it. You hit a  
15 pothole and now you get a blow out. A person can have  
16 degeneration evident on an MRI, was probably before the  
17 accident but never treated before the accident, and now at the  
18 time of the accident develops pain and the MRI now shows a  
19 herniation where that disc popped out.

20 Q Just using your analogy of a bleb on a tire. Does a  
21 patient with underlying disc degeneration, are they more  
22 susceptible to a disc herniation from trauma than a patient  
23 without such degeneration?

24 A I would say yes, probably.

25 Q Okay. So I'm going to pull up the MRI images. Bear

1 with me a moment. I'm not so sure how visible it is in this  
2 light.

3 MR. CRAVEN: Can you identify what we're looking  
4 at?

5 MR. RUBIN: Yes, I will.

6 THE COURT: Can we turn the light out.

7 Q Doctor, I'm showing you one image that was from Ms.  
8 Adams' cervical MRI from Damadian MRI on January 29th 2015.  
9 This is Exhibits -- or at least one image from Exhibit -- give  
10 me one second -- Exhibit 9.

11 MR. CRAVEN: Your Honor, may I ask that he  
12 identify which image from that exhibit, which one we're  
13 looking at specifically?

14 THE COURT: Do you have it?

15 MR. RUBIN: Yes. I think it should be on here.

16 MR. CRAVEN: Thank you.

17 Q Down on the bottom, Doctor, does it says images eight  
18 of thirteen?

19 A Yes, it does.

20 Q So, Doctor, what are we looking at here?

21 A So first we're looking at here is an MRI. Let me try  
22 to give you an idea what an MRI is. MRI stands for magnetic  
23 resonance imaging. X-ray is just a beam of radiation that gets  
24 thrown through the body and then a picture is taken. What's  
25 important about the difference between the two, X-rays show

1   bones and that's all they show. So if you shoot an X-ray and  
2   then you take that picture, you're going to see where the bones  
3   are and it will look like a skeleton for Halloween. That's all  
4   you see, the bones. You don't get to see any of the soft  
5   tissue that make up the human body with an X-ray. You just see  
6   the bones, but you won't see anything here.

7               It looks like a ^ vacuum, just an empty space, but  
8   there's something there. That something that's there picks up  
9   on an MRI. An MRI shows soft tissue. It's going to show  
10   muscle. It's going to show nerves. It's going to show your  
11   organs. That's what's nice about an MRI. It gives you much  
12   more detail about what's in a human body.

13               What we're looking at here is an MRI of the patient, a  
14   side view. So up here, here are her lips. Here is the chin,  
15   coming on down to the front of the chest. Up there would be  
16   her eyes. And the brain is up here, the skull. The back of  
17   the neck coming down. You can see the base of the brain and  
18   you can see her spinal cord coming down here. So that's the  
19   cord that connects the brain to the rest of the body. These  
20   are the vertebrae number two, three, four, five, six and seven.  
21   Between each disc -- between each vertebrae is a disc. That's  
22   what we see by the white structure here. See how they're all  
23   contained. They're all within the boundaries of that  
24   vertebrae. Nothing is coming out the back. Let's look at  
25   between five and six. This is two, three, four, five and six.

1 Five and six, there's something coming out here.

2 Q Doctor, would you like me to enlarge that?

3 A Okay. That's a little too much.

4 Imagine my finger. If I put my finger here, it's  
5 right at the back of that disc. There's a space here. There's  
6 nothing touching that spinal cord. If I put my finger here on  
7 that I am now in the spinal canal. So there's something popped  
8 out here and it's up against the spinal cord. Imagine that  
9 jelly. That jelly has been squashed. The jelly popped out and  
10 now it's in an area where it's not supposed to be. Like if you  
11 get a pebble in your shoe, I don't care how small that pebble  
12 is, you know there's something in your shoe. It can be the  
13 smallest little pebble. You know there's something in your  
14 shoe. It's not where it's supposed to be and the bottom of  
15 your foot is not nearly as sensitive as your spinal canal.  
16 When something pops out of your spinal canal, you know it. You  
17 feel it and it's not a pleasant experience.

18 Q Is there -- I know I understand there's a different  
19 type of weighting of MRIs. Can you explain that?

20 A That's too complicated.

21 Q That's fine.

22 MR. CRAVEN: I'm sorry. I didn't hear the  
23 response.

24 THE COURT: It's too complicated.

25 THE WITNESS: He wants to know about the

1 weighting for images. There's no need for that.

2 Q Let me show you another MRI image if I can. This is  
3 image eight of thirteen as well, but it's a different  
4 weighting. That's why I was getting into this. Is this  
5 another image of the same study, Doctor?

6 MR. CRAVEN: I'm sorry. Can you identify which  
7 image?

8 MR. RUBIN: Eight of thirteen.

9 MR. CRAVEN: The same one?

10 MR. RUBIN: Well, it's a different image, but  
11 it's labeled eight of thirteen.

12 MR. CRAVEN: The other one was series 104. Is  
13 there a series in this?

14 MR. RUBIN: Series 103.

15 MR. CRAVEN: Thank you.

16 MR. RUBIN: No problem.

17 For the record this is also Exhibit 9.

18 A So once again this is a different MRI taken with a  
19 different sequence. You can see once again this is the second  
20 vertebrae, two, three, four, five, six and seven. Coming on  
21 down here the vertebrae are all in line. So the disc out here  
22 you see something pops out. It pops out here because there's  
23 pressure of this material, the jelly that got pushed out.

24 Q So that area there that's pushing out is the  
25 correlation to the same area on the prior image?

1       A     Yes, same area.

2       Q     Now I just want to show you one other image from the  
3     MRI.

4       A     So here we're looking at the MRI.

5                    MR. RUBIN: Actually, one second. This is series  
6     104, image thirteen of nineteen.

7       A     Here we're looking at the patient lying down on the  
8     table. Just imagine taking an Italian loaf of bread and slice  
9     it up. The first slice might be smaller. Get to the middle  
10    the slice is bigger. Same thing here. We're looking at slices  
11    through the spine going this way. This would be the muscles on  
12    the back of her neck. This is the windpipe over here, the  
13    trachea where you breath. Coming here we see now the  
14    vertebrae. Remember the bone I told you about cutting. So  
15    here is the vertebrae and here is the spinal canal. White is  
16    water. The gray is the spinal cord and the disc is in this  
17    area here. But if you come around the ends of the disc,  
18    there's something right here. Can you see that material? So  
19    that's where the jelly has actually ruptured the dough of the  
20    doughnut. The jelly here, the disc has ruptured the annulus of  
21    the disc.

22       Q     Okay. Doctor, switching gears back to your medical  
23     record for that day. Bear with me one moment.

24                    Doctor, the next part of your note says ROS. What  
25     does that refer to?

1       A     Review of systems.

2       Q     Briefly what does that mean?

3       A     Whether the patient -- do they feel sick. Are you  
4     having fevers. Is your stomach bothering you. Does your, you  
5     know, nervous system bother you, your heart. Review of all the  
6     systems in your body.

7       Q     Is it fair to say the review of systems was  
8     essentially negative?

9       A     Correct.

10      Q     Just moving on to the second page of your report.  
11      There's a portion that says ortho and next to that neurological  
12     exam UE. What does that refer to?

13      A     So now I'm examining the patient. I'm documenting  
14     what I found. Since I'm a spine surgeon I'm focusing on the  
15     nerves. How the nerves are functioning. I'm focusing in on  
16     the muscles, the bones, things of that nature. Here we can see  
17     the exam states neurological exam. UE means upper extremity.  
18     Those are the arms and hands. And it says that there was four  
19     over five muscle weakness in the right wrist dorsiflexor. So  
20     what that means is five over five means normal muscle strength.

21           So you have the patient contract their muscle. Then  
22     the patient makes a muscle. I go on the other side and I pull  
23     and to my resistance I can feel whether they have full muscle  
24     strength, which is five over five. If there's a little bit of  
25     give, I can assess that it's four over five. We can assess it

1 all the way down to where the patient can't even lift the arm  
2 against gravity, for example. You put the hand on the table  
3 and they can go against gravity. That denotes a certain  
4 number. And zero is when they're completely paralyzed. So  
5 it's a grading scale to see if the person has any weakness in  
6 their extremity and that specifically was examined, the right  
7 wrist dorsiflexor. That's the muscle that allows you to raise  
8 the wrist up.

9 That's important because that muscle comes from the  
10 sixth nerve and the sixth nerve is the nerve that shoots off  
11 between number five and six. So where the herniation is, it's  
12 irritating the sixth nerve. That sixth nerve goes down the  
13 arm. The patient having some weakness in that area, they also  
14 lose a reflex. The reflex is where you hit a hammer on the  
15 muscle. When you hit the muscle, that signal goes to the  
16 spinal cord. It comes back down and causes the muscle to jerk  
17 away. If you tap your knee, your knee will jerk out. That's a  
18 reflex. If something is effecting that part of the nerve from  
19 the muscle or from the tendon to the muscle and spinal cord and  
20 brain, then there's something blocking the normal function of  
21 that. And that can be picked up if you don't have normal  
22 reflexes.

