



STATE OF CONNECTICUT

DEPARTMENT OF CONSUMER PROTECTION

October 31, 2017

HOD#

Dear Heating Fuel Dealer:

The Department of Consumer Protection is conducting an audit of heating oil and propane dealer's guaranteed price plan and prepaid guaranteed price plan contracts during the 2017-18 heating season. These contracts include, but are not limited to, buy ahead, prebuy, prebought, guaranteed plan, fixed price, full price, lock in, capped, or price cap. **On the back of this letter** there is an audit statement that must be completed in its entirety, notarized, and returned electronically (by email or fax) to Consumer Protection. **Audit statements will not be accepted by mail.**

The audit statement on the back of this letter must be completed, signed, notarized, and returned by **November 21, 2017**. **ALL HOD dealers are required to return a notarized copy of the audit statement, whether they offer contracts or not.** If you do offer guaranteed price plan and prepaid guaranteed price plan contracts, you must acknowledge that you comply with Connecticut General Assembly Statute 16a-23n. Failure to respond will result in enforcement action by this department. This may include, but is not limited to, fines of \$500 or more and action concerning your home heating fuel dealer registration. If at any point during the 2017-18 heating season you decide to offer guaranteed price plan contracts you must send a notarized statement electronically (by email or fax) to Consumer Protection within 5 (five) days of making the offer.

The Department of Consumer Protection will conduct random audits of HOD companies during the heating season to confirm compliance with CGS 16a-23n. Companies that are not in compliance will be subject to fines of \$500 or more and action by Consumer Protection regarding your HOD registration. This audit statement satisfies dealer's requirements to notify Consumer Protection of the details of their prepaid contract and how the contract will be secured.

If you have any questions, please contact Consumer Protection by e-mail, fax, or phone below. Please retain a copy of the audit statement you send to Consumer Protection. The notarized statement **must be faxed or emailed** to:

Audit Email: DCP.FuelAudit@ct.gov

Audit Fax: (860) 706-5403

Phone: (860) 713-6160

Audit on Back Side - Turn Over



2017-18 Heating Fuel Audit Statement

Name:	HOD#:
Address:	
Email:	Phone:

1) As defined in Connecticut General Statute 16a-23n, for the 2017-18 heating season we have sold guaranteed price plan or prepaid guaranteed price plan contracts to consumers:

Yes, we have sold contracts No, we have **not** sold contracts

If you answered **Yes** to (1) above, **please complete and notarize the rest of this audit statement.** If you answered **No** to (1), please skip to (3) below to complete and notarize the certification.

All HOD dealers are required to notarize and return this audit statement.

2) Here is a summary of what contracts have been sold and what futures contracts, surety bonds, and/or other similar instruments have been purchased to back these contracts as of this date (please enter date): _____

****Futures gallons purchased, gallons sold and dollars of prepaid sold must be provided if company uses prepay price plan contacts otherwise this document is considered INCOMPLETE****

	Dollars Sold (for prepay)	Gallons Sold to Consumers	Futures Gallons Purchased	Bond Amount (for prepay)
Prepay				
Fixed/Capped	XXXXXXXXXXXX			

3) Certification

Connecticut General Statutes, Sec. 53a- 157 provides “A person is guilty of false statement when he intentionally makes a false written statement under oath or pursuant to a form bearing notice, authorized by law, to the effect that false statements made therein are punishable, which he does not believe to be true and which statement is intended to mislead a public servant in the performance of his official function.”

Notarized Statement

I CERTIFY, UNDER PENALTY OF LAW (SEC. 53a-157, CLASS A MISDEMEANOR), THAT THE ABOVE PROVIDED INFORMATION AND DOCUMENTATION IS THE TRUTH TO THE BEST OF MY KNOWLEDGE.

Signature

Date

Printed Name

Title

Subscribed and sworn before me this _____ day of _____ 20 _____

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Signed: (Commissioner of Superior Court/Notary Public/Justice of the Peace)

My Commission Expires