



“AML...A Strategic Priority”

Major Topics and Synopsis (Plus +)

Participants will hear from industry experts from the Caribbean, inclusive of AML/CFT/PF Practitioners, Regulators, Law Enforcement, CEOs, and qualified and experienced Compliance Professionals over a day and a half on topics such as:

1. Post FATCA Implementation ...What lessons have we learnt? Would the implementation of the Common Reporting Standards be more seamless?

The presenter will highlight some of the challenges encountered during the early phase of FATCA Implementation and will suggest ways on how participating financial institutions can overcome reporting issues. The presenter will also outline lessons learnt from FATCA and how these learnings can assist with effective implementation of Common Reporting Standards' Requirements.

2. Outcomes of Independent AML Assessments (Audits)...would this be the tool to evaluate the effectiveness of the Compliance Function / Compliance Officers?

Assessment of AML/CFT/PF legislation and guidelines have been a major vehicle used by Regulators and Board of Directors to evaluate the effectiveness of the Compliance Function.

Recently, the Accounting Bodies have revised the assessment methodology / framework for AML independent assessment. Would this new approach be used to evaluate Compliance Officers? Are C.O. under the radar? Find out more by attending this session on the outcomes of compliance audits.

3. FINTECH.... We know the risks but what about the solutions!!

Much has been said about FINTECH and the role it has played in the evolution on financial technology. In modernising its financial industry, jurisdictions have adopted FINTECH as the main vehicle for its transformation. While there are risks involved in this new phenomenon, have we counter these risks with appropriate measures. Find out more at TECH AML 2021.

4. Compliance Professionals Round Table Discussion - Learnings from challenges and practical approaches. Would the compliance function ever be regarded as strategic?

Compliance Professionals will share their experiences on the evolution of the compliance function by identifying challenges encountered and how they were able to overcome. They would also discuss strategies and articulate the building blocks on how anti money laundering could be considered a strategic priority.

5. CEOs Round Table Discussion – Is AML / CFT a strategic Priority? If no, why not? If yes, why?

Hear from experienced Caribbean CEOs on whether AML should be considered a Strategic Priority given the everchanging nature of money laundering and terrorist financing, and the possible impact on their organisations, if AML is not treated strategically.

6. Business Continuity Planning – The Policy is not enough – Let's go through the Phases.

There is no doubt that the world has experienced unrepresented shocks within the last eighteen months. Several organisations have been negatively impacted or have collapsed all together. A lack of business continuity planning has left many organisations wanting for survival. Would ill-intent persons / perpetrators take advantage of such vulnerability to commit illicit acts against organisations!! Find out why a BCP Policy alone is not the solution for sustainability – Let us walk you through the Business Continuity development phases?

7. Terrorism...Is it still a threat in the Caribbean? Have we dropped our guards too soon!!

While it appears to be a slowdown in terrorist activities, mainly through ISIS, there is a view that the Caribbean Region remains vulnerable towards terrorism, proliferation of financing, correspondent banking and other associated issues. Could this impact the way FIs and LBs conduct their business? Find out more at Tech AML 2021.

8. Regulators Round Table Session – How can FIs / LBs escape sanctions from Regulators?

It is expected that this session will assist reporting entities in furtherance of their awareness to treat the Compliance Function as a Strategic Priority.

Hear from a panel of Regulators on their expectations from reporting entities. What are the gaps / deficiencies? How can they avoid sanctions?

Find out more at Tech AML 2021.

9. Financial Crime Prosecution. Are we losing the battle?

Your counterpart from another Caribbean Country will also present and share kindred perspectives. Are the “Big Fishes” still escaping the legislative maze. Do we need more legislation / regulations? How can financial institutions / listed businesses assist to enable successful prosecution? What next can be done? Lending insights into these scenarios should allow for solution-oriented approaches towards white colour crime? Find out more from Caribbean Prosecutors - only at Tech AML 2021.

10. Watch List Checking...It is not as difficult as you think!

The Caribbean's leading Software developer will demonstrate a seamless solution to your onboarding and customer due diligence Watch List Checks.

The Emortelle Due Diligence (EDD) developed by Micro Software Designs Ltd is integrated with the Dow Jones - Risk and Compliance database to facilitate the seamless screening of a customer at the point of on-boarding, deposit taking and lending.

This integration ensures that Financial institutions adhere to legislative requirements since the Risk and Compliance database includes International Sanctions Lists; Sanctions Ownership Research data; Politically Exposed Persons and their related parties as well as Special Interest Persons internationally.

Look out for more insightful topic to be released soon!!

Prices and Benefits

In response to economic challenges throughout the region, participation fee per person to attend Tech AML 2021 Virtual Conference “AML...A strategic Priority” has been set as follows:

	Early Bird Special (By Feb 5, 2021)	Early Bird (Feb 6- 19th, 2021)	Regular From Feb 20 th
Per Person (US\$)	\$300.00	\$350.00	\$400.00
Or Per Person (TT\$)	\$2,040.00	\$2,380.00	\$2,720.00

Participants will benefit from:

- Certificate of Participation
- Individualized Letter to support Verifiable CPD Units
- Presentations Recording (For limited time on approval from Presenter)
- Panel Discussion
- Attendance at two Free Webinars in 2021 on AML Related topics
- Insightful information/ strategies on making AML ‘A Strategic Priority’.

[Book Your Space Today](#)

For more information visit www.nemlctt.com or send email to conference@nemlctt.com or call 1-868-222-8928

See You Online on March 16th – 17th 2021!

www.nemlctt.com

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