23 So she didn't have a reflex in this part of the wrist  
24 and she also had diminished sensation. That means I usually  
25 take a paperclip and the paperclip is round on one side. If

1 you open the paperclip, it's pointy on the other side. So you  
2 have normal sensation. You can tell the difference between the  
3 point and the blunt side. So I ask the patient is that sharp  
4 or dull. Sharp or dull. And we can do it down the arm. And  
5 when you got to the distribution of where that sixth nerve goes  
6 into the area, she couldn't tell the difference between sharp  
7 or dull.

8                   Everything correlates together. She had diminished  
9 sensation, absent reflex, and also some weakness of that  
10 muscle. And that all correlates to the sixth nerve that  
11 happens to be at the same level where the herniation was  
12 between C5 and C6.

13           Q     Okay. So did your physical findings correlate with  
14 the MRI findings?

15           A     Absolutely.

16           Q     Okay. Now, did your exam -- at least in this note  
17 your exam only makes mention of, you know, abnormal findings.  
18 Were there any normal findings on your exam as well? I mean,  
19 that aren't documented.

20           A     When you do an exam, if it's a normal exam you write  
21 normal examination. This is not a normal examination. These  
22 are what I found in that examination. This is not a normal  
23 examination.

24           Q     Really what I'm asking, were there findings that you  
25 made on your exam for other like nerve areas that were normal

1 that were just not documented is really what I'm asking? I'm  
2 not sure if I'm making myself clear.

3 A You're trying to say did I check all seven nerves, all  
4 eight nerves in the neck and document each one? The ones that  
5 are normal I don't state they're normal. I state the ones that  
6 are abnormal and that implies in my report that the other ones  
7 are normal.

8 Q That was my question. Thank you, Doctor. Bear with  
9 me one second.

10 Just moving along to some more of your findings on  
11 exam. Can you describe what else your exam consisted of other  
12 than testing the nerve?

13 A Like I said, I'm interested in not just the nerves,  
14 also the muscles, the nerves and bones in the body, see whether  
15 they have normal range of motion. Here we have an examination  
16 of the cervical spine. So touching that area when I examine  
17 here, it was tender to palpation. That means when pressed on,  
18 the muscles in the neck are very tense. Tension is very  
19 important. You have no control over tension.

20 Muscle. If you have children, when you go into labor,  
21 that muscle, the uterus, and when it contracts it's very  
22 painful. So when a muscle goes into spasm, we say that that's  
23 an important finding. Her muscles were in spasm around the  
24 neck. They were tender to be pushing on them. I also  
25 documented what the normal range of motion is and how limited

1       her range of motion was, because when she would move too far it  
2       would cause pain.

3           Q       I want to go through those range of motions with you.  
4       So on the top it indicates what you found as Ms. Adams' range  
5       of motion and then on the bottom, below that, is the normal  
6       range of motion.

7           A       Yes.

8           Q       And it's measured in degrees?

9           A       Yes.

10          Q       Okay. Can you just explain how you make those  
11       findings, how you make those degree findings?

12          A       Well, degrees are like one through three sixty. One  
13       through ninety. One through one hundred eighty. And pretty  
14       much most motion you can measure it zero to ninety degrees.  
15       Everybody sitting here, if you turn your head, you turn your  
16       head, you will know what a normal range of motion is. So you  
17       can't turn your head all the way around. You probably can go  
18       pretty far, eighty degrees to the left, not completely ninety.  
19       That's what these numbers are here. For example, left  
20       rotation, right rotation, about eighty degrees. If you look at  
21       what she did, she was only able to do about twenty degrees at a  
22       time. So less than fifty percent reduction on that day.

23               We see that also an extension was limited. When you  
24       put your neck back. When you put your neck forward. Side  
25       bending. So it just shows that in the examination in the

1 office she was complaining of neck pain. That was found to be  
2 real from the muscle spasms in the neck, her limited range of  
3 motion and things of that nature, the neurological findings.  
4 Everything really ties together to give the complete picture of  
5 the puzzle as to why she's having this problem.

6 Q Doctor, in your opinion, given the range of motions  
7 that you found compared to normal, did you consider this to be  
8 a significant limitation of her range of motion?

9 A Absolutely.

10 Q Moving along to the next portion of your note.

11 Assessment: The patient is status post motor vehicle accident  
12 with a resulted cervical disc herniation. We discussed that.  
13 Herniation of cervical disc. Radiculopathy cervical region.

14 A That's just a fancy term for sciatica. When you have  
15 nerve pain and when it goes down your leg we call that  
16 sciatica. When you have nerve pain down your arm they're both  
17 called radiculopathy.

18 Q What is cervicalgia?

19 A That the neck hurts.

20 Q And then below that is your treatment and plan and you  
21 write at this point the patient requires an ACDF at C5-6.

22 A The patient came to me because the patient is having  
23 pain. She had been to physical therapy. She's been through  
24 injections, all conservative treatment. She had this problem  
25 for over a year since she was in the accident, almost a year

1 and a half when she saw me. At this point when a patient comes  
2 to me I say to them, one, if you can live with the pain you  
3 don't have to have surgery. If you come to the point and say,  
4 doc, I just can't put up with this anymore, then I think I can  
5 help you with my surgical technique.

6                 Same thing in that pebble with your shoe. It's a  
7 little pebble. You know it's in there, but at the end of the  
8 day at one point you're like I have to get this pebble out of  
9 my shoe. Think same thing here. There's something in her neck  
10 that wasn't there before the accident. She's now had to deal  
11 with it for quite some time and having spinal surgery is a  
12 scary thing. We're talking about your spine. When people come  
13 to me I'm the last resort.

14                 In her condition she was having ongoing symptoms, pain  
15 ongoing and I offered her the treatment of an ACDF. ACDF means  
16 anterior, which means through the front. Cervical is the neck  
17 spine. Discectomy means taking out the disc. And fusion means  
18 making those bones grow together. And she needed that between  
19 five and six where I showed you on the disc where the disc was  
20 herniated.

21                 Q     Doctor, I want you to assume that the last time that  
22 Ms. Adams had treatment, such as physical therapy or  
23 chiropractic or acupuncture treatment prior to her visit with  
24 you was in approximately the middle of March of 2015. So a  
25 little more than the year before. Does that change your

1 recommendation for a patient such as this?

2 A No, because the pebble is still in your shoe. It's  
3 just how long do you put up with it.

4 Q I also want you to assume that prior to seeing you in  
5 approximately March of 2016, Ms. Adams consulted with a pain  
6 management physician that had recommended epidural steroid  
7 injections, but Ms. Adams opted not to have those injections.  
8 Does that change your recommendation with regards to performing  
9 ACDF?

10 A No. When you have something in your shoe I can give  
11 you medicine. I can inject your leg. I will make you forget  
12 that pebble in your shoe. But when that medicine wears off, if  
13 that pebble is still irritating you, you know, it's still  
14 there.

15 Q With regard to an ACDF, are there risks associated  
16 with that procedure?

17 A So the major risk to this type of surgery are  
18 infection and bleeding, which are usually less than three to  
19 five percent. You can get some paresis of the vocal cord  
20 nerve. So you have a raspy voice. Anesthesia runs a risk of  
21 death. If I don't know what I'm doing and I press too hard in  
22 the area, I can bump the spinal cord. The patient can be  
23 paralyzed after the surgery. So those are some potential risks  
24 from this procedure.

25 Q Are these risks risks that you discussed with Ms.

1 Adams before doing surgery on her?

2 A Yes.

3 Q She was aware of those risks?

4 A Yes.

5 Q Did she agree to undergo the surgery?

6 A Yes.

7 Q Did you discuss the benefits of the surgery with her?

8 A So the benefits, I always tell a patient I'm not a  
9 God. I'm not going to say I would make you one hundred percent  
10 better. But I'm a pretty good surgeon. A lot of my patients  
11 get a hundred percent improvement. There's probably eighty,  
12 ninety percent to get some improvement. Maybe five percent  
13 chance to stay the same and probably, for some reason we don't  
14 understand, less than five percent, some people actually get  
15 worse.

16 Q How, if at all, does a patient's range of motion of  
17 their neck, how is that effected by performing an ACDF surgery?

18 A So, as I said, between the vertebrae we have little  
19 discs. The discs act as shock absorbers but they allow the  
20 vertebrae to move. When I take that disc out completely and  
21 make the bones fused or grow together, I completely limit the  
22 motion at that level. So the patient, if you want to know the  
23 technical amount, they actually lose one seventh of fifty  
24 percent of the flexion extension motion. So they do lose  
25 motion at that level.

