A CALL FOR PARTICIPATION

for Official Birth Certificates
Guidelines for a uniform secure paper copy birth, adoption or death record
January 2017

NASPO International
204 E Street NE, Washington, DC 20002
(202) 608 1322
www.napso.info

Contact: Michael O’Neil, NASPO Intl President, and Convener
mikeo@napso.info
A CALL FOR PARTICIPATION IN THE DEVELOPMENT OF AN
American National Standard for Official Birth Certificates

CONTENTS

The Problem ........................................ Page 3
Seeking a solution .................................. Page 4
Scope Development ................................. Page 4
Who should join the Birth Certificate Project Page 4
Sponsor and Member Information ............... Page 5
Fee structure ........................................ Page 6
Membership application .......................... Page 7-8
About ................................................. Page 9
A CALL FOR PARTICIPATION IN THE DEVELOPMENT OF AN
American National Standard for Official Birth Certificates

It is the intent of NASPO, an ANSI Accredited Standards Developer, to create an American National Standard to be used in the standardization of evaluation, design, production and distribution of birth certificates

THE PROBLEM:
The establishment of trust for a birth certificate is built upon a knowledge that the document has been created and produced using specialized technology and knowledge to prevent or reduce the opportunity of fraud. The processes and procedures within this standard will establish trust between the issuers and the users.

The following issues have created significant flaws in many government and business transactions:

- Currently used birth certificates in the United States are subject to counterfeiting and false issuance.
- Authentic, properly issued birth certificates may not provide any security features that promote easy validation for field authentication.
- At present many businesses, employers, banks, insurance companies, educational institutions etc. are required to accept birth certificates that have no valid method of authenticating either the document or the data resident upon that document.
- These deficiencies in the ability to authenticate and verify the birth certificates put relying organizations and government agencies at risk.

Rapid development in digital technology, computers, desktop scanners, image manipulation software and inexpensive printers has made it possible for someone with minimal skill to create and present counterfeit documents whose falsity is undetectable.

The acceptance of counterfeit identity documents by financial institutions, corporations and government agencies creates an environment where an institution can be subject to citations and financial penalties by regulators for compliance failure.

SEEKING A SOLUTION

Although certain segments of the birth certificate production and issuance process rely on organizational "Best Practices and guidelines", a formal, and thorough standard does not exist for birth certificates. All "Best Practices" policies are basic guidance to help the specific sector gain some control over its documents. Because “Best Practices” are typically not created using the expert consensus process of ANSI, they fall short of being technically balanced or nationally recognized.

An American National Standard

There exists a need for a national standard; currently there is no nationally recognized standard for the evaluation, design, production and distribution of birth certificates. The practice of assuming a document is valid because it has the "look and feel" of a valid document allows for the opportunity of fraud to be accomplished. This assumption creates a risk for our society, economy and nation.

Design Concepts and Procedures

The creation of this Birth Certificate Standard will establish the best practices and procedures which will allow relying parties to have a substantially higher level of confidence that they are issuing or accepting a credible document.

Who should join the Secure Document project?
Any business, organization or government agency that produces, uses, issues or relies upon birth certificates.

**Identified interest groups and stakeholders:**
- Issuing Authorities
- Enrollment Authorities
- Relying Parties
- Citizen and Citizen Advocacy Groups
- Academia
- Special Interest Groups
- Security Printers
- Anti-Fraud Groups
- Law Enforcement
- Evidence Providers
- General Interest
- Security component suppliers
- Identity Adjudicators
- Custodians of Identity Information

**Proposed Scope Statement:**
Draft Scope for Birth Certificates
The scope of this standard will define minimum security requirements for the design, production, transportation of government issued birth certificates. The standard will not establish requirements for the handling of Personal Identity Information (PII) or the inputting of that data into the birth certificates.

**SPONSOR AND MEMBERSHIP INFORMATION**

The formation of this project must originate under the ANSI Essential Requirements for membership and participation. Members on the Birth Certificate standard development project are expected to participate fully by their attendance at meetings, voting, exchange of correspondence, financial and other obligations that may be appropriate. The rules of standard writing, under the ANSI process is a consensus process and NASPO will seek a balance of interest among the participants without the dominance of a single interest group.

The NASPO Chairman and Birth Certificate Standard Convener will review all applications as to relevant interest and make recommendations accordingly.

*The Birth Certificate Standard project may be limited in membership number based upon the reasonable ability to manage the interested parties group.*

**Membership Categories**

**Sponsorship - Membership and Benefits**
Sponsorship will recognize organizations that have a significant economic or social commitment to the prevention of fraud through the spread of counterfeit and altered birth certificates. These organizations, through their support, will be acknowledged as leaders in the fight against the fraudulent acts perpetrated by individuals and organization that prey upon our society.
Membership and Benefits

Members should have a strong interest in the development of a national Birth Certificate Standard. They must also be aware that there is international interest in this area.

The development of this standard will establish a set of requirements and provide a significant level of guidance for organizations involved in birth certificate production and design. While the outcome of the process is unknown, it is not unreasonable to think the standard will allow new revenue opportunities to be created.

Participation on the Birth Certificate Standard development project will allow members to directly express their interest and opinions into this standard through active participation and the comment process.

