



ASCEND
A MAXIMUS COMPANY

Status Change PASRR



Objectives

After this presentation, the participant will understand:

- MDS guidance on new PASRR referrals
- How to determine if a resident has been identified by PASRR to have a mental illness, intellectual disability or related condition
- How to identify when a resident needs a new (RR, SC) PASRR referral
- What to do with the outcome determination (Summary of Findings) of the new (RR, SC) PASRR referral

We know you will have questions

- We have a lot of content to cover, so there will not be time for an interactive Q&A during this webinar
- Please submit your questions in the chat box
- We will compile your questions and provide a FAQ after this presentation
- If you have a question about a specific resident, here's who to call: Jody Zenn at 877-431-1388 ext. 3468
- Or, come to the onsite training in your area!
 - Tuesday, April 24, 2018 | Denison | Boulders Conference Center
 - Wednesday, April 25, 2018 | Waterloo | Waterloo Center for the Arts
 - Thursday, April 26, 2018 | Davenport | Steeple Gate Inn
 - Friday, April 27, 2018 | Des Moines | Grand View University

MDS Manual

- MDS Manual is a familiar resource to nursing home providers. It contains valuable instruction on:
 - When a Significant Change in Status Assessment (SCSA) is required
 - When a SCSA also requires a new PASRR
 - In other words, SCSA ≠ new PASRR
- MDS describes two strategies for identifying need for new PASRR referral:
 - For residents who **have NOT been** previously identified by PASRR to have mental illness, intellectual disability or related condition
 - For residents who **have been** identified by PASRR to have mental illness, intellectual disability or a related condition

NF Significant Change in Status SCSA VS PASRR Significant Change (MDS Requirements)

Nursing Home Requirement SCSA (A0310A=04)

- A comprehensive assessment for a resident that must be completed when the IDT has determined that a resident meets the significant change guidelines for either improvement or decline.
- A “**significant change**” is a decline or improvement in a resident’s status that:
 1. Will not normally resolve itself without intervention by staff or by implementing standard disease-related clinical interventions, is not “self-limiting” (for declines only);
 2. Impacts more than one area of the resident’s health status; and
 3. Requires interdisciplinary review and/or revision of the care plan.
- A *significant change may require referral for a Preadmission Screening and Resident Review (PASRR) evaluation if a mental illness, Intellectual and Developmental Disability, or condition related to Intellectual and Developmental Disability is present or is suspected to be present.*

Has the resident been identified by PASRR to have a SMI, ID or RC?



840 Crescent Centre Drive
Suite 400
Franklin, TN 37067
P: 1.877.431.1388
F: 1.877.431.9568

Name: FN88339 LN88339
Address: Lorem ipsum
City: Lorem i, IA 50211

Regarding: FN88339 LN88339
County: Warren
Date of Determination: 06/23/2017

Identifier: 3132
Mailing Date: 06/23/2017
Initial Clinical Information Gathered By:

PASRR NOTICE OF NURSING FACILITY APPROVAL

Ascend Management Innovations (*Ascend*) is under contract with the Iowa Department of Human Services, Mental Health and Disability Services, to perform PASRR (Preadmission Screening and Resident Review) evaluations. PASRR evaluations are federally required to help decide upon the best placement and services for persons who have disabilities [42 U.S.C. 1396r(e)(7)]. The results of that evaluation are:

1. You need the level of services provided in a nursing facility.
2. Specialized services for your behavioral health and/or developmental condition are not currently required.

Has the resident been identified by PASRR to have a SMI, ID or RC?

Person who has NOT been Identified

- Negative Level I
- Halted outcomes
 - Level II = No PASRR Condition
 - Level II = Dementia Primary

Person who HAS been Identified

- 30-day Exempted Hospital Discharge
- Categorical Outcome
 - 60-day convalescent
 - Terminal Illness
 - Etc.
- Full Level II Evaluation with Summary of Findings Report and Identified Services

PASRR decisions for residents who have not been previously evaluated through PASRR (MDS guidelines)

- *Note: this is not an exhaustive list*

1. Resident who exhibits behavioral, psychiatric, or mood related symptoms suggesting the presence of a diagnosis of mental illness as defined under 42 CFR 483.100 (where dementia is not the primary diagnosis).
2. Resident whose intellectual disability as defined under 42 CFR 483.100, or condition related to Intellectual and Developmental Disability as defined under 42 CFR 435.1010 was not previously identified and evaluated through PASRR.
3. Resident transferred, admitted, or readmitted to a NF following an inpatient psychiatric stay or equally intensive treatment.

