



Biographical Information - Mr. Ken Hackshaw

Mr. Hackshaw is the Managing Director and lead consultant at KHBC Limited, a risk management consulting company, registered in Florida, U.S.A and in Trinidad and Tobago. Mr. Hackshaw is a course developer/lecturer at the Arthur Lok Jack Graduate School of Business (UWI) in Enterprise Risk Management (ERM), Operational Risk Management (ORM) and Risk and Compliance. He is also an adjunct Lecturer for post

graduate studies at Costaatt. Mr. Hackshaw is also the founder and Executive Director of the Trinidad and Tobago Risk Management Institute (ttrmi.com). The Mission of the Trinidad and Tobago Risk Management Institute (TTRMI) is to strive to become a locally and regionally recognized knowledge center in risk management, to provide training and opportunities to new and existing risk practitioners, to learn, discuss and exchange ideas on both the principles and the practical application of risk management.

Mr. Hackshaw has developed a Professional Certificate In ERM, the first such certificate to be developed and made available in the Caribbean, and through the TTRMI, has partnered with the Arthur Lok Jack, Global school of Business to offer the certificate to the public. The first cohort for the professional certificate was successfully completed in May 2017.

Mr. Hacksaw's career was built over the last 23 years working in the United States and London in the field of Operational Risk Management, Enterprise Risk Management, Business Continuity, International Operations, and Business Process Re-engineering.

Business career highlights:

- Developed and implemented Enterprise Risk Management (ERM) frameworks for companies in both the private and public sector and in various industries including financial, insurance, construction and manufacturing. Successfully managed/conducted risk assessments of institutions and functional departments resulting in improved decision-making capabilities, reduced cost and increased efficiencies: Assisted various Boards in developing new policies and procedures to integrate Enterprise Risk Management (ERM) into the organization; Facilitated training on ERM for executive management, board members and staff on the role of ERM.
- As a Vice President of operations at Deutsche Bank (NY and London), successfully managed various operation units which included: client interface, account administration and risk management with successful budget development and management, project Manager for new client implementation initiatives within the unit, which consisted of Staff allocation, workflow analysis, expense development and management. Operations manager for international securities processing and settlement (GDR's and ADR's) and management of custodial relationships.
- Operating independently as a consultant to provide quality work products to organizations that required varied and complex duties and tasks to implement processes and technology to meet customer needs. Provided advice/consultation services to assigned lines of business (LOBs) on operational risk program management, including all activities associated with LOBs risks, controls, testing, remediation, loss analysis, key risk indicators, reporting, policy and procedure development.
- As a Senior Risk Analyst in the Operational Risk Department of the Depository Trust Clearing Corporation (DTCC) in New York, managed and facilitated Risk and

Control Self Assessments for all high risks areas within the organization, validation of completed assessments and quality control. Designed and implemented MIS to monitor and report on operational risk trends to executive management and presented results of Risk and Control Self-Assessments to various Board Committees and Federal Bank Examiners in New York

- Designed and assisted in the development, testing (UAT/QA) and roll-out of a web-based risk assessment application (RAMS) that allow companies to conduct risk and control self-assessments (RCSA's), identify controls and produce management reports.

Mr. Hackshaw holds a Bachelor of Science degree in Business Management, a Diploma in Law from the University of London and advanced training and certification in Operational Risk Management, International Securities Processing and Project Management. He is currently completing his LLB in law through the University of London and a Post Grad Diploma in Strategic Thinking and Risk from Stanford University, USA. Ken is a member of the Risk Management Association (RMA), The Institute of Risk Management (IRM) and the Global Association of Risk Professionals (GARP) in the United States and has spoken at conferences on Operational Risk Management, Business Continuity and the use of Key Risk Indicators (KRI's) in identifying operational risks. He is also a member of the Institute of Internal Auditors (IIA). Ken is also a part time faculty member of The International Governance and Risk Institute in the UK and sits on the Trade and Business Development Committee of the Trinidad and Tobago Chamber of Commerce.