

## AML For Attorneys at Law

### You Need to Avoid:

- ✓ Penalties
- ✓ Imprisonment
- ✓ Administrative Fines
- ✓ Deregistration



**Thursday May 28<sup>th</sup>, 2026**

**Time: 5:30pm – 7:30pm**

### Requirements under Proceeds of Crime Act – First Schedule

Do you prepare for, or carry out, transactions for their (your) clients concerning the following activities:

- a) buying and selling of real estate;
- b) managing of client money, securities and other assets;
- c) management of banking, savings or securities accounts;
- d) organization of contributions for the creation, operation or management of companies;
- e) creation, operation or management of legal persons or arrangements, and buying and selling of business entities.

**This AML Training Programme is For YOU**

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**TRAINING DATE**  
**THURSDAY MAY 28<sup>th</sup>, 2026**

## As an Attorney at Law performing the above activities, are you aware that:

- You now have five days to Report a Suspicious Activity to the FIUTT?
- You are subject to an Administrative Fine is you contravene Regulations – POCA, FIUTT, ATA, Proliferation Financing?
- Failure to rectify AML contravention or pay the Administrative Fine may warrant the matter to be reported to the Commissioner of Police
- If you knowingly make a misrepresentation to the FIUTT, you and the entity can be liable on summary conviction to a fine of \$250K + 2 years' imprisonment.
- Under POCA, a person can be charged for the Offence of Money Laundering once he deals / engages with Criminal Property (Benefit out of a Criminal Conduct).
- There can now be Undercover Operations to investigate ML and FT
- That you need to redo your CP due to numerous legislative and regulatory changes
- Listed Businesses can now have a Group Compliance Programme
- Listed Businesses now must perform an Independent Review on Legislation and reliability of its systems.
- If you are unable to apply CDD, you must not establish business relationship with potential customers, terminate existing accounts and report matter to CO
- You can now use electronic signature for electronic documents during CDD process
- For Business Customers identification, you must obtain the name of each director and senior managers responsible for the management of business operations.
- The quantum of Administration Fine is conditional on the materiality of contravention – Very Serious / Serious / Moderate
- Under ATA, reporting entities must freeze funds and file a report with the FIUTT one a customer is on the List.
- Reporting Entities must now adhere to Oral Instructions given by the Director of FIUTT – failure for not complying \$250K + 2 years
- Registration with the FIUTT is now valid for Five Years
- NRFI and Listed Business now have to submit financial statements to the FIUTT
- You must register with the FIUTT within 30 days of commencing business activities
- You must notify the FIUTT within 30 days of any changes in entity's name, registered office, nature of business, name of compliance Officer – Fine is \$20K
- You must develop a Compliance Programme in conformity with Counter Proliferation Act.

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## Attorney at Law – Legal Obligation

The Financial Intelligence Unit of Trinidad and Tobago (the “FIUTT”) has provided guidance on the requirements of the financial obligations under the Anti-Money Laundering /Counter Financing of Terrorism (AML/CFT) laws.

These laws and regulations apply to you if you are an Attorney-at-Law admitted to practise law in Trinidad and Tobago when you perform certain specified activities. It does not apply to Attorneys-at-Law employed by a public authority or in-house counsel.

If you are an employee of a sole practitioner or firm or partnership, these requirements are the responsibility of your employer but you as an employee will have internal reporting of suspicious transactions and terrorist property obligations in accordance with your employer’s compliance programme.

**“Attorneys-at-Law have a legal obligation to deter and detect money laundering and financing of terrorism activities”**

## AML For Attorneys at Law

This tailor-made AML Training For Attorneys at Law is designed to equipped Attorneys at Law and persons within the employ of Legal Practice or Chamber with the information and knowledge for them to be aware of their financial obligations and responsibilities under the Proceeds of Crime Act, Financial Intelligence Unit of Trinidad and Tobago Act, the Anti-Terrorism Act, and their respective Regulations

The discourse will provide participants with the necessary information and tools to establish systems, procedures and controls to meet AML/CFT obligations to avoid sanctions, penalties and possible imprisonment.

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## Areas to be Covered

<ul style="list-style-type: none"> <li>• ML in Perspective – Criminal Conduct / Criminal Property</li> </ul>	<ul style="list-style-type: none"> <li>• ML / FIU Activities in T &amp; T – Results from 2025</li> </ul>
<ul style="list-style-type: none"> <li>• AML Laws and Regulations (Including amendments and new laws)</li> </ul>	<ul style="list-style-type: none"> <li>• Regulator / Supervisory Authority / Compliance Examination / Enforcement</li> </ul>
<ul style="list-style-type: none"> <li>• Administrative Fines</li> </ul>	<ul style="list-style-type: none"> <li>• Penalties / Fines / Sanctions</li> </ul>
<ul style="list-style-type: none"> <li>• AML Obligations</li> </ul>	<ul style="list-style-type: none"> <li>• AML Issues relative to Attorneys at Law</li> </ul>
<ul style="list-style-type: none"> <li>• Record Keeping – Importance</li> </ul>	<ul style="list-style-type: none"> <li>• Independent Testing</li> </ul>
<ul style="list-style-type: none"> <li>• Reporting of SARs</li> </ul>	<ul style="list-style-type: none"> <li>• Compliance Officer</li> </ul>
<ul style="list-style-type: none"> <li>• Customer Due Diligence / Onboarding</li> </ul>	<ul style="list-style-type: none"> <li>• Compliance Programme</li> </ul>
<ul style="list-style-type: none"> <li>• List Checking</li> </ul>	<ul style="list-style-type: none"> <li>• Red Flags Cases</li> </ul>
<ul style="list-style-type: none"> <li>• Other Relevant Information</li> </ul>	

## Compulsory Training

Under Regulation 6 of the Financial Obligations Regulations:

**“The financial institution or listed business (Like Attorneys At Law) shall make arrangements for the training and ongoing training of the directors and all members of its staff .....**”

## Certificate of Participation

A certificate of participation will be provided to each participant.

## Cost / Investment

AML/CFT training is an investment in risk management to avoid penalties and fines for Attorneys At Law involved in the activities as stated above.

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**Fee Per Participant: TTDS\$400(VI)**

**The price includes:**

- Professional facilitation of training
- Extract of training material
- Certification of Participation – Attesting to having completed the statutory training
- Updates on new changes to legislation
- Opportunity to join our mailing list to receive relevant information

**Virtual / Online Platform**



**Payment Methods**

**For ACH Online Payment:**

Name of Bank: **Republic Bank Limited**  
Account Name: **NEM Leadership Consultants**  
Account Number: **090244847901**  
Account Type: **Chequing/Commercial**

**Credit Card Payment:**

**Kindly request Credit Card Link**



**Cheque Payment:**

Make cheque payable to NEM Leadership Consultants.

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