Resident WAS NOT previously identified by PASRR

Type of Change	New PASRR Required	New PASRR NOT Required
Diagnosis	<ul style="list-style-type: none">• Discovery that a resident has a developmental disability that is suspected or known to have occurred prior to age 22• Discovery that the resident has an intellectual disability suspected or known to have occurred prior to age 18.• Discovery of a diagnosis of schizophrenia, schizoaffective disorder, schizophreniform, psychotic, or bipolar disorder	<ul style="list-style-type: none">• The individual's suspected developmental disability was confirmed to have onset after age 22• IQ testing refutes the presence of an intellectual disability or the intellectual disability was confirmed as occurring after age 18 and there is no related condition/developmental disability• <u>Anxiety or depression which is situational (e.g., occurring as a result of the person's medical condition or change in circumstances and which is short-term or effectively managed by the provider)</u>

Resident WAS NOT previously identified by PASRR

Type of Change	New PASRR Required	New PASRR NOT Required
<p>Behaviors, symptoms, or inpatient care</p>	<ul style="list-style-type: none"> • Unusual or problematic behaviors not due to a substantiated medical cause or dementia (e.g., hallucinations, delusions, rejection of care, or other mental health symptoms) • Threats or attempts at harm to self or others (ensure appropriate urgent treatment was accessed before reporting to Ascend) • Weight Loss/gain of 5% or more not due to a medical condition or MD orders • The individual was transferred, admitted, or readmitted to the NF from a psychiatric unit • Discovery (or progression) of a diagnosis of a mood disorder, depression, anxiety, or any other mental health condition and there is not a co-occurring, clearly progressed primary dementia, and the condition has <ul style="list-style-type: none"> • lasted longer than a situational condition • or is more severe than a situational condition • or which is substantially impacting the person's functioning • or which is not responding to NF arranged treatment • A PHQ 9 score indicating the presence of a previously undetected Major Depressive Disorder 	<ul style="list-style-type: none"> • Delirium or psychotic symptoms due to any medical condition • The individual was hospitalized for a medical condition with no significant impact on behavioral health

Resident WAS NOT previously identified by PASRR

Type of Change	New PASRR Required	New PASRR NOT Required
<p>Medications</p>	<ul style="list-style-type: none"> • Prescription of a psychotropic medication <i>not for</i> a medical/non-MH condition (not for dementia, tic disorder) • Increase of a psychotropic medication in <u>response to new symptoms or behaviors</u> that are not associated with a medical condition or dementia 	<ul style="list-style-type: none"> • Prescription of a psychotropic medication: <ul style="list-style-type: none"> ▪ <i>for</i> a medical/non-MH condition (e.g., dementia, tic disorder) ▪ And there is no other mental health diagnosis ▪ Or the medication is a prn psychotropic medication • Increase of a psychotropic medication <u>as a planned titration</u> for a condition that is one of the following: <ul style="list-style-type: none"> ▪ to treat situational depression or anxiety related to a medical condition and the condition is responding to treatment ▪ To treat primary dementia or delirium and there is no other mental health diagnosis • Initiation or completion of a Gradual Dose Reduction (GDR) of a mental health medication

PASRR decisions for residents who have been previously evaluated through PASRR (MDS guidelines)

- Note: this is not an exhaustive list
- Resident who demonstrates increased behavioral, psychiatric, or mood-related symptoms.
- Resident with behavioral, psychiatric, or mood related symptoms that have not responded to ongoing treatment.
- Resident who experiences an improved medical condition—such that the resident’s plan of care or placement recommendations may require modifications.
- Resident whose significant change is physical, but with behavioral, psychiatric, or mood-related symptoms, or cognitive abilities, that may influence adjustment to an altered pattern of daily living.
- Resident who indicates a preference (may be communicated verbally or through other forms of communication, including behavior) to leave the facility.
- Resident whose condition or treatment is or will be significantly different than described in the resident’s most recent PASRR Level II evaluation and determination. (Note that a referral for a possible new Level II PASRR evaluation is required whenever such a disparity is discovered, whether or not associated with a SCSA.)
- Previous authorization for a time-limited stay has ended

