TAYLOR
FINANCIAL GROUP, LLC
THE RIGHT PARTNER CAN MAKE ALL THE DIFFERENCE

Confidential Client Profile

Client Name

Client Signature

Advisor Name

Date

CONFIDENTIAL PROFILE

This comprehensive, personal wealth planning summary is designed to help you take inventory and assign realistic values to your personal assets and liabilities. It is the essential first step in organizing a sensible wealth plan for your future. Once you have completed the following information, please return this packet in the enclosed, postage-paid envelope.

FAMILY INFORMATION

Your Name | Nick Name | Age | Birth Date | Social Security # (Optional)

Spouse's Name | Nick Name | Age | Birth Date | Social Security # (Optional)

Wedding Date

Children's Names & Birth Dates:

1) ____ 3) ____ 5) ____

2) ____ 4) ____ 6) ____

Residence Address | City | State | Zip Code

Mailing Address | City | State | Zip Code

Home Phone | Cell #1 | Cell #2 | Fax

Email #1 | Email #2

Referred By ____ Client Name ☐ Web ☐ TV ☐ Radio ☐ Print ____ Publication

OCCUPATION

Your Job Title | Employer (last, if retired) | # of Years | Work Phone | Retirement Date

Spouse's Job Title | Employer (last, if retired) | # of Years | Work Phone | Retirement Date

Privacy Policy – Our Commitment to You: *We treat your non-public personal financial information with confidentiality and respect. Our Privacy Policy defines the trust, privacy, and confidentiality we have with our clients. Our Privacy Policy is reasonably designed to:*

1. *Insure the security and confidentiality of your records and information;*
2. *Protect against anticipated threats or hazards to the security or integrity of your records and information; and,*
3. *Protect against unauthorized access to or use of your records or information that could result in substantial harm or inconvenience to you.*

WHAT IS IMPORTANT ABOUT MONEY TO EACH OF YOU?

1) ______________________________ 1) ______________________________

2) ______________________________ 2) ______________________________

3) ______________________________ 3) ______________________________

4) ______________________________ 4) ______________________________

WEALTH PLANNING

Focusing on holistic Wealth Planning also encompasses non-financial objectives. A Wealth Plan is a GPS designed to effectively guide you toward your life goals.

What do you value most in life?

What do you ultimately want to achieve in your life?

What is the vision for your future?

BACKGROUND

General

Are you anticipating any major lifestyle changes? (i.e., marriage, divorce, retirement, move, etc.) ☐ Yes ☐ No ☐ Uncertain

If yes, what changes are you expecting and when? __________

Are you comfortable with your current cash flow? ☐ Yes ☐ No ☐ Uncertain

Health

Current height __________ Current weight __________ Smoker? ☐ Yes ☐ No

List of medications currently taking: __________

Retirement Planning

What minimum after-tax income will you need at retirement (in today's dollars)? $ __________

If you plan on working during retirement, estimate your anticipated income: $ __________

Are you contributing to a traditional IRA? ☐ Yes ☐ No ☐ Uncertain

Are you contributing to a Roth-IRA? ☐ Yes ☐ No ☐ Uncertain

Are you covered by any company retirement plans? ☐ Yes ☐ No ☐ Uncertain

Type of company retirement plan, value, and annual contribution? __________

Protection

Do you carry individual long term disability? Amount? $ __________ ☐ Yes ☐ No ☐ Uncertain

Do you have adequate personal liability coverage? Amount? $ __________ ☐ Yes ☐ No ☐ Uncertain

How much life insurance do you carry? Amount? $ __________ ☐ Yes ☐ No ☐ Uncertain

Do you own long-term care insurance? Amount? $ __________ ☐ Yes ☐ No ☐ Uncertain

Is employer-provided life, long term disability, or long term care available to you? Amount? $ __________ ☐ Yes ☐ No ☐ Uncertain

Estate Planning

When were your current wills/trusts signed? __________

Have you established any trusts? ☐ Yes ☐ No ☐ Uncertain

Are you the beneficiary of any trusts? ☐ Yes ☐ No ☐ Uncertain

Have you adequately planned for estate taxes? ☐ Yes ☐ No ☐ Uncertain

Have you provided adequate estate liquidity for your heirs? ☐ Yes ☐ No ☐ Uncertain

Have you planned your legacy? ☐ Yes ☐ No ☐ Uncertain

Concerns

Please list your current concerns, financial or otherwise: __________

Please provide the following
Financial Planning Documents

- A summary of present investments, and an accurate breakdown in ownership (c.d.'s, money markets, U.S. Government bonds, bonds, stocks, mutual funds, real estate, etc.). Include dates of acquisition and cost basis. Please provide all account statements.

- Current value of retirement funds (IRS, pension, Keogh, profit sharing, company savings plans, etc). Include breakdown of where assets are invested. Please provide all account statements.

- Your earnings statements (W-2, 1099, social security, etc.)

- Income tax returns for the last two years

THANK YOU FOR TAKING THE TIME
TO COMPLETE THIS PROFILE.

TAYLOR
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