



## **South Region Individual Director Responsibilities for Region Board of Directors**

- Understand the organization's mission, purposes, goals, policies, programs, services, strengths and needs.
- Serve in leadership positions or undertake special assignments.
- Represent the interests of members and take ultimate responsibility in the success and long-term direction of the organization.
- Participate in and approve the Region's strategic plan.
- Participate in Region Strategic Planning sessions when scheduled.
- Approve the annual Region budget.
- Serve and represent the Organization's point of view.
- Prepare and provide written materials for meetings as appropriate.
- Be willing to speak freely and to frankly express opinions internally – but to work with a goal of reaching consensus. Subsequently, when an issue has been decided, to represent the organization as a whole by speaking with a unified voice.
- Maintain confidentiality of Board executive sessions, and speak for the Board or organization only when authorized to do so.
- The Region Board cannot use national staff for special projects without prior consultation and approval by the CEO.
- Read and understand the Region's financial statements.
- Avoid conflicts of interest and maintain a sense of fairness.
- Be prepared for and attend meetings.
- Serve a 2-year term.



## **South Region Board Appointment Criteria**

1. Passion for NAPFA and its mission:
  - a. Supports NAPFA through active participation in NAPFA events or initiatives.
  - b. Ability to articulate NAPFA's goals, achievements, etc.
  - c. Has an interest and vision for how to help make NAPFA better.
  - d. Willingness to chair a committee / regional board initiative.
2. Time commitments (ability to reasonably commit to the following):
  - a. Monthly South Region Board conference calls (once per month for approx. 1 hour).
  - b. Average monthly time commitment (2 – 3 hours depending on specific events or initiatives).
  - c. NAPFA National and Regional Board planning meeting in Chicago (July), along with a one-time virtual NAPFA orientation session prior (June).
  - d. One NAPFA National Conference attendance per year during their two-year term (Spring or Fall).
  - e. Attendance at South Region Symposium or simulcast site (usually in February - expected to be offered in person in Atlanta with potential simulcast locations, but subject to change)
3. Skills Set:
  - a. Skills that align with the needs of the board and/or compliment the skills of existing members.
  - b. Ability to work well with diverse group to accomplish overall initiatives and goals.
  - c. Ability to provide meaningful and constructive input.
  - d. Ability to set aside personal agendas for greater goals of the organization.
  - e. Networking skills.
  - f. Ability to encourage and recruit members to be active and to participate within NAPFA.
4. NAPFA Involvement:
  - a. Years as a NAPFA member.
  - b. Past/Current NAPFA board or committee involvement.
  - c. Past/Current Volunteer efforts within NAPFA.
  - d. Past/Current NAPFA Conference and Symposium attendance or participation.
  - e. Past/Current Study Group leadership, attendance, or participation.
5. Additional Considerations:
  - a. Prior board service.
  - b. Years in the industry.
  - c. Geographic representation and diversity.
  - d. Industry representation and diversity.
  - e. Areas of interest.



**South Region  
Board Appointment Application**

I \_\_\_\_\_, am a NAPFA-Registered Financial Advisor or NAPFA Associate and would like to be considered for candidacy for the NAPFA South Region Board of Directors.

Name:	
Position:	
Firm Name:	
Address: (Street, City, State)	
Professional Designations:	
Years in Financial Industry:	
Year Joined NAPFA:	
Business Background / Specialty:	

For the following essays, feel free to attach separate pages if desired. Please try to keep each response under half a page, if possible.

1) Why do you believe you would be an asset to the NAPFA Region Board?

2) Why are you a member of NAPFA and why do you want to serve on your region's Board?

3) Please describe your past/current participation and attendance in NAPFA events (e.g., conferences, study groups, symposia, etc.)



4) Please describe any past/current volunteer efforts within NAPFA.

5) Please describe any experience you have volunteering with organizations outside of NAPFA.

6) Are there areas or initiatives that you are particularly interested in working on?

7) Are you aware of anything that might result in you or your firm being censured by a regulatory agency or charged with a criminal offense? If yes, please explain.

8) Please provide us with the names and contact information for one professional and one personal reference:




9) Estimated Time Commitments – South Region Board members are expected to be able to reasonably commit to the following time commitments and events. Please indicate those that you expect to be able to attend/participate in each year.

	Yes	No
a) Monthly South Board conference calls (once per month for approx. 1 hour)		
b) Average monthly time commitment for projects (2-3 hours, depending on specific events or initiatives)		
c) NAPFA National and Regional Board strategic planning meeting attendance in Chicago beginning in the year you are appointed. (July 16-18, 2018 – arrival on evening of July 15)		
d) NAPFA National Conference attendance during your board term. (One spring or fall conference each year)		
e) South Region Symposium (typically held in February. In-person event is expected to be in Atlanta with various potential simulcast locations)		

By submitting the following information and application, I agree to serve a two year term as NAPFA’s South Region Board of Directors and fulfill the responsibilities of the Board if I am appointed to this position. I have read and fully understand the Individual Director Responsibilities for Region Board of Directors.

Signed: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Date: \_\_\_\_\_

**Please email your completed application to Jeff Jones at [jjones@longviewfa.com](mailto:jjones@longviewfa.com) no later than April 20, 2018.**