Membership Participation Fees
Fees are being established to support the administration of the project to cover administrative costs, meeting expenses and administrative travel expenses. They are established to support the activities of the project without undue financial barriers to participation.

**MEMBERSHIP FEE STRUCTURE**

<table>
<thead>
<tr>
<th>Members category:</th>
<th>Annual Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Corporate Over $500 Million in revenues</td>
<td>$2,500.00</td>
</tr>
<tr>
<td>Corporate Under $500 Million in revenues</td>
<td>$1,500.00</td>
</tr>
<tr>
<td>Trade Association</td>
<td>$1,500.00</td>
</tr>
<tr>
<td>Non-profit Organization — not representing ANY industry</td>
<td>$1,250.00</td>
</tr>
<tr>
<td>Non-US Based Observers</td>
<td>$1,500.00</td>
</tr>
<tr>
<td>General Interest (non-corporate)</td>
<td>$250.00</td>
</tr>
<tr>
<td>US Government, State, Federal</td>
<td>$250.00</td>
</tr>
<tr>
<td>Academia</td>
<td>$250.00</td>
</tr>
<tr>
<td>Law Enforcement</td>
<td>$250.00</td>
</tr>
<tr>
<td>State Vital Record, or Dept. of Health</td>
<td>No Fees</td>
</tr>
</tbody>
</table>

Individuals or non-profit organizations may appeal fees by contacting Mike O’Neil mike@napso.com

A 20% reduction will be given for members of NASPO International.
MEMBERSHIP APPLICATION

1. Please provide the following information for the primary member:

<table>
<thead>
<tr>
<th>Prefix – Dr., Mr., Mrs., Ms.,</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Individual's Name</td>
<td></td>
</tr>
<tr>
<td>Company/Organization/Entity</td>
<td></td>
</tr>
<tr>
<td>Telephone No.</td>
<td></td>
</tr>
<tr>
<td>Mobile No.</td>
<td></td>
</tr>
<tr>
<td>Email Address</td>
<td></td>
</tr>
<tr>
<td>Address Number &amp; Street</td>
<td></td>
</tr>
<tr>
<td>Suite No</td>
<td></td>
</tr>
<tr>
<td>City</td>
<td></td>
</tr>
<tr>
<td>State / Province</td>
<td></td>
</tr>
<tr>
<td>Country</td>
<td></td>
</tr>
<tr>
<td>Zip Code</td>
<td></td>
</tr>
</tbody>
</table>

2. Please select an Interest Category that reflects the interest represented by your participation.

- Sponsorship
- Corporate
- Trade Association
- Non-profit Organization
- General Interest
- Government
- Academia
- Law Enforcement

Statement of Interest

Please provide a brief statement of interest in support of your participation and/or sponsorship of the NASPO Standards Committee.
Please mail your Application with your check or Purchase Order to:

NASPO INTERNATIONAL Treasurer
204 E Street NE
Washington, DC 20002

OR payment can be made on-line at www.naspo.info/catalog/project

Sponsorship Application

Please Remit Applications to: I enclose payment using:

1) Company Purchase Order # ________________________

Or

2) Check enclosed totaling (U.S.) $ ________________________________


Please mail your Application with your check or PO to:

NASPO Treasurer
1568 Rio Valley Drive
Clive, IA 50325
The American National Standards Institute, ANSI

As the voice of the U.S. standards and conformity assessment system, the American National Standards Institute (ANSI) empowers its members and constituents to strengthen the U.S. marketplace position in the global economy while helping to assure the safety and health of consumers and the protection of the environment.

The Institute oversees the creation, promulgation and use of thousands of norms and guidelines that directly impact businesses in nearly every sector: from acoustical devices to construction equipment, from dairy and livestock production to energy distribution, and many more. ANSI is also actively engaged in accrediting programs that assess conformance to standards — including globally-recognized cross-sector programs such as the ISO 9000 (quality) and ISO 14000 (environmental) management systems.

For more information about ANSI visit www.ansi.org.

NASPO International

NASPO International is an ANSI-accredited Standards Development Organization (SDO) based in Washington, D.C. Its members include Fortune 500 companies, commercial, industrial, government and non-governmental organizations (NGOs). NASPO maintains the ANSI/NASPO Security Assurance standard (ANSI/NASPO-SA-2008) and certifies organizations to one of three levels of security assurance. On behalf of ANSI, NASPO acts as secretariat of the International Organization for Standardization, ISO, Technical Committee on Fraud Countermeasures and Controls (ISO TC 247). NASPO also administers the ANSI-accredited United States Technical Advisory Group to ISO/TC 247 and ISO PC 246. NASPO has launched a consensus body supporting the creation of an American National Standard (ANS) to define minimum requirements for proof and verification of personal identities, NASPO Identity Proofing and Verification Standard (IDP-V).

For more information about NASPO visit www.naspo.info.

The Birth Certificate Standard Project

The Birth Certificate Standard Project is a registered American National Standard project. In keeping with ANSI protocols, this project is open to all stakeholders. Participation by key stakeholders is encouraged. Representatives of stakeholders may attend meeting and give input. The Standards Committee is seeking to include a reasonable cross section of stakeholder from all affected groups however the committee will be limited to a manageable size. All input will be reviewed by the Standards Committee and the Convener will strive to facilitate consensus. The final draft standard will be distributed for public review and any comments will be reconciled.