Resident WAS previously identified by PASRR

Type of Change	New PASRR Required	New PASRR NOT Required
Diagnosis Change	<ul style="list-style-type: none">• An additional or revised diagnosis of a mental health condition accompanied by symptoms or behaviors that were not addressed in the prior PASRR report and which may require a revision to the PASRR report and its identified services.• There is evidence that a co-occurring dementia may be the primary target of treatment	<ul style="list-style-type: none">• An additional or revised diagnosis of a mental health disorder and a modified PASRR report is not needed to reflect the change in service needs.

Resident WAS previously identified by PASRR

Type of Change	New PASRR Required	New PASRR NOT Required
<p>Behaviors, symptoms, or inpatient care</p>	<ul style="list-style-type: none"> • Change in baseline behaviors or symptoms not due to a substantiated medical cause • Attempt or reported intent to kill or harm himself or others (NOTE that immediate psychiatric intervention should precede PASRR evaluation) • The PASRR report is in place and is not working. • The PASRR report does not address the behaviors or symptoms the person is currently experiencing and PASRR Level II service identification needs to be revised. • Transfer, Admission, or readmission to NF from a psychiatric unit • A PHQ 9 score indicating the presence of a previously undetected Major Depressive Disorder <p>Note: Always report increased symptoms not responding to care. Ascend's Level I team determines whether the individual's needs are being met under the current PASRR. The Clinical Reviewer will review the PASRR report in light of the reported changes to determine whether or not the person's disability service needs are being met.</p>	<ul style="list-style-type: none"> • Symptoms or behaviors are not a change in baseline and the PASRR report adequately addresses the person's current PASRR disability needs (no need for new or different services to be identified)

Resident WAS previously identified by PASRR

Type of Change	New PASRR Required	New PASRR NOT Required
Medications	<ul style="list-style-type: none">• Prescription of a new psychotropic medication or increase in a current psychotropic medication that signals the need for more intensive psychiatric care or treatment than what is written in the current PASRR Summary of Findings/report.	<ul style="list-style-type: none">• Prescription of a new or increased psychotropic medication and the disability needs of the individual are addressed by the current PASRR report• Prescription of a PRN psychotropic medication to treat stress related to a medical condition (e.g., an anti-anxiety medication to help calm resident with COPD as he struggles to breathe)

Resident WAS previously identified by PASRR

Type of Change	New PASRR Required	New PASRR NOT Required
<p>Length of Stay, Community Transition, or Medical changes</p>	<ul style="list-style-type: none"> ● The individual has requested transition to the community since the PASRR Level II report was completed –and– either of the following: <ul style="list-style-type: none"> ○ the PASRR Level II report did not identify community placement support needs or ○ The individual’s community placement support needs have changed since the PASRR Level II report was completed ● Medical condition has worsened, and the individual cannot participate in the PASRR identified treatment; therefore, their disability service plan needs to be revised. ● Medical condition has improved and that change may affect either the PASRR required disability services or the PASRR decision to approve nursing facility care ● Short term stay authorization is pending expiration. 	<ul style="list-style-type: none"> ● A medical or functional change has occurred, but the previous PASRR Level II report contained findings that still apply and correspond with his disability needs. Note: a change in medical status alone does not warrant a new PASRR. The focus is on his disability placement and service needs and how they correspond with his functional and medical status. ● The individual has requested transition to the community; however, the previous PASRR summary contemplated community transition and the individual’s circumstances have not significantly changed since that report was completed

What happens after a new PASRR is initiated?

- Ascend Clinical Reviewer will evaluate the new PASRR referral and issue a new outcome determination
- Outcome determination = “No Status Change”
 - Prior PASRR = LII: the findings from the prior LII are still active and in effect
 - Prior PASRR = Negative: the negative finding still stands
- Outcome determination = “Level II Needed”
 - The new LII will identify the new PASRR Summary of Findings