

Date: February 9, 2018
Atlanta IIA Chapter Meeting

Program Theme: Managing Fraud and Compliance Risk

Program Agenda

11:30 – 12:00	Registration, lunch and networking
12:00 – 12:10	IIA Chapter Announcements
12:10 – 1:00 PM	<p>Measuring compliance and anti-fraud effectiveness – results from EY’s 2018 Global Forensic Data Analytics Survey</p> <p>In January 2018, EY released the findings from our latest Global Forensic Data Analytics (FDA) Survey. In this report we have analyzed the responses of some 745 executives, including 230 internal audit executives, from 18 countries who generously shared their perspectives. Through this analysis, we can see specific ways in which companies can measurably improve their compliance and fraud risk programs, as well as the maturity level of their FDA capabilities. For benchmarking within your own program, key findings we will share in this presentation include:</p> <ul style="list-style-type: none"> • We are in an era of rapid forensic data analytics advancements, particularly in artificial intelligence, machine learning and automation • Technology advancements require bespoke skill sets for deeper insights • Aggregating data from disparate sources is complex yet essential and requires energetic leadership support • Data privacy has become one of the most vexing risk areas and further complicates cross-jurisdictional compliance • Businesses are using the power of FDA to transform their risk functions in a way that was never previously possible <p>Speakers:</p> <ul style="list-style-type: none"> – Nate Tabler EY Senior Manager Fraud Investigation and Dispute Services – Taimur Ghaznavi EY Manager Fraud Investigation and Dispute Services
1:00 – 1:10PM	BREAK
1:10 – 2:00PM	<p>How can Internal Audit help with Tax Reform?</p> <p>On December 22, 2017 the “Tax Cuts and Jobs Act” was signed into law. These reforms will have significant impact on the tax strategies for businesses and individuals, and will have implications across operations and financial reporting for most organizations. In this session we will focus on what specifically “tax reform” entails, it’s impact on the business, and strategic insights for where Internal Audit can play a key role in compliance.</p> <p>Speaker:</p> <ul style="list-style-type: none"> – Adam Bean, CPA EY Partner Tax
2:00 – 2:10PM	BREAK
2:10 – 3:00	<p>Risk based segregation of duties and access risk management – Demystified</p> <p>The cost of fraud and other control failures is quantifiable and can be documented in terms of tangible monetary impact. This, and other costs such as those below can be modeled to establish pre-defined thresholds of value, risk, loss probability.</p> <ul style="list-style-type: none"> • Investigative, remediation costs; regulatory fines • Diminished shareholder value • Missed business opportunities • Costs to recover from reputational damage • In this session, learn to define a risk-based approach to hone in on the risks that truly threaten an organization’s value, undermine the compliance efforts. <p>Rather than automate an ineffective approach with a GRC solution, this presentation will determine a balanced way to isolate and manage access risks that reflects the value that is being protected.</p> <p>Speaker:</p> <ul style="list-style-type: none"> – Nithin Kotla, CISA EY Senior Manager Risk

Speaker Bios –



Nate Tabler

EY Senior Manager | Fraud Investigation and Dispute Services

Nate Tabler is a Senior Manager in EY's Fraud Investigation & Dispute Services practice and has over 15 years of experience providing technology and eDiscovery services to corporate clients in response to litigation, investigative, or regulatory-driven matters. Nate's areas of focus include: cross-border eDiscovery, eDiscovery response planning, incident response, information governance, and forensic data analytics. He has testified regarding the protocols utilized for data preservation, collection and production of electronically stored information for purposes of litigation. He has also served as a 30(b)(6) technology deponent on behalf of a federal agency.



Taimur Ghaznavi

EY Manager | Fraud Investigation and Dispute Services

Taimur is a Manager in EY's Fraud Investigation and Dispute Services practice and focuses on eDiscovery, data analytics, and cyber security.

He's partnered with in-house and outside counsel to manage eDiscovery for complex, high-profile matters including global litigation, DOJ antitrust and due diligence requests, SEC regulatory matters, and cross-border FCPA internal investigations. Taimur has experience across the pharmaceutical, automobile, financial, consumer products, and healthcare industries, including trademark infringement and IP theft within many of these sectors. He uses best in breed technology and leading practices to help law firms and in-house counsel solve complex problems in a defensible and cost effective manner. He is passionate about continuously learning new technologies and strategies.



Adam Bean, CPA

EY Partner | Tax

Adam is a Partner in EY's Tax practice and serves as the Southeast Area Global Reporting and Compliance Leader. In this role he provides services related to global tax accounting and compliance, IFRS assistance, tax internal controls and tax process improvement. He has experience with various entity types including C-Corps, S-Corps and LLCs across a variety of industries.

Adam has experience in IRS controversies, merger and acquisitions, state and local tax, FAS 109 (ASC 740), SOA 404, FAS 123R, FIN48, IFRS and implementation of tax planning strategies. He is a certified public accountant in Tennessee, Georgia and North Carolina.



Nithin Kotla, CISA

EY Senior Manager | Risk

Nithin Kotla is a Senior Manager in EY's Advisory Services practice of Ernst & Young LLP. As a member of this group, Nithin advises clients on enhancing Risk posture; manage complex issues around Information System security, ERP implementation, Identity management, business process controls, IT audits. He has helped organization's achieve increased benefits from leveraging risk as an opportunity, delivered compliance initiatives through the design of risk based application security, enablement of GRC, Identity Management technologies. Nithin has led many complex engagements including technology enablement efforts, SAP security redesign, risk analysis, SAP compliance, and organizational design, infrastructure security and sensitive data handling solutions.