1           Q     Okay. For patients that undergo ACDF, in the future  
2     is there other treatments that is associated with undergoing  
3     that surgery?

4           A     So when we fuse that level, that level no longer  
5     moves. So technically the level before it, number four five,  
6     and the level below it, number six seven, those two levels  
7     probably take on the motion of that level that was lost. So  
8     they have to move a little bit more. Moving a little bit more  
9     there's a chance, twenty to thirty percent chance that they  
10    could develop degeneration over time and that those levels may  
11    require surgery in the future. Usually twenty to thirty  
12    percent of the time those levels will need to be operated on in  
13    the future.

14           Q     Okay. Doctor, I just want to ask you a few questions,  
15    opinion questions. And so, first of all, I'm going to ask you,  
16    do you have an opinion with a reasonable degree -- withdrawn.

17                 I want to, you know, I want you to make certain  
18    assumptions when answering this question. I want you to assume  
19    that Ms. Adams was involved in a motor vehicle accident on  
20    November 25th 2014 as reflected in your chart. That she was a  
21    front passenger in that vehicle. She was wearing a seatbelt  
22    and the vehicle that she was in was struck on the right  
23    passenger side at or near the front of the vehicle. That Ms.  
24    Adams described that impact as being heavy. That she had no  
25    advanced warning of the impact. At the time of the impact she

1 was looking toward the driver of the vehicle and was talking to  
2 the back seat passenger.

3 And that she testified that as a result of the impact,  
4 her body moved. First her right shoulder struck the interior  
5 passenger door of the car. Her left shoulder then struck the  
6 driver's seat and the back of her head struck the seat back or  
7 headrest.

8 I want you to assume that she was taken to the  
9 hospital via ambulance and that at the hospital she complained  
10 of pain in her right shoulder. And then the day following the  
11 accident she was seen by an acupuncturist at a Ralph Medical  
12 Clinic and she complained of six to seven out of ten pain in  
13 her neck and seven of ten pain in her right shoulder.

14 I want you to assume, as reflected in your notes,  
15 prior to this accident Ms. Adams never had any prior injury to  
16 her neck. She never had any pain and discomfort in her neck,  
17 nor did she have any prior care or treatment to her neck. And  
18 I also want you to assume the results of the MRI that we just  
19 discussed and the results of the examination and your findings.

20 Do you have an opinion with a reasonable degree of  
21 medical certainty whether the motor vehicle accident on  
22 November 25th 2014 was a substantial factor in causing  
23 herniation of Ms. Adams' C5-6 disc?

24 A So I believe that within a reasonable degree of  
25 medical certainty that this patient sustained this injury from

1 the accident in November of 2014, and that means more probably  
2 than not. She had no problems before. She's in the accident  
3 and now she developed those injuries that ultimately required  
4 her to have surgery.

5 Q Given that same set of facts that I just gave you, do  
6 you have an opinion with a reasonable degree of medical  
7 certainty whether the accident from November of 2014 was a  
8 substantial factor in causing her to suffer from neck pain  
9 which radiated into her right upper extremity and shoulder?

10 A Yes.

11 Q What's the reasoning for that, same as before?

12 A Exactly.

13 Q And same set of facts I want you to assume. In your  
14 opinion, with a reasonable degree of medical certainty, was  
15 this accident a substantial factor in Ms. Adams requiring  
16 anterior cervical discectomy and fusion procedure at her C5-6  
17 disc level?

18 A Yes.

19 Q And the reasons for that?

20 A As I stated prior, because of the accident.

21 Q What is the significance, if any, that Ms. Adams  
22 didn't have any impact -- any warning of this impact?

23 A Well, one, I think that's important. Because let's  
24 look at it this way. You see a football player playing  
25 football every Sunday. They do big tackles. They see the

1 tackle coming. They're pretty much always prepared for it.  
2 They're not all taken off the field paralyzed. When you  
3 prepare for something your muscles tense up and you're ready  
4 for an impact. When you're not ready for something, if you're  
5 walking in your bedroom at night and you stub your little toe,  
6 you know how important that little toe is when it get injured.  
7 In an accident when the energy from one vehicle is transferred  
8 to another vehicle, it can jar the human body. When it jars it  
9 and you're not prepared for it, it puts pressure on the area.

10                   As I said before, these discs are delicate and they're  
11 sensitive. So your head is heavier than a bowling ball. We  
12 all picked up a bowling ball. It's pretty heavy. And that  
13 balances on seven little bones in your neck. When you move  
14 your neck a certain way you can get whiplash. You can put  
15 pressure on the disc because the neck is going one way. The  
16 weight of the skull is heavy and that's how you can injure  
17 yourself when you're not prepared and one vehicle can strike  
18 another vehicle transmitting that kinetic energy from that van  
19 into the vehicle that she was in.

20                   Q     Okay. Thank you.

21                   So Doctor, I just want to go through -- well, did you  
22 indeed perform an anterior cervical discectomy and fusion on  
23 Ms. Adams?

24                   A     Yes.

25                   Q     And when was that?

1       A     May 4, 2016.

2       Q     So a little less than a month after your first visit  
3 with her?

4       A     Correct.

5       Q     And where was that procedure done?

6       A     Do you know?

7       Q     It was Hospital For Joint Diseases.

8       A     I agree with you.

9       Q     I'm just going to put up the operative report. This  
10 is the second page of the report. So I don't need you to read  
11 it in. If you can, just looking, if you can go paragraph by  
12 paragraph and explain to the jury what this surgery involved.

13       A     So what the surgery involves is, first of all, the  
14 patient is put to sleep under general anesthesia. They're  
15 lying on their back face up. I prep the area where the surgery  
16 is going to take place. We sterilize it and put the sheets on  
17 so we're working under sterile conditions.

18            We then have to get to the spine. So the reason why  
19 we go through the front is because if we went through the back  
20 you're going to see the spinal cord in front of you. And if  
21 you try to move the spinal cord out of your way to get to the  
22 disc, the patient wakes up paralyzed. So we do these surgeries  
23 through the front. Remember that picture of the bone in the  
24 front is the disc and behind the disc is her spinal canal. If  
25 I'm working through the front I have to get there. So we have

1 to go through the skin. I have to get the food pipe, the  
2 windpipe out of the way. You have major blood vessels. You  
3 have the carotid artery, jugular vein. You need to get in  
4 there and spread those structures without causing any damage.  
5 If you puncture the carotid artery, it's like a water hose.  
6 The patient bleeds and could die on the table. Once we go  
7 through that you don't want to puncture the food pipe. The  
8 esophagus, that can cause major problems also. It's very  
9 delicate. You have to be very precise too to get into that  
10 area, move those structures safely out of the way.

11                   And now you see the spine in front of you. Once I see  
12 the spine in front of me I put in retractors to keep that area  
13 open for me to do what I have to do. I then take out the disc.  
14 I use a sharp knife to excise the disc. I have little pincers  
15 that go in and take the disc material out. I'm using high  
16 power magnification and bright lights so I can see clearly what  
17 I'm doing. I'm very close. I can see the structures.

18                   So the goal of the surgery is to take that doughnut  
19 out. You want to take out the dough of the doughnut and you  
20 want to take out the jelly of the doughnut that has squirted  
21 out the back. That's what we call anterior, coming in through  
22 the front. Discectomy means I take the disc out. As I'm  
23 coming through the front I go to the back of the disc. That's  
24 where I can see clearly where that disc material had squirted  
25 out. I then get a very fine little pincer to go in there and

1 grab the disc material. That's the herniation. That's the  
2 thing that was causing the problem all the time. We take that  
3 out.

4 So now I remove the disc and I remove the jelly. If I  
5 just left it like that those two bones would not have the shock  
6 absorber anymore between them. They would then start to grind  
7 about against one another and the patient would have continued  
8 friction. Also the space for the nerve that come out would  
9 still be squeezed because the disc had to be removed so the two  
10 bones come together.

11 So in order to prevent that I open the space up. I  
12 put in the little spacer. The spacer looks like a sugar cube  
13 in your coffee or tea. It's very square. I use one that's  
14 made out of titanium. It's a measure of titanium but it looks  
15 like a little cube. So it's very strong. That goes in. That  
16 keeps the space open. That also will allow the bones, number  
17 five and six, to grow through that to then come together in the  
18 future. That's what we call a fusion. I put a little plate  
19 with tiny screws in there and the plate with tiny screws adds  
20 more stability to where I did the surgery to keep it still so  
21 the bones will grow together better.

22 If you break your forearm I can either put you in a  
23 cast so the bone doesn't move, which gives it a chance to heal,  
24 or I can put plates and screws on that bone to keep it still.  
25 If you keep on moving, it doesn't have a good chance to grow

1 together. If you hold the bones still, then it grows together.  
2 Just like when you build a model airplane. You take the pieces  
3 of wood and keep moving it, it doesn't glue together. If you  
4 put a vise clamp on there the model airport will glue together.  
5 So the plate we put in there is like an added device to hold  
6 the two vertebrae still which give them a better chance to grow  
7 together and get a very high success rate. So the F is for  
8 fusion. So anterior cervical discectomy and fusion.

