



Community Bank Regulatory Compliance Conference

Wednesday, March 22, 2017—Rochester
Thursday, March 23, 2017—Castleton (Albany)

Where:

Rochester Airport Marriott
1890 W. Ridge Road
Rochester, NY 14615
(585) 225-6880

Castleton Comfort Inn & Suites
99 Miller Road
Castleton, NY 12033
(518) 479-3217

Who should attend:

- ⇒ CEOs
- ⇒ Compliance Officers
- ⇒ BSA Officers
- ⇒ Sr. Lending Officers
- ⇒ Inside/Outside Counsel
- ⇒ Employees with lending responsibilities
- ⇒ Employees with risk management responsibilities

EARN UP TO 6.0 CPE HOURS

IBANYS has been authorized by the NYS Department of Education to award continuing professional education (CPE) credits.

Registration Fees:

Members: \$275
Non-members: \$325

(see page 3 to register)

Community Bank Regulatory Compliance Conference

OVERVIEW

Compliance issues pose a serious and common challenge to New York community banks. IBANYS' Compliance Peer Group, comprised of the compliance officers of member banks, has helped design this program examining the "hot button" issues we face, including risk management, cyber security, CRA/fair lending/community development loans, flood insurance, HMDA, BSA/AML and complaint management -- all discussed through a combination of presentations by federal and state regulators, outside consultants and panel discussion with regulators.

Agenda:

- 8:30—9:00 a.m. **Registration/Continental Breakfast**
- 9:00—9:50 a.m. **Current Issues Facing Financial Institutions**
The presentation focuses on current issues facing financial institutions today, including (i) Bank Secrecy Act/Anti-Money Laundering, (ii) Consumer Compliance, (iii) Data Security, (iv) Enterprise Risk Management, (v) Vendor Management, (vi) Corporate Governance and (vii) Emerging Supervisory Issues.
Speakers: Jeffrey Cardone, Esq., Partner & Ben Azoff, Esq. Partner — Luse Gorman, P.C.
- 9:50—10:40 a.m. **Update on 2017 Federal Regulatory Perspective**
This session will provide updates on “hot button” examination and regulatory issues, including HMDA, Private flood insurance and AML/BSA, from the federal perspective.
Speaker: Ronald Castrichini, National Bank Examiner — Office of the Comptroller of the Currency (OCC) - (Rochester)
Speaker: Laura M. Lewis, Community Bank Compliance Officer for Northeastern District — OCC – (Albany)
- 10:40—10:50 a.m. **Refreshment Break**
- 10:50— 11:40 p.m. **Continuation: Update on 2017 Federal Regulatory Perspective**
Speaker: John Vogel, New York Regional Director — Federal Deposit Insurance Corporation (FDIC) - (Rochester)
Speaker: Scott Strockoz, New York Regional Deputy Director — Federal Deposit Insurance Corporation (FDIC) - (Albany)
- 11:50— 12:45 p.m. **Networking Lunch**
- 12:45— 1:35 p.m. **Update on 2017 New York State Regulatory Perspective**
This session will provide updates on “hot button” examination and regulatory issues, including risk management oversight and HMDA from the New York State perspective.
Speaker: Yolanda Ford, Deputy Superintendent, and Mitchell Kent, Director and Counsel for Compliance and Regulatory Policy — NYS Department of Financial Services
- 1:35— 2:25 p.m. **ADA Website Compliance**
Review ADA standards and the options and benefits of being ADA compliant.
Speaker: Charlie Graham, Founder & Creator — Volum8
- 2:25—2:35 p.m. **Refreshment Break**
- 2:35— 3:25 p.m. **Incentive Compensation Practices**
Speaker: Peter Rossi, Manager, Internal Audit Assurance Group — Wolf & Company, P.C.
- 3:25— 4:00 p.m. **Panel Discussion with Regulators**
DFS, OCC, FDIC regulators will respond to questions provided to regulators prior to the meeting.
Moderator: Stephen R. King, JD, AMLP, Member of the Firm — Wolf & Company, P.C. (IBANYS Preferred Partner)
- 4:00 p.m. **Adjourn**

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Registration Form:

Complete the information below and mail,
fax or email to: **Please check date & location**



Mail: IBANYS
19 Dove Street, Suite 101
Albany, NY 12210

Fax: (518) 436-4648
OR
E-mail: lindag@ibanys.net

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Bank/Organization: _____

Address: _____

City/State/Zip: _____

Phone: _____ **Fax:** _____

Attendee Name: _____ **Title:** _____

Email: _____

Attendee Name: _____ **Title:** _____

Email: _____

Attendee Name: _____ **Title:** _____

Email: _____

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☐ **Member: \$275 per person**

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Enclosed is a check for \$_____, OR charge my credit card \$_____ **TOTAL Attendees** _____

Visa/Mastercard/AMEX: _____

Cardholder Name: _____ **Expiration Date:** _____

Address of credit card (if different then above) _____

CVV (last 3 numbers located on back of card) _____ **AMEX (4 digits on front of card)** _____

Questions:

Contact: Linda Gregware:
lindag@ibanys.net or (518) 436-4646

Registration deadline:

Wednesday, March 15, 2017

**No refunds will be given after Wednesday, March 15, 2017.

Form may be copied for additional registrants.