9 Q Now, your operative report makes mention of a fibular  
10 allograft. What is that?

11 A That's the sugar cube that I use. It's actually bone  
12 from a cadaver. It's fashioned to look like a little spacer  
13 that I can easily insert it into that space.

14 Q Did you -- in performing this procedure did you  
15 actually see the disc herniation that was being depicted on the  
16 MRI study?

17 A Yes, that's what I just told the jury.

18 Q Did you encounter any complications during this  
19 procedure?

20 A Not that I recall.

21 Q Did you follow-up with Ms. Adams after this procedure?

22 A Yes. Routinely we will see the patient and follow-up  
23 after the surgery. Usually come back to the office around ten  
24 days, two weeks later to look at the wound. We usually start  
25 physical therapy around four to six weeks after surgery and

1 usually by three to four months the bones should have started  
2 to grow together really nicely. I get an X-ray the first time  
3 they come back to show the patient what surgery they had and  
4 then we get follow-up X-rays usually up to one year just to  
5 make sure everything is healing nicely.

6 Q Okay. From what I can see from your chart, the next  
7 time you saw her was on May 12th 2016.

8 A Okay.

9 Q And at the top of this note it says provider. It says  
10 Leeann Tricarico-Flooman. Do you see that PA?

11 A Yes.

12 Q Who saw the patient, Ms. Adams, on this date?

13 A Well, I always see my patients but I also have  
14 physician assistants that help me in the office and they  
15 usually will get things ready for me, start the note. For  
16 example, when a patient comes in after surgery they will take  
17 off the bandages, make sure everything is clean so I can come  
18 there and inspect the patient. So we have PAs that also  
19 assist.

20 Q Okay. Just to refer you to a portion of the note. It  
21 says patient complains of some occasional tingling at left  
22 wrist area, but otherwise denies any numbness, tingling or pain  
23 in the upper extremity. She admits to some upper back and  
24 shoulder tightness pain, but overall feels much improved as  
25 compared to preoperative status. So those complaints of

1       tingling at the left wrist and upper back and shoulder  
2       tightness, what is significant of those findings, those  
3       complaints?

4           A       Not too much significance, but the patient has some  
5       numbness and tingling of the nerve. Remember that nerve was  
6       irritated before the surgery by the disc interior. So it has  
7       some memory to it. If I put a fire cracker next to your ear  
8       right now and drive you a mile away, your ear still rings. So  
9       the nerves, when they're traumatized and shocked they have a  
10      little bit of memory to them. They see that sometimes.

11       Q       Okay. Bear with me one second.

12               Would it have been your practice to take an X-ray on  
13      this follow-up visit?

14       A       Yes.

15       Q       Okay. Just give me one second. I want to pull that  
16      up. Sorry. Just bear with me.

17               Okay. I'm going to show you what is one of the images  
18      marked as Exhibit 12. This is dated May 12th 2016. What are  
19      we looking at here?

20       A       We're looking at an X-ray which shows the bones of the  
21      neck and the skull and it shows the plate that we put in.

22       Q       Is the plate right here where I have the pointer over?

23       A       Yes.

24       Q       And this is another image from the same date. What  
25      are we looking at here?

1           A     Here we can see the vertebrae number two, three, four,  
2     five and six. Five and six is where the patient had surgery.  
3     This is the plate with the little screws that hold the two  
4     bones together, this little cube in here, the little sugar cube  
5     that I discussed earlier that acts like a little spacer.

6           Q     Based upon this X-ray how are things progressing at  
7     this time?

8           A     Fine. Everything looks good. The plate's position  
9     and graft is in proper position.

10          Q     Bear with me.

11                Okay. Doctor, I just want to show you a note for a  
12     visit that Ms. Adams had with you on September 13th 2018, two  
13     and a half years after your surgery. Did you continue to see  
14     her after the surgery between 2016 and 2018?

15          A     Yes.

16          Q     Okay. In terms of her fusion, how did that ultimately  
17     turn out, meaning did the vertebrae actually fuse?

18          A     That's my understanding. It did fuse. It did heal.

19                MR. CRAVEN: I'm sorry. He said something after  
20     that was my understanding. I didn't catch that.

21                THE WITNESS: Healed.

22                MR. CRAVEN: Thank you.

23          Q     So Doctor, if you can turn to your notes from  
24     9/13/2018.

25          A     Okay.

1 Q Okay. So the note says patient returns status post  
2 spinal fusion. She is in stable condition. She still has neck  
3 pain. Overall her condition has improved, however, she still  
4 does have pain.

5 Just moving a little bit further down your notes. For  
6 your neurological exam it says there was evidence of four over  
7 five muscle weakness in the right biceps, decreased sensation  
8 to pinprick and light touch in the right C5 distribution,  
9 absent right biceps reflex. Do you see that?

10 A Yes.

11 Q What is the significance of those findings?

12 A She had permanent nerve damage and she will continue  
13 to have that.

14 Q But you're talking now, at least in this note, in the  
15 C5 distribution, whereas the surgery, before the surgery she  
16 was having issues with the C6 nerve.

17 A Yes. That's the nerve above it so that nerve appears  
18 to be irritated.

19 Q In your opinion was the findings that you stated here  
20 caused by the accident from November of 2014?

21 A Well, the accident occurred at C5-6. We discussed  
22 earlier the patient over time can develop problems at the  
23 levels above or below. So I think this might indicate that  
24 she's now starting to develop some mild irritation of the nerve  
25 above where she had the prior surgery.

1           Q     Okay. So just, you know, looking at -- you measured  
2     her range of motion on this date?

3           A     Yes.

4           Q     It says that you used a goniometer to do that. What  
5     is a goniometer?

6           A     It's a device that we use to measure the range.

7           Q     And with regard to her range of motion of her neck, in  
8     your opinion did she have objective limitations of range of  
9     motion in her neck?

10          A     Yes.

11          Q     Do you consider those limitations to be significant at  
12     this point?

13          A     Yes.

14          Q     In your opinion, Doctor, with a reasonable degree of  
15     medical certainty, were the limitations of her range of motion  
16     in her neck at this time in 2018 causally related to the  
17     accident of November 2014?

18          A     Yes.

19          Q     Bear with me one second.

20                   There's a note I'm pulling up from March 21st 2019.  
21     Patient returns today status post cervical fusion surgery. She  
22     is in stable condition. She continues to have good and bad  
23     days. Your exam is similar to the prior visit. There was  
24     evidence of four over five muscle weakness in the right biceps,  
25     decreased sensation to pinprick and light touch in the right C5

1 distribution. Absent right biceps reflex. Is this similar to  
2 findings you made on your prior visit?

3 A Yes.

4 Q In your opinion were these findings causally related  
5 to the accident in November 2014?

6 A Yes.

7 Q Just going down to your treatment and plan. At this  
8 point I would like the patient to obtain a new X-ray of the  
9 cervical spine. Future spine surgery is anticipated. Then it  
10 goes over the cost of it. When you say future spine surgery  
11 anticipated, what type of surgery are you referring to?

12 A The same surgery she had before but at a different  
13 level.

14 Q I'm sorry?

15 A The same surgery she had before but at a different  
16 level, if that levels been symptomatic.

17 Q And that's what you were talking about before because  
18 of the stresses placed on the levels above and below?

19 A Correct.

20 Q What is the cost generally for an anterior cervical  
21 discectomy and fusion?

22 A Well, these are the ranges of the fair health database  
23 for private insurance. So it's in the range for hospital,  
24 anesthesia, equipment, surgeon's fees, in the \$150,000 range.

25 Q With what frequency would a patient need to undergo

1 these additional surgeries?

2 A Well, I stated earlier, a twenty, thirty percent  
3 chance you can develop problems at the levels above and below  
4 where you have prior surgery and that can occur anywhere from  
5 five to fifteen years after the initial procedure.

6 Q Okay. Bear with me one second.

7 According to your records you most recently saw her on  
8 August 12th. I'm sorry. There's a note from -- let me go to  
9 the August. I'm sorry. Give me one second. You most recently  
10 saw her on August 19th 2021?

11 A Correct.

12 Q Okay. Your note states patient returns status post  
13 ACDF C5-6 on 5/4/2016. The patient reports worsening neck pain  
14 over the last few months, increased numbness and tingling to  
15 the upper extremities. What is the significance of those  
16 complaints?

17 A She still continues to have that numbness and tingling  
18 at the level above, and as a result of that I want her to get  
19 new pictures to see exactly what's going on.

20 Q When you say new pictures, meaning MRI?

21 A Yes.

22 Q So just going to the neurological exam for this most  
23 recent visit. Are your finding, more or less, the same as they  
24 were the prior visit?

25 A Correct.

1 Q Prior visits that we just discussed?

2 A Yes.

3 Q Okay. You write here the patient was viewed to be in  
4 excessive discomfort.

5 A Yes.

6 Q Where was that discomfort?

7 A Her neck.

8 Q And it says there was evidence of bilateral paraspinal  
9 musculator spasms.

10 A Yes.

11 Q Is that an objective finding?

12 A Yes.

13 Q And you said range of motion was painful.

14 A Yes.

15 Q Did you measure her range of motion on this visit?

16 A Yes, still a significant loss.

17 Q On the top you have what her range of motion was and  
18 on the bottom you have what the normal range of motion is.

19 A Yes.

20 Q Do you consider that to be a significant loss of range  
21 of motion of the neck?

22 A Yes.

23 Q At this point, given the fact that we're almost seven  
24 years after the accident, do you have an opinion, with a  
25 reasonable degree of medical certainty, as to whether her --

1 the condition of her neck is permanent in nature?

2 A It's absolutely permanent.

3 Q And can you explain that?

4 A We discussed this already. She already had surgery.

5 She lost motion. That's permanent. You can no longer put the  
6 real disc back where that disc was.

7 Q I just have a couple more questions and I will be  
8 done.

9 So Doctor, do you have -- and this may be similar to  
10 what I just asked you. Doctor, do you have an opinion, with a  
11 reasonable degree of medical certainty, as to whether the motor  
12 vehicle accident of November 25th 2014 caused Ms. Adams to  
13 sustain a permanent consequential limitation of use of a body  
14 organ or member?

15 A Yes.

16 MR. CRAVEN: Objection, your Honor.

17 THE COURT: Sustained.

18 Rephrase it.

19 Q Do you have an opinion, with a reasonable degree of  
20 medical certainty, as to whether the motor vehicle accident of  
21 November 25th 2014 caused her to sustain a permanent limitation  
22 of use of a body part?

23 A Yes.

24 MR. CRAVEN: Objection, your Honor.

25 THE COURT: What's the objection, counsel?

1                   MR. CRAVEN: Can we approach?

2                   (Whereupon, a bench conference was held off the  
3                   record outside the hearing of the jury.)

4                   MR. RUBIN: Your Honor, do you want me to restate  
5                   the question?

6                   THE COURT: It's up to you. You want to withdraw  
7                   your objection?

8                   MR. CRAVEN: I withdraw the objection if he's  
9                   going to rephrase it.

10                  THE COURT: Counsel, you can proceed.

11                  Q     Doctor, do you have an opinion, with a reasonable  
12                  degree of medical certainty, as to whether the motor vehicle  
13                  accident that Ms. Adams was involved in on November 25th 2014  
14                  caused her to sustain a permanent limitation of use of a body  
15                  organ or member?

16                  A     Yes.

17                  Q     And what is your opinion?

18                  A     My opinion is that she has lost permanent motion as a  
19                  result of the date of injury in that accident.

20                  Q     In your opinion did Ms. Adams sustain a significant  
21                  limitation of use of a body function or system as a result of  
22                  the accident of November 25th 2014?

23                  MR. CRAVEN: Objection, Your Honor.

24                  THE COURT: What's the objection?

25                  MR. CRAVEN: The same objection that I had

1 before.

2 THE COURT: Overruled.

3 You can answer.

4 A Yes.

5 MR. RUBIN: Thank you very much, Doctor.

6 THE COURT: Jurors, do you need a break or we can  
7 keep going? Keep going? Okay.

8 MR. RUBIN: Troopers.

9 THE COURT: Cross.

10 MR. CRAVEN: Thank you, Your Honor.

11 CROSS EXAMINATION

12 BY MR. CRAVEN:

13 Q Good morning, Doctor. How are you today?

14 A Good, sir.

15 Q As you previously testified to this jury, you have  
16 testified many times before. I ask that you answer all of my  
17 questions in a yes or no fashion. If you cannot do so, let me  
18 know. Is that fair?

19 A I will.

20 Q Thank you.

21 And I believe you testified that you previously have  
22 testified less than a handful of times each year; is that  
23 correct?

24 A I believe so.

25 Q Okay. And is it accurate that it's closer to --

1 excuse me -- closer to five to six times per year that you  
2 testify?

3 A I don't keep track.

4 THE COURT: No. Wait. I didn't get that.

5 THE WITNESS: I can't answer yes or no.

6 Q Okay. And you have done so for over twenty years,  
7 correct?

8 A Yes.

9 Q And so you testified approximately 100 or more times,  
10 correct?

11 A That's possible.

12 Q Okay. And in each of those times it was for  
13 plaintiffs in lawsuits wherein somebody is seeking money,  
14 correct?

15 A Me representing my patients, yes.

16 Q Again, Doctor, I ask that you answer yes or no and, if  
17 you can't, you let me know that, okay?

18 A I will. Thank you.

19 Q So those more than 100 times it's more plaintiffs  
20 seeking money in lawsuits, yes or no?

21 A Yes.

22 Q Okay. And what percentage of your patients do you  
23 actually operate on?

24 A I can't answer that yes or no. I can answer it, but  
25 not yes or no.

1           Q     I'm sorry?

2           A     It's not a yes or no. I can answer it, but it's not a  
3 yes or no question.

4           Q     Fair enough. This is not a yes or no question.

5     What's the percentage of patients that you actually operate on?

6           A     I probably see -- I probably do anywhere from two to  
7 three hundred surgeries a year and I probably see with my PA  
8 assistants over two thousand patients a year. So that's  
9 probably ten percent.

10          Q     And what's the percentage of individuals -- strike  
11 that.

12                 And Doctor, you would agree with me that there's no  
13 difference between an orthopedist and orthopedic surgeon,  
14 correct?

15          A     Correct.

16          Q     And patients are referred to you from time to time; am  
17 I correct?

18          A     Yes.

19          Q     And some come from doctors, correct?

20          A     Yes.

21          Q     And some come from attorneys, right?

22          A     Yes.

23          Q     And, in fact, Ms. Adams was referred to you by her  
24 attorneys, correct?

25          A     I believe so.

1           Q     You believe so or you know?

2           A     I don't. I don't recall.

3           Q     Can you check your file to confirm?

4           A     Referral was Wingate Russotti Law Firm.

5           Q     And you knew when you first saw Ms. Adam the year and  
6 a half after the accident that she had a lawsuit, correct?

7           A     I don't recall at this time, but I know that she was  
8 involved in an accident, yes. I didn't know if it was a  
9 pending lawsuit. I don't always review the chart to see who  
10 had referred me the patient but, as we know now, yes, she was  
11 referred by her firm.

12          Q     When you saw her that first time you had no idea about  
13 the severity of the impact, if it was light, heavy, or  
14 something else, right?

15          A     I was aware of the mechanism of action of the van  
16 striking the right side of the vehicle, yes.

17          Q     You were aware that a van struck the vehicle, but you  
18 didn't know what side of the vehicle it was to; am I correct?

19          A     My understanding it was the right side of the vehicle.

20          Q     Can you take a look at your April 11th note and  
21 indicate to me if you actually put in your note that it was on  
22 the right side.

23          A     My note of August 19th '21 states that the patient was  
24 struck.

25          Q     Doctor, I didn't ask you that. I asked you about your

1 April 11th 2016 note. The very first time that you saw her you  
2 did not know the severity of the impact; am I correct, yes or  
3 no?

4 MR. RUBIN: Objection. That wasn't the question  
5 that was just asked.

6 THE COURT: That was the question, Counsel.

7 The objection is overruled.

8 A My notes indicate that she was a restrained passenger  
9 on the right front seat of her automobile struck by a van.

10 Q And again, you had no idea of the severity of that  
11 impact on April 11th 2016, correct?

12 A I don't recall the severity of the accident sitting  
13 here today.

14 Q You didn't put any note in your report as to the  
15 severity of the accident; am I correct?

16 A That's correct.

17 Q And you didn't put in your note whether or not you  
18 knew what portion of the vehicle was involved in the accident;  
19 am I correct?

20 A Not in that note.

21 Q And are you aware that at the scene of the accident  
22 the only complaint that she made was concerning her right  
23 shoulder, yes or no?

24 A No.

25 Q No, you're not aware?

1           A     I'm not aware that was just the right shoulder, no.

2           Q     I'm sorry. I didn't hear.

3                   THE COURT: I'm not aware it was just the right  
4                   shoulder.

5                   MR. CRAVEN: Thank you.

6           Q     Are you aware that she made no complaints about her  
7     neck at the scene of the accident?

8           A     That's possible.

9           Q     Are you also aware that she made no complaints of her  
10    neck at the hospital?

11          A     That's possible.

12          Q     Did you ever have any conversations with any of the  
13    doctors at Ralph Innovative Medicine?

14          A     Repeat that, please.

15          Q     Did you ever have any conversation with any doctors  
16    who she saw at Ralph Innovative Medicine?

17          A     No.

18          Q     Did you ever have a conversation with a Dr. Reyfman  
19    concerning Ms. Adams?

20          A     No.

21          Q     Did you ever review the emergency room records at LIJ?

22          A     I have had access to the records.

23          Q     Do you make any note in any of your reports that you  
24    actually reviewed the emergency room records from Long Island  
25    Jewish?

1 A No.

2 Q Okay. But you're going to tell this jury now that you  
3 actually have reviewed those records?

4 A I said I had access. Counsel, I specifically said I  
5 had access to the records.

6 Q Fair enough. Given that you had access, did you  
7 actually access them to look at them?

8 A Yes.

9 Q Did you make any note concerning anything that you saw  
10 in those records that you're telling us that you saw?

11 A Not in these records.

12 Q In any records?

13 A The records in my mind, yes.

14 Q In your mind. As you sit here today, do you have  
15 recollection --

16 THE COURT: Wait. No. She's not getting it.

17 What's your question? What's your question?

18 MR. CRAVEN: I'm sorry. Can you have it read  
19 back?

20 THE COURT: Can you read back the last question,  
21 please.

22 (Whereupon, the requested portion was read back  
23 by the court reporter.)

24 A In my mind, yes. I have the records given to me last  
25 night and I have reviewed them.

1           Q     So last night was the first time that you saw the  
2     emergency room records; is that correct?

3           A     I just stated that, counsel.

4                    MR. CRAVEN: I didn't --

5                    THE COURT: I just stated that, counsel.

6                    MR. CRAVEN: Thank you.

7           Q     And am I correct other than the MRIs and other than  
8     perhaps something you saw last night, you never saw any of her  
9     records that predate her first visit with you?

10          A     No, I believe that to be inaccurate.

11          Q     What other records did you see other than the MRI that  
12    predate her visit with you?

13          A     Specifically sitting here today I don't have a clear  
14    recollection of the records I reviewed five years ago. It's my  
15    usual and customary fashion to review records and I believe I  
16    have done so in this case.

17          Q     You believe or you know?

18          A     I believe.

19          Q     And isn't it good medical practice to list in your  
20    report what other medical records you have seen?

21          A     No.

22          Q     Well, you found it important to put in your report  
23    that you reviewed the MRI study, correct?

24          A     Because the MRI is my road map when I'm going to do  
25    surgery. I need to know what I am going to encounter.

1           Q     And yet you didn't put in your report any other  
2 records that you may have possibly looked at?

3           A     No, because they are in and of them self a record.  
4 That doesn't determine what I'm going to be doing when I have  
5 to go in and do my surgery.

6           Q     But doesn't that have anything to do with your opinion  
7 as to causation?

8           A     As I stated, counsel, after reviewing records last  
9 night, sitting here as an expert I believe, within a reasonable  
10 degree of medical certainty, that this accident was the  
11 competent causing factor that caused my patient to sustain that  
12 injury that ultimately required surgery.

13          Q     Well, you actually made that conclusion that it was  
14 causally related back in 2018, right?

15          A     Yes, because the patient had a point in time where she  
16 had an accident. She never had problems before. She was never  
17 treated before and subsequent to that she developed her  
18 problems.

19          Q     But you didn't look at the hospital record back in  
20 2018, did you?

21          A     That's inconsequential. I believed that my patient  
22 was in the accident. We're here because you know that she was  
23 in the accident and we know that she developed problems after  
24 the accident.

25          Q     We know that she complained about problems, but we

1    don't know that she developed them. That's what we're waiting  
2    for the jury to determine at the end of the trial. Is that  
3    fair enough, Doctor?

4            A    That's fine.

5            Q    And you first saw her a year and a half after the  
6    accident, correct, on that April 11th date?

7            A    That's what the record shows.

8            Q    Does your mind tell you something different?

9                    THE COURT: You can answer.

10          A    That's what the record shows.

11          Q    And I'm asking you, does your mind tell you something  
12    different?

13          A    Are you inferring that my mind would match a different  
14    date than is put in the record?

15          Q    Well, you previously told me that you have  
16    remembrances in your mind that aren't in the records, so I just  
17    want to clarify.

18          A    I don't understand your question.

19          Q    Fair enough. Do you have a memory of seeing her at  
20    any time before April 11th 2016?

21          A    I have never seen this patient before the first visit  
22    I saw her.

23          Q    And at that time on April 11th 2016 did you know that  
24    she only had approximately three and a half months of what  
25    we'll call conservative treatment?

1 A Yes.

2 Q You knew that?

3 A I believe so, yes.

4 Q How did you know that?

5 A Because she informed us she had undergone conservative  
6 treatment.

7 Q Did she tell you the extent of the treatment she had?

8 A I don't recall sitting here today.

9 Q Did she tell you what treatment she had?

10 A My notes from 2016 indicate she denies prior history  
11 of her present symptomology. To date the patient has exhausted  
12 conservative treatment.

13 Q What does exhausted conservative treatment mean to  
14 you, Doctor?

15 A That they tried physical therapy. They have tried  
16 pain management and that they continue to still have pain.

17 Q Okay. Are you aware that she didn't try any pain  
18 management?

19 A Because she didn't want to undergo the injection.

20 Q Okay. Are you aware that she had less than thirty  
21 visits of physical therapy in those three months?

22 A Am I aware of the exact number, no.

23 Q Did you recommend to her to try physical therapy  
24 before you actually were going to do the surgery?

25 A She already had it.

1           Q     Well, she had physical therapy for less than three and  
2     a half months immediately after the accident, but now you're  
3     seeing her a year and a half after the accident. Did you  
4     recommend that she try physical therapy?

5           A     No, because she already had physical therapy.

6           Q     Are you aware that she had no medical attention  
7     whatsoever in the year before seeing you?

8           A     As to those specifics, I'm not aware.

9           Q     Because you didn't look at the records, right?

10          A     Which records?

11          Q     Any of her records.

12          A     On the contrary I already stated to you it's my usual  
13     and customary practice to review records.

14          Q     It's your custom and practice, but that doesn't mean  
15     you did it in this case, correct?

16          A     It doesn't mean I didn't.

17          Q     But there's no record of you actually reviewing  
18     anything, right?

19          A     You have my records, counsel.

20          Q     I'm sorry?

21          A     You have my records.

22          Q     I do have the records and there's no record indicating  
23     that you looked at any of her records, correct?

24          A     The answer is there.

25          Q     It's yes or no.

1       A     I can't answer yes or no.

2       Q     Can you show me any of the records where you reviewed  
3     any records besides the MRI?

4       A     I stated, counsel, with your chuckle, that it's my  
5     usual and customary practice to review recordings.

6       Q     Just because something is usual and customary doesn't  
7     mean you did it. We're here so the jury gets a full picture.  
8     So I'm asking you --

9       A     It's my usual and customary --

10            MR. RUBIN: Objection.

11            THE COURT: The objection is sustained.

12            Next question.

13            MR. CRAVEN: Fair enough.

14       Q     The MRI that was done on January 29th 2015, there was  
15     no indication that there was trauma, correct?

16       A     Yes.

17       Q     And you indicated before that there was spurring,  
18     correct?

19       A     Yes.

20       Q     And spurring is a degenerative condition, you would  
21     agree with me?

22       A     Yes.

23       Q     And that has to do with the bone, correct?

24       A     Yes.

25       Q     And that happened over time, right?

1 A Yes.

2 Q And it happened way more than two months, correct?

3 A No. You can get spurring in a matter of a couple of  
4 months.

5 Q And generally speaking, the term degenerative means  
6 something that's long standing in nature as opposed to acute;  
7 am I correct?

8 A Exactly. Yes.

9 Q And you would agree with me, Doctor, that the MRI is  
10 the gold standard in diagnosing traumatic injuries to the  
11 spine?

12 A No.

13 Q You would not agree with me?

14 A Depends on the trauma you're talking about, counsel.

15 Q Doctor, do you recall giving trial testimony on  
16 September 13th 2021?

17 A No.

18 Q Do you recall a case Brian Durlack (ph) against Thomas  
19 Shade (ph) ?

20 A September 13, 2021?

21 Q September 13th 2021, about a month ago. Do you  
22 remember that?

23 A Maybe a video deposition, not in court, right?

24 Q I ask, do you recall giving trial testimony on  
25 September 13th 2021?

1           A     I gave testimony, but I don't remember. It wasn't in  
2 court.

3                   THE COURT: It wasn't. Okay. It's not in court  
4     but it's still testimony.

5                   Go ahead, counsel.

6           Q     And that testimony was videotaped to be presented to a  
7     jury at some other time, correct?

8           A     Correct.

9                   MR. CRAVEN: And page 133, Your Honor.

10                  THE COURT: What line?

11                  MR. CRAVEN: Line 11, Your Honor.

12                  THE COURT: Go ahead.

13           Q     Do you recall being asked this question and giving  
14     this answer about a month ago on September 13th:

15                  "Question: So you already told us that the MRI is the  
16     gold standard in diagnosing traumatic injuries to the spine  
17     because the MRI can visualize not only the bony structures that  
18     an X-ray can show, but also the soft tissues of the spine  
19     including the musculator, the ligament and the discs. Is that  
20     fair to say?

21                  Answer: Yes."

22           A     Yes.

23           Q     All right. So you would agree that the MRI is the  
24     gold standard, correct?

25           A     The MRI is good for looking at soft --

1 Q Yes or no, Doctor?

2 A If you really want the answer I will give you the  
3 answer.

4 Q I want you to answer yes or no. You would agree that  
5 the MRI is the gold standard in identifying a traumatic injury  
6 to the spine, yes or no?

7 A No.

8 Q And you testified about that a month ago; am I  
9 correct?

10 A Do you want the answer?

11 Q Did you testify to that about a month ago, yes or no?

12 A Can you let the jury hear the answer?

13 Q Did you testify to that a month ago, yes or no?

14 A I answered a month ago, yes.

15 Q Okay. And you would agree with me that disc hydration  
16 is a degenerative condition -- strike that.

17 You would agree with me that disc hydration loss is a  
18 degenerative condition, correct?

19 A Yes.

20 Q And that diminished disc space is also a degenerative  
21 condition?

22 A It can be an acute situation also depending on the  
23 size of the disc.

24 Q And, Doctor, we talked a lot about range of motion  
25 testing. That a subjective test, isn't it?

1 A Yes.

2 Q The patient has -- I'm going to put in quotes --  
3 "control over the extent to which they move", correct?

4 A Subjective.

5 Q Okay. And the goal of the surgery was to remove what  
6 has been called by you at times the pain generator, correct?

7 A Yes.

8 Q And you actually did remove the pain generator, the  
9 thing that was causing pain, correct?

10 A Yes.

11 Q And you did a good job, right?

12 A Yes.

13 Q Surgery was successful?

14 A Yes.

15 Q And these types of single level surgeries, the  
16 patients usually go home the same day of the surgery, right?

17 A When I do it.

18 Q I'm sorry?

19 A When I do it.

20 Q When you do it. Because you're good, right?

21 A Yes.

22 Q Okay. And, in fact, the plaintiff did go home the  
23 same day of that surgery, right?

24 A Yes.

25 Q And you would agree with me, Doctor, yes or no, that

1 the recovery time for the wounds to heal is about ten days?

2 A For the skin, yes.

3 Q And you would typically recommend that your patients  
4 start light activities ten days after the surgery, right?

5 A Yes.

6 Q And then you would recommend that heavy lifting can be  
7 done six to eight weeks after surgery, right?

8 A Yes.

9 Q And you would also recommend that the patients start  
10 physical therapy three to four months after the surgery,  
11 correct?

12 A If not sooner.

13 Q And why would you want the patient to undergo physical  
14 therapy three or four months after the surgery?

15 A Hopefully try to increase their strength, range of  
16 motion, things of that nature.

17 Q And are you aware that this plaintiff did not undergo  
18 any sort of physical therapy after the surgery, yes or no?

19 A No.

20 Q No you're not aware?

21 A No.

22 Q In any of her follow-up visits did she indicate to you  
23 that she started physical therapy?

24 A Counsel, I just answered no.

25 Q So you are -- okay. Perhaps it was a poorly worded

1 question. I apologize. Yes or no, are you aware that she did  
2 not have any physical therapy after the surgery?

3 A No, I'm not aware.

4 Q Are not aware. Doctor, I want to refer you to the  
5 July 25, 2016 note that was about two months after the surgery,  
6 right?

7 A Notes indicate for her to start PT.

8 THE COURT: I didn't get that, Doctor. The notes  
9 indicate --

10 THE WITNESS: Note on July 25th 2016, Your Honor,  
11 indicates for her to start PT.

12 THE COURT: To start --

13 THE WITNESS: PT.

14 THE COURT: PT. Physical therapy.

15 Q And then I want to refer you to your October 27th  
16 note. That was about five months after the surgery and she had  
17 not started physical therapy, correct?

18 A Yes.

19 Q And you were aware of that, right?

20 A My note indicates that.

21 Q So having looked at these notes, does that refresh  
22 your recollection as to whether or not you are aware that she  
23 did not do any physical therapy after the surgery?

24 A Well, as indicated, my physician's assistant saw the  
25 patient on that date and the note indicates that she's

1 recommended to start physical therapy. Do I recall whether I  
2 spoke to the patient regarding her not having started physical  
3 therapy on that date, I have no clear recollection of that  
4 conversation five years ago.

5 Q But your own report notes, quote, "She has not started  
6 PT", am I correct?

7 A Correct.

8 Q And when you saw her two years later in 2019, did you  
9 ask her if she had done any physical therapy?

10 A That's not documented in this note.

11 Q And when you saw her another year later in March of  
12 2020, did you ask her if she did any physical therapy?

13 A No.

14 Q And when you saw her this year in August, did you ask  
15 her if she did any physical therapy?

16 A Not that I recall.

17 Q And about three months after the surgery is when you  
18 would anticipate the bones to have fused, correct?

19 A Yes.

20 Q And they did, in fact, in this case, correct?

21 A Yes.

22 Q And in light of that you would expect that there would  
23 be no limitation in that area; am I correct?

24 A In the C5-6 area?

25 Q Yes.

1           A     How could you not have a limitation when that area is  
2     fused?

3                    MR. CRAVEN: Page 68.

4                    THE COURT: Line?

5                    MR. CRAVEN: I'm going to start at line seven,  
6     Your Honor.

7                    THE COURT: Go ahead.

8           Q     Doctor, again I'm reading from a transcript of last  
9     month on September 13th 2021. Just yes or no. Do you recall  
10   being asked this question and giving this answer:

11                    "Question: What's the recovery time for the cervical  
12   procedure you discussed, Doctor?

13                    Answer: Ten days for the wound to heal. Light  
14   activities within ten days. Heavy lifting six to eight weeks.  
15   Physical therapy around six to eight weeks. Takes about a full  
16   three or four months for the bones to fuse and then there  
17   shouldn't be any limitation for that area."

18                    Do you recall being asked that question and giving  
19   that answer?

20           A     Yes.

21           Q     Doctor, you would agree with me that because the  
22   surgery was a success, that when she reported to you that she  
23   was greatly improved, that would be expected, right?

24           A     Yes.

25           Q     And she actually did report to you or somebody in your

1 office that she was greatly improved a month after the surgery,  
2 correct?

3 A Yes.

4 Q And she reported that again in July of 2016, am I  
5 correct, that she was, quote, "greatly improved"?

6 A Yes.

7 Q Are you aware that she has not had any medical  
8 attention other than your follow-up visits since the date of  
9 the surgery?

10 A You asked me that question prior.

11 Q Well, I was asking you about medical attention before.  
12 But I will ask you now. So from May 4th 2016 up until today,  
13 in those five and a half years are you aware that she has not  
14 had any medical attention?

15 A I'm aware that she's followed up with me.

16 THE COURT: You're aware that --

17 THE WITNESS: I'm aware that she has followed up  
18 with me.

19 Q When you made your first -- strike that.

20 The first time that you made any causal connection  
21 between the accident and her condition was in September of  
22 2018; am I correct?

23 A What date did you just state?

24 Q I said September 13th 2018. That was the first time  
25 you ever made any causal connection between the accident and

1       her condition, correct?

2                    MR. RUBIN: Objection.

3                    THE COURT: What's your objection to that  
4       question?

5                    MR. RUBIN: Objection.

6                    THE COURT: What is the objection to that  
7       question?

8                    MR. RUBIN: The objection is, is he asking if  
9       that's documented or in his mind?

10                  THE COURT: No. Overruled.

11                  You can answer the question.

12       A       That question is actually false.

13       Q       Did you document anywhere before September 13th 2018  
14       your opinion as to the causation of her injury?

15       A       Absolutely.

16       Q       When did you first document that?

17       A       First visit, counsel.

18       Q       In that first visit, other than using the word  
19       resulting, do you make a causal connection between the accident  
20       and her complaints?

21       A       My notes clearly state: Based on this clinical  
22       evaluation, comma, the current problem is causally related to  
23       this accident.

24       Q       And that was on April 11th 2016?

25       A       April 11th 2016.

1 Q That was the first time you saw her, correct?

2 A Yes.

3 Q And that was without the benefit of looking at the ER  
4 record, correct, yes or no?

5 A I can't answer --

6 Q You didn't have the ER record back then?

7 A I can't answer it yes or no.

8 Q That was without the benefit of not knowing what  
9 portion of the vehicle was involved in the accident; is that  
10 correct?

11 MR. RUBIN: Objection, asked and answered.

12 THE COURT: Overruled.

13 A Repeat the question.

14 Q You made that assumption or opinion on April 11th 2016  
15 without the benefit of knowing what portion of the vehicle was  
16 involved in the accident, correct?

17 A I can't answer that yes or no.

18 Q And you made that assumption without knowing the  
19 severity of the impact; am I correct?

20 A I don't believe you're correct.

21 THE COURT: You don't believe what?

22 THE WITNESS: Read back the question.

23 THE COURT: No. What did you just say?

24 THE WITNESS: I responded to his question.

25 THE COURT: That's what I want to know. What did

1                   you say?

2                   THE WITNESS:  He said correct.  I said I don't  
3                   believe that's correct.

4                   THE COURT:  Okay.  Next question.

5                   Q        But I am correct that you did not document anywhere  
6                   that you knew the severity of the impact?

7                   A        That's correct.

8                   Q        And am I correct that you did not document anywhere  
9                   that you knew the portion of the vehicle that was involved in  
10                   the accident?

11                  A        My records do indicate that.

12                  Q        Okay.  On April 11th is that noted?

13                  A        That's correct.

14                  Q        And as of April 11th you did not document if you saw  
15                   any medical records that predated her visit with you other than  
16                   the MRI, correct?

17                  A        Correct.

18                  Q        And when you saw her in March 12th of 2020, last year,  
19                   the sole purpose for that visit was not for care or treatment,  
20                   it was for you to prepare a narrative report; am I correct?

21                  A        That's possible.

22                  Q        But am I correct?  I know it's possible.  I'm asking  
23                   you, am I correct?

24                  A        I answered the question, counsel.

25                  Q        The only reason why she came to see you last year in

1     March of 2020 was for you to prepare a narrative report, right?

2     A     As I just stated, that's possible, yes.

3     Q     And the only reason why she saw you in March of 2020  
4     was because this case was scheduled for trial back then,  
5     correct?

6     A     That's possible.

7     Q     Do you, in your mind, have a recollection of actually  
8     seeing her that day?

9     A     No.

10    Q     The record indicates that your PA saw her, correct?  
11    A     As usual my PA could have seen and started on that  
12    date, yes.

13    Q     And back on March 12th 2020 there was no immediate  
14    plan for any further treatment for her, correct?

15    A     Correct.

16    Q     And when you did see her back in March of 2020, she  
17    again reported that she had improvement of her symptoms,  
18    correct?

19    A     Yes.

20    Q     And when you saw her in March of 2020, she only had  
21    intermittent neck pain, correct?

22    A     Correct.

23    Q     You talk about the adjacent segment, also called the  
24    adjacent segment syndrome, correct?

25    A     Okay.

1           Q     I believe you testified in only twenty or thirty  
2 percent of people who undergo her surgery will actually have  
3 that issue, correct?

4           A     Yes.

5           Q     And it's only within that twenty or thirty percent of  
6 people that do have that issue would surgery then possibly be  
7 recommended?

8           A     Repeat that.

9           Q     Sure. Would you mind repeating it?

10                 THE COURT: Please.

11                 (Whereupon, the requested portion was read back  
12 by the court reporter.)

13           A     Correct.

14           Q     Doctor, you're aware of the term secondary gain; am I  
15 correct?

16           A     Yes.

17           Q     Would you agree with me that --

18           A     We're not taught that in medical school.

19           Q     So you do remember testifying. Fair enough.

20                 Secondary gain is when somebody wants something  
21 secondarily to whatever their complaints are. So, for example,  
22 if somebody goes to a doctor, the primary gain would be to take  
23 care of those symptoms. A secondary gain would be something  
24 else, correct?

25           A     Yes.

1           Q     And would you agree with me that a complaint of pain  
2 to a doctor, a secondary gain, could be financial reward?

3           A     Potentially if they know about that. But usually when  
4 patients present to me for quite some time having had an injury  
5 that MRI studies show it, clinically they show it, they  
6 clinically have a real problem.

7                    MR. CRAVEN: Thank you, Doctor.

8                    THE COURT: Redirect?

9                    MR. RUBIN: Yes. Thank you.

10          REDIRECT EXAMINATION

11          BY MR. RUBIN:

12           Q     Doctor De Moura, what is the significance of a patient  
13 such as Ms. Adams who, at the very time of the accident, the  
14 date of the accident, doesn't have neck pain but develops neck  
15 pain the next day; is that something that you see?

16           A     I see that very frequently. A patient is injured.  
17 Something else can overlap or mask what they have and  
18 subsequently they start developing symptoms at a later time.

19           Q     The fact that Ms. Adams at least in terms of  
20 documented pain in the neck only happened the day after the  
21 accident, does that effect your opinion that you gave earlier  
22 regarding causation?

23           A     No.

24           Q     You were asking questions about the MRI being gold  
25 standard and diagnosing injuries to the spinal and you wanted

1 to explain that. Well, I'm going to give you that opportunity.

2 Can you explain that?

3 A MRIs, as I showed earlier, MRIs are excellent for  
4 looking at the soft tissue of the spine. They also can show  
5 damage to the bone. But more frequently an X-ray or a CAT scan  
6 will show a fracture of the bone better than the MRI can. So  
7 that's my explanation.

8 Q You were read some testimony from the prior, I think,  
9 video deposition that where you said something to the effect  
10 that after you have a fusion, there's no limitation in that  
11 area. Can you -- do you agree with that?

12 A So it's common sense if you fuse the level at that  
13 specific level you can't have normal motion. It is effected by  
14 that surgery. But in my question -- answer to that question,  
15 after the patient had been to physical therapy, globally they  
16 should have global functioning or not be aware of that specific  
17 loss from that specific level that was fused during the  
18 surgery.

19 Q Doctor, to provide an opinion regarding causation  
20 between an accident and injury, is it necessary for you to know  
21 the severity or precise point of impact of vehicles?

22 A Sometimes I can add to the puzzle to figure out how  
23 the person got struck. But here I have a person who never had  
24 any problems before.

25 MR. CRAVEN: Objection, your Honor.

1                   THE COURT: Sustained.

2                   Next question.

3           Q     As far as the history that you took, did Ms. Adams  
4     have any issues, treatment or injury to her neck prior to the  
5     date of the accident?

6           A     None that I'm aware of.

7           Q     She never had any imaging done to her neck prior to  
8     that date?

9                   MR. CRAVEN: Objection, Your Honor, beyond the  
10    scope.

11                  THE COURT: Sustained.

12                  MR. RUBIN: Thank you very much.

13                  THE COURT: Thank you.

14                  MR. CRAVEN: Nothing further, Judge.

15                  THE COURT: Thank you, Doctor.

16                  You may step down.

17                   (Whereupon, the witness was excused from the  
18    witness stand.)

19                  THE COURT: So at this time we're going to  
20    adjourn for the day.

21                  Don't discuss the case. Don't come to any  
22    conclusions. We have issues with witnesses and their  
23    times they're able to come in so we'll be here tomorrow at  
24    9:30. Enjoy your evening.

25                  THE COURT OFFICER: All rise. Jury exiting.

1 (Whereupon, the jury exits the courtroom.)

2 MR. RUBIN: So Mr. Craven had objected to my  
3 questions regarding serious injury. Specifically I asked  
4 the questions pretty much part of what the statute -- what  
5 the insurance law provides, the serious injury provisions  
6 of the insurance law provide. So I asked it in that  
7 nature. There was an objection and I believe that  
8 objection at first was sustained and then --

9 THE COURT: It was sustained because you used the  
10 characterization permanent consequential. And when I  
11 charge the jury regarding consequential, I explain or  
12 define consequential. But then you went back and  
13 rephrased it as permanent limitation and I said that was  
14 admissible.

15 Go ahead.

16 MR. RUBIN: I don't believe there's any  
17 prohibition about using language that mirrors the statute.  
18 I don't think there's anything improper. In fact, I think  
19 it was entirely proper to use the term consequential.

20 I want to make a record of that objection and the  
21 judge's ruling on it.

22 THE COURT: Right. But what was objectionable is  
23 that consequential is not defined. When I give the charge  
24 I define consequential. The jury doesn't know what that  
25 is and that's why it's objectionable.

1                   MR. RUBIN: I used the term significant  
2                   afterwards.

3                   THE COURT: That was a different question.

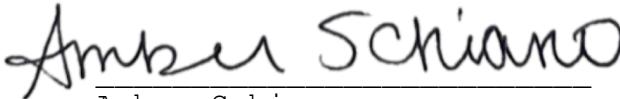
4                   Have a good evening. I will see you tomorrow at  
5                   9:30.

6                   (Whereupon, the matter was adjourned to October  
7                   19, 2021.)

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9                   C E R T I F I C A T I O N

10                  I hereby certify that the foregoing is a true and accurate  
11                  copy of the stenographic proceedings of the hearing held in the  
                      above matter.

12                    
13                  Amber Schiano

14                  Senior Court